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KINEMATIC RANGING in an AIR-AIR MISSILE

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by

R.J. LaSpisa and F.D. Powell

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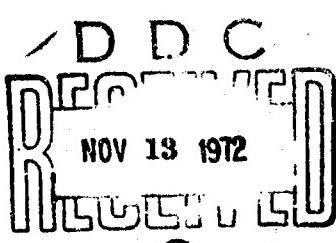
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KINEMATIC RANGING IN AN AIR-AIR MISSILE

by

R. J. LaSpisa & F. D. Powell

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ABSTRACT

Methods are explored for estimating Range and Range-Rate from the geometry and kinematics of the air-air homing-missile combat situation rather than from direct measurements. The methods reported herein use the various signals available from the missile autopilot, plus the inertial rotation-rate of the Line of Sight provided by the seeker.

It is shown that acceleration of the target in the radial direction, parallel to the Line of Sight, precludes successful estimation by the classical techniques such as adaptive parameter identification, Kalman filter estimation, or the various minimum-variance estimation methods. A nonlinear estimator is described which estimates the target acceleration and velocity components and can yield accurate range and range-rate estimates if correctly initialized at launch. This estimator is able to take advantage of the many inequalities which constrain the maneuvering of an air-air target. Errors and performance of this estimator are demonstrated by computer simulations.

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1. INTRODUCTION

Proper use of range and range-rate data, or the equivalent "time-to-go," can improve the performance of an air-air missile. At the same time, instruments which directly measure range and/or range-rate, such as radars, are expensive. The purpose of this study was to determine whether adaptive parameter identification techniques could enable estimation of range and range-rate from less expensive sensors which are used for example in infrared seekers.

1.1 Background

The trajectory of an air-air homing missile may be regarded as the response of a closed loop to an external command. In this case, the external command is the trajectory of the target, which must be assumed to be maneuvering either to conduct its attack or to attempt to evade the missile. The closed loop consists of the geometry which yields the inertial Line of Sight (LOS) rotation-rate, the navigation or guidance computer which forms commands to the missile as a function of the LOS rate, and the missile's dynamic response to that command, which closes the loop. The inertial LOS rate is, as viewed from the missile, a dynamic function of the target and missile accelerations perpendicular to the LOS, and the range and range-rate.

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If signals of the LOS rate and the missile acceleration perpendicular to the LOS are available measurements, it might be possible to deduce the dynamics of the geometry, which may be regarded as a "plant" within the closed loop of the entire system.

As stated, the purpose of this research study was to explore means of estimating the missile-target range and range-rate by applying adaptive parameter identification techniques to this "plant" or geometry.

This approach to estimation of range and range-rate uses the missile dynamics as a probe with which to examine the geometry or kinematics of the system. It is therefore called "Dynamic Ranging," or "Kinematic Ranging."

Figure 1.1, right, shows the closed loop from target acceleration through the kinematics and dynamics to the missile response, in the upper portion. The lower part of the diagram shows, in a symbolic form, the parameter estimation computer whose ultimate outputs are the target range and range-rate. Consider the "box" containing the geometry and kinematics: its output, the LOS rate, is a measurable signal, while the two inputs are the target and the missile accelerations perpendicular to the LOS. But while the missile acceleration is an available signal, the target acceleration is not; estimation of range and range-rate

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therefore must depend significantly on the characteristics of the target acceleration perpendicular to the LOS. It will be seen that the target acceleration parallel to the LOS also has a strong effect on the estimation problem.

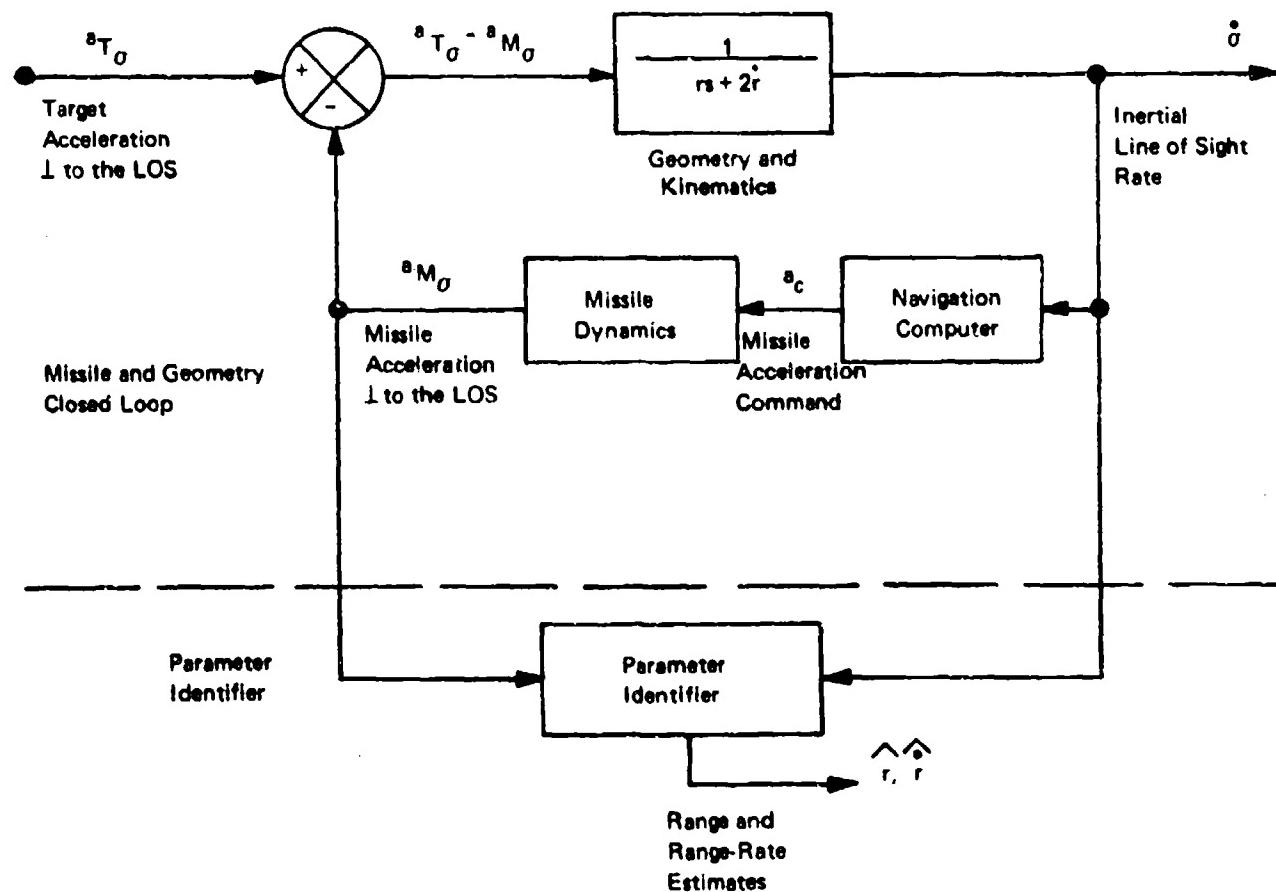


Fig. 1.1
System Configuration

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1.2 Organization of the Report

The principal results of this study and the conclusions are gathered in Section 2. The problem is described and mathematically posed in Section 3. A nonlinear range and range-rate estimator is described in Section 4, together with an error and performance analysis. Several linear estimators are described in Section 5, together with comments on the conditions for which the problem is mathematically observable. A technological forecast is presented as Section 6. References are gathered in Section 7. Appendix A contains some details of the derivation of the nonlinear estimator, and Appendix B contains tabulations of the time-varying coefficients of its error propagation equation for a variety of typical trajectories.

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2. SUMMARY AND CONCLUSIONS

A summary of the findings of this study is presented. The principal conclusions are stated and discussed.

2.1 Summary

Methods are explored for estimating Range and Range-Rate from the geometry and kinematics of the air-air homing-missile combat situation rather than from direct measurements. The methods reported herein use the various signals available from the missile autopilot, plus the inertial rotation rate of the Line of Sight provided by the seeker.

It is shown that acceleration of the target in the radial direction, parallel to the LOS, precludes successful estimation by the classical techniques such as adaptive parameter identification, Kalman filter estimation, or the various minimum-variance estimation methods, unless the sensor noise levels are very low and the geometry of the combat is favorable. A nonlinear estimator is described which estimates the target's aspect angle, its velocity and acceleration components plus turn-rate and roll-rate, and can yield accurate range and range-rate estimates if correctly initialized at launch. This estimator is able to take advantage of the many inequalities which constrain the maneuvering of an air-air target. Errors and performance of this estimator are demonstrated by computer simulations.

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2.2 Conclusions

1. Target trajectories which have significant acceleration parallel to the line of sight occur in most combat geometries except head-on and tail-chase configurations. If the target has significant acceleration parallel to the line of sight, then range and range-rate are mathematically unobservable from the kinematics by linear methods.

In practice this means that range and range-rate can be estimated only in favorable geometries and while active maneuvering of the missile occurs and that the sensor noise levels must be so low that very short averaging times in the estimating filter will yield acceptable accuracy. Filter averaging times of the order of one-quarter second to one second yield range-rate errors as large as 30 to 50% when the target has 3 to 6g acceleration parallel to the LOS.

2. Two approaches are available to resolve these difficulties. A method which uses linear principles combines the kinematic techniques with stadiometric methods. Stadiometric methods require that the seeker be able to measure some function of the target's area or angular size and rely on the assumption that the target is of constant size. Using stadiometric data relieves but does not eliminate the difficulties noted in conclusion (1), above. A second method consists of a nonlinear estimator, discussed below.

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3. A nonlinear estimator which estimates the target velocity vector components and acceleration components relative to the line of sight and also estimates the target turn-rate, and its rate of change, can be devised. As a stand-alone unit, this estimator requires initialization of range and range-rate. In combination with other equipment it can be used as a filter to exclude impossible target accelerations due to seeker noise or noise originating in some other method of ranging. Its principle of operation is based on the constraints on the target maneuverability dynamics, and, in particular, on the fact that the target airspeed cannot be significantly changed during an air-air missile engagement.

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3. THE MATHEMATICAL MODEL OF KINEMATIC RANGING

The study is restricted to the Maneuver plane.

The key assumption guiding and restricting this study is that the seeker in the missile provides the line-of-sight (LOS) rate only; it does not yield any indication of target-size, or shape or changes thereof, as television or mosaic-type infrared seekers can, nor does it provide any range or range-rate information directly, as a radar does, nor even yield the variations of intensity of signal from the target. The simplest type of seeker, simply pointing at the target and carrying a rate gyro to sense the LOS rate, is assumed. On the other hand, the missile is assumed to have a pair of accelerometers in the maneuver plane, so that its accelerations may be resolved about the line of sight. Similarly, it is assumed that missile air-speed, or its estimate, can be provided if needed and that no data are transferred from the launch-airplane to the missile after the missile has been launched. The two areas which describe the problem are:

- (a) The geometry and the equations which define the kinematic relations from which we hope to estimate Range and Range-Rate, and
- (b) The constraints on the target.

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These areas are discussed in detail below. The problem which we attack is estimation of Range and Range-Rate from the kinematics and dynamics of the combat.

3.1 Kinematics

Under these circumstances, a polar coordinate geometry with the moving origin located at the missile is the logical coordinate system. Figure 3.1 shows the geometry.

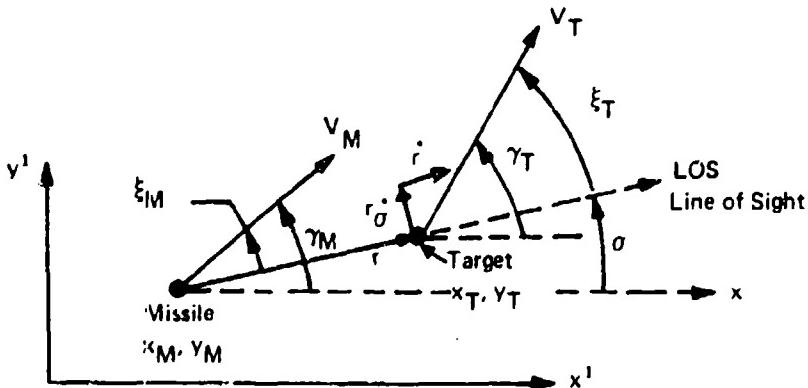


Figure 3.1 - Geometry

We first derive expressions for the velocity components parallel and perpendicular to the LOS. Similar expressions for the accelerations follow.

The instantaneous linear components of velocity parallel to the Line-of-Sight (LOS) yield the polar coordinate component,

$$\dot{r} = v_T \cos(\gamma_T - \sigma) - v_M \cos(\gamma_M - \sigma) \quad (3.1)$$

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while the components perpendicular to the LOS are

$$r \dot{\sigma} = v_T \sin (\gamma_T - \sigma) - v_M \sin (\gamma_M - \sigma). \quad (3.2)$$

The angle ξ_T may be called "The Target Aspect Angle" as it represents the attitude of the target velocity vector relative to the LOS, and is closely related to the target body attitude with respect to the LOS.

As missile acceleration components are assumed to be measured, we differentiate (3.1) and (3.2) so that the acceleration terms will become explicitly evident, yielding

$$\ddot{r} = [\dot{v}_T \cos (\gamma_T - \sigma) - v_T \dot{\gamma}_T \sin (\gamma_T - \sigma)] - [\dot{v}_M \cos (\gamma_M - \sigma) - v_M \dot{\gamma}_M \sin (\gamma_M - \sigma)] + \dot{\sigma}[v_T \sin (\gamma_T - \sigma) - v_M \sin (\gamma_M - \sigma)] \quad (3.3)$$

and

$$\ddot{r\sigma} + \dot{r}\dot{\sigma} = [\dot{v}_T \sin (\gamma_T - \sigma) + v_T \dot{\gamma}_T \cos (\gamma_T - \sigma)] - [\dot{v}_M \sin (\gamma_M - \sigma) + v_M \dot{\gamma}_M \cos (\gamma_M - \sigma)] - \dot{\sigma}[v_T \cos (\gamma_T - \sigma) - v_M \cos (\gamma_M - \sigma)]. \quad (3.4)$$

The components of acceleration of target and missile parallel to the instantaneous line-of-sight are the first two terms of the right of (3.3), respectively.

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Therefore define $a_{T_r} = \dot{v}_T \cos (\gamma_T - \sigma) - v_T \dot{\gamma}_T \sin (\gamma_T - \sigma)$ (3.5)

$$a_{M_r} = \dot{v}_M \cos (\gamma_M - \sigma) - v_M \dot{\gamma}_M \sin (\gamma_M - \sigma).$$
 (3.6)

The third term on the right of (3.3) represents the apparent acceleration due to the LOS rotation-rate.

Now multiply (3.2) by $\dot{\sigma}$ and substitute $(r\dot{\sigma}^2)$ for the third term on the right of (3.3); with this substitution and the definitions (3.5) and (3.6), (3.3) yields

$$\ddot{r} - r\dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0.$$
 (3.7)

Equation (3.4) is similarly simplified.

As before, define the components of target and missile accelerations across the instantaneous line-of-sight by

$$a_{T_\sigma} = \dot{v}_T \sin (\gamma_T - \sigma) + v_T \dot{\gamma}_T \cos (\gamma_T - \sigma)$$
 (3.8)

$$a_{M_\sigma} = \dot{v}_M \sin (\gamma_M - \sigma) + v_M \dot{\gamma}_M \cos (\gamma_M - \sigma)$$
 (3.9)

and substitute these for the first and second terms on the right of (3.4). Again, the third term on the right of (3.4) is the apparent acceleration due to the LOS rotation-rate. Now multiply (3.1) by $\dot{\sigma}$ and substitute

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$(\dot{r} \dot{\sigma})$ for the third term on the right of (3.4), yielding the acceleration equation

$$r \ddot{\sigma} + 2 \dot{r} \dot{\sigma} - a_{T\sigma} + a_{M\sigma} = 0. \quad (3.10)$$

If we use the notation

$$\begin{aligned} \xi_T &= \gamma_T - \sigma \\ \xi_M &= \gamma_M - \sigma \end{aligned} \quad (3.11)$$

then the velocity equations (3.1) and (3.2) may be expressed as

$$\dot{r} - v_T \cos \xi_T + v_M \cos \xi_M = 0 \quad (3.12)$$

$$r \dot{\sigma} - v_T \sin \xi_T + v_M \sin \xi_M = 0 \quad (3.13)$$

and, with the more compact notation

$$\begin{aligned} v_{M_r} &= v_M \cos \xi_M, \quad v_{T_r} = v_T \cos \xi_T, \\ v_{M_\sigma} &= v_M \sin \xi_M, \quad v_{T_\sigma} = v_T \sin \xi_T, \end{aligned} \quad (3.14)$$

for the components of target and missile velocity parallel and perpendicular to the LOS, we have the velocity equations in the form

$$\dot{r} - v_{T_r} + v_{M_r} = 0 \quad (3.15)$$

$$r \dot{\sigma} - v_{T_\sigma} + v_{M_\sigma} = 0. \quad (3.16)$$

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Equations (3.1) and (3.2) or their equivalents (3.12) and (3.13) or (3.15) and (3.16), together with (3.7) and (3.10) form the key elements of the mathematical model of the kinematics. The assumed noise levels, bias, initialization errors, etc., which determine the errors of the proposed solution, are gathered in Section 4.

The term $r\dot{\sigma}^2$ in (3.7) deserves a brief discussion. This term, a component of the range-acceleration, is due purely to the geometry. Its physical significance can easily be perceived. Assume you, (M), are standing motionless at the coordinates $X = 0$, $Y = Y_0$ where $|Y_0|$ is small, so that $V_{M_r} = V_{M_\sigma} = a_{M_r} = a_{M_\sigma} = 0$. A vehicle, (T), is approaching at constant velocity on the X -axis; \dot{X} may be positive or negative but \dot{r} is negative as the vehicle is approaching. The target is not accelerating, so that $a_{T_r} = a_{T_\sigma} = 0$. At the start of the problem, $\dot{r} \approx -|\dot{X}|$, while at the end $\dot{r} \approx +|\dot{X}|$; Range-Rate, \dot{r} , has changed from $-|\dot{X}|$ to $+|\dot{X}|$; the polar-coordinate acceleration signal which expresses this change is $r\dot{\sigma}^2$. If the offset Y_0 is very small, the change from $-|\dot{X}|$ to $+|\dot{X}|$ can occur very suddenly, implying large values of \ddot{r} . In an air-air combat situation, it is therefore possible for very large range-accelerations to occur, due to the geometry alone, even though neither missile nor target maneuvers.

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3.2 Constraints on the Target

The constraints under which the target airplane operates form an essential part of the system. An airplane can increase or decrease its airspeed by changing its thrust or by use of the earth gravitation vector component; in either case or in combination the longitudinal acceleration increment is not more than $1g$. An airplane can also change its airspeed by increasing its drag; this can be accomplished deliberately by use of speed brakes or involuntarily as a result of the induced drag due to the lift resulting from the airplane's evasive maneuvers. But the target of an air-air missile attack must try to maintain air-speed to conserve maneuverability. We therefore consider that

$$|\dot{V}_T| \leq 1 g$$

is a plausible constraint for deliberate airspeed changes, and we neglect the involuntary changes in this study.

The target turn-rate, $\dot{\gamma}_T$, is governed by several inequality constraints. At high airspeed, $|V_T \dot{\gamma}_T|$ is limited by the ability of the pilot (or structure) to withstand g-loads, equal to $V_T \dot{\gamma}_T$, while at low airspeed the turn-rate is limited by aerodynamic stall related to bank angle ϕ_T . In addition, the target pilot and aircraft roll-dynamics establish a minimum time in which a maneuver can be performed or changed by the target so that $|\ddot{\gamma}_T|$, or $|\dot{\phi}_T|$, is limited.

The high-speed condition provides the acceleration constraint, due to pilot or structural strength limits,

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$$v_T |\dot{\gamma}_T| \leq 6 \text{ g.}$$

Further, turning is achieved by bank-angle. Taking the pilot's characteristics into account, the bank angle, ϕ_T , cannot be changed from hard-turn in one direction to the other in less than 1 second. This yields the constraint

$$|\dot{\phi}_T| \leq 2 |\phi_T|_L.$$

Figure 3.2 shows acceleration limit, turn-rate limit, and the bank angle for a coordinated turn, as functions of V/V_S where V_S is the stall speed for a fighter in combat configuration and $V_S = 200 \text{ ft/sec.}$ is assumed as a nominal value. The relationships for a coordinated turn are

$$\begin{aligned} \text{Acceleration Limit } N_L &= \begin{cases} (V_T/V_S)^2, & V_T \leq 2.45 V_S \\ 6.0, & V_T > 2.45 V_S \end{cases} \\ \text{g's} \end{aligned}$$

$$\text{Bank Angle } |\phi_T|_L = \cos^{-1}(1/N_L).$$

$$\text{Roll Rate } |\dot{\phi}_T|_L = 2 \phi_T L = 2 \cos^{-1}(1/N_L)$$

$$\text{Turn-Rate Limit } |\dot{\gamma}_T|_L = \frac{(g/V_S)}{(V/V_S)} \sqrt{N_L^2 - 1} = \frac{g/V_S}{V/V_S} \tan |\phi_T|_L.$$

$$\text{Turn-Acceleration Limit } |\ddot{\gamma}|_L = 2 |\dot{\gamma}_T|_L$$

$$\text{Airspeed change; } |\dot{V}_T|_L \leq 1.0 \text{ g.}$$

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Equations 3.17 and Figure 3.2 thus represent the statement of the constraints which form part of the mathematical model. In an air-air combat situation, it will usually be the case that the target will be flying at a relatively high speed, so that the left of Fig. 3.2, representing the condition $V_T \leq 2.45 V_S$, may be disregarded, and only a relatively narrow range of V_T/V_S can be realistically expected.

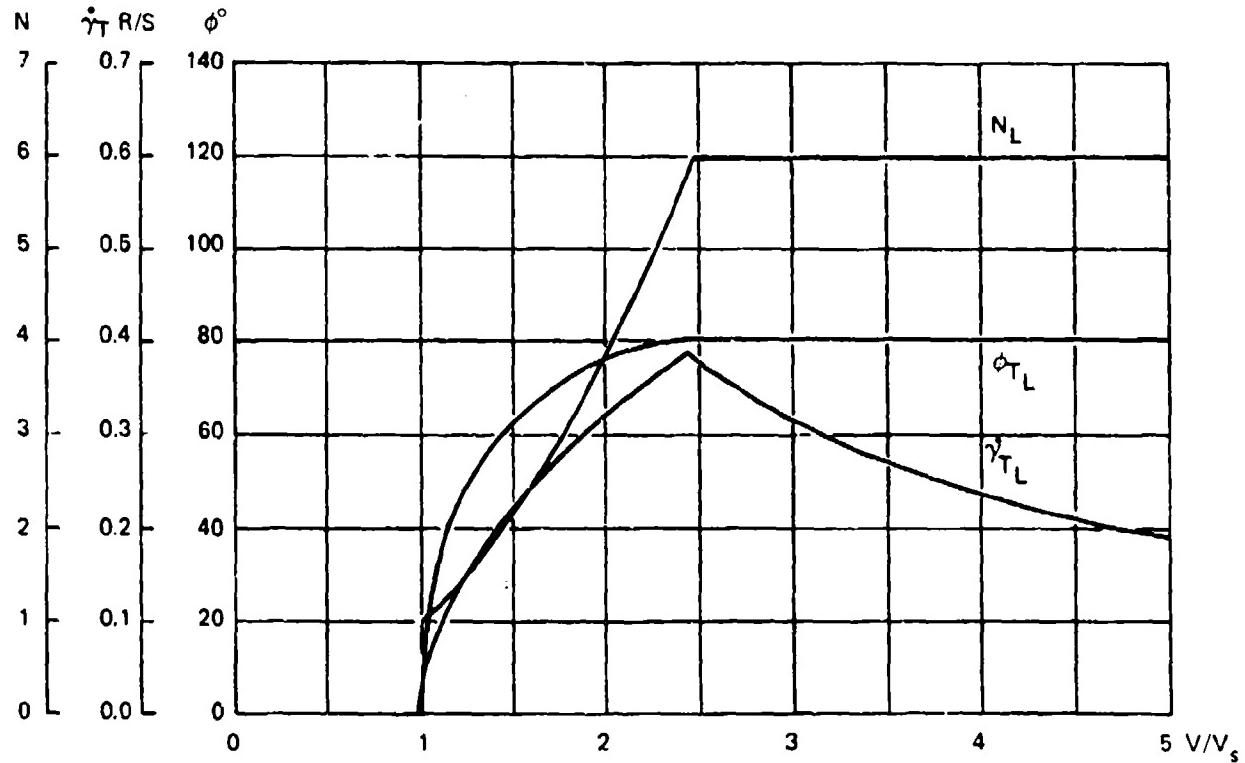


Figure 3.2. Bank-Angle and the Limits of Target Turn-Rate and Acceleration versus V/V_S ($V_S = V_{STALL} = 200 \text{ 'S}$)

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4. A NONLINEAR ESTIMATOR

A derivation of a nonlinear range/range-rate estimation filter is presented below.

This filter has a format such that it is very easy to impose and take advantage of the physical constraints which limit the maneuverability of an airborne target. It therefore could be appropriately used alone if initialized or preset at launch with range and range-rate; thereafter it will correctly keep track of those variables. Alternately it may be used as a nonlinear filter in conjunction with other ranging methods, whether direct or indirect. The analysis below assumes that this estimator will be initialized or preset at launch. The error analysis and performance of Sections 4.2 and 4.3 show the effects of errors in initializing as well as the effects of various other error sources.

This nonlinear filter has as its physical origin, and therefore motivation, the hypotheses that (a) the target cannot easily change airspeed, and (b) range-acceleration is not trivial and must be estimated.

4.1 Theoretical Basis

The equations which present the components of velocity parallel and perpendicular to the LOS are, respectively, from (3.15) and (3.16),

$$\dot{r} = V_{T_r} - V_{M_r} \quad (4.1)$$

$$\dot{rc} = V_{T_\sigma} - V_{M_\sigma}, \quad (4.2)$$

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where the subscripts (T) and (M) refer to target and missile, respectively, while the subscripts (r) and (σ) refer to the components parallel to the LOS and perpendicular thereto. Thus, for example, the target velocity component perpendicular to the LOS is $v_{T\sigma}$.

Similarly, the equations which present the components of acceleration parallel and perpendicular to the LOS are, respectively, from (3.7) and (3.10),

$$\ddot{r} - r\dot{\sigma}^2 - a_{Tr} + a_{Mr} = 0 \quad (4.3)$$

$$r\ddot{\sigma} + 2r\dot{\sigma}\dot{r} - a_{T\sigma} + a_{M\sigma} = 0, \quad (4.4)$$

where the components use the same subscript code described above, so the target acceleration across the LOS is $a_{T\sigma}$. Consider Eqn. (4.3); we can measure $\dot{\sigma}$ directly from the seeker and can therefore compute $r\dot{\sigma}^2$; as initial values of r and \dot{r} are assumed given. We can also directly sense the missile acceleration component a_{Mr} . If we could also estimate a_{Tr} , we could compute \ddot{r} and then by integration continue to estimate \dot{r} and r . This would enable us to close a computation loop and keep the estimation process going. It will be shown that we can estimate a_{Tr} by using the assumption that target airspeed is quasi-constant during the brief interval of an air-air encounter. This is significant, as linear estimators uniformly fail to operate successfully whenever a_{Tr} is not negligible, as will be seen in Section 5.

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Let us solve Eqns. (4.1) and (4.2) for the target velocity components V_{T_r} and V_{T_σ} . We know that the total velocity, or airspeed, V_T , of the target can be determined from these components by the relationship

$$V_{T_r}^2 + V_{T_\sigma}^2 = V_T^2 , \quad (4.5)$$

due to the definitions $V_{T_r} = V_T \cos \xi_T$ and $V_{T_\sigma} = V_T \sin \xi_T$.

An air-air combat target cannot easily change its airspeed V_T . Since V_T is nearly constant, \dot{V}_T is nearly zero, so that differentiation of (4.5) yields

$$V_{T_r} a_{T_r} + V_{T_\sigma} a_{T_\sigma} = V_T \dot{V}_T \approx 0 \quad (4.6)$$

where a_{T_r} and a_{T_σ} are the components of target acceleration parallel and perpendicular to the LOS. The considerable algebraic effort required to show that this differentiation is valid is presented in Appendix A.

We may solve (4.6) for a_{T_r} , so that we may estimate

$$\hat{a}_{T_r} = - \frac{V_{T_\sigma} a_{T_\sigma}}{V_{T_r}} . \quad (4.7)$$

We now substitute for a_{T_σ} , V_{T_σ} , and V_{T_r} from (4.1), (4.2) and (4.4) so that (4.7) becomes

$$\hat{a}_{T_r} = - \frac{(r\dot{\sigma} + V_{M_\sigma}) (r\ddot{\sigma} + 2\dot{r}\dot{\sigma} + a_{M_\sigma})}{(\dot{r} + V_{M_r})} . \quad (4.8)$$

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All quantities on the right of (4.8) may be measured or estimated in the missile, so that we can compute \hat{a}_{T_r} . But we also have, from Section 3, Eqn. (3.8)

$$a_{T_\sigma} = \dot{v}_T \sin \xi_T + v_T \dot{\gamma}_T \cos \xi_T$$

and if $\dot{v}_T \approx 0$, then

(4.9)

$$a_{T_\sigma} \approx v_T \dot{\gamma}_T \cos \xi_T = v_{T_r} \dot{\gamma}_T .$$

We may therefore compute \hat{a}_{T_r} in a different way, as

$$\hat{a}_{T_r} = - (r\dot{\sigma} + v_{M_\sigma}) \frac{\dot{v}_T \dot{\gamma}_T \cos \xi_T}{v_T \cos \xi_T} = - (r\dot{\sigma} + v_{M_\sigma}) \dot{\gamma}_T = - v_{T_\sigma} \dot{\gamma}_T$$

(4.10)

where $\dot{\gamma}_T$ is the estimate of $\dot{\gamma}_T$, the target turn-rate.

Eqns. (4.8) and (4.10) present different forms of the same result; either may be used as appropriate to the available physical constraints. In particular, the relationship

$$\dot{\gamma}_T = \frac{a_{T_\sigma}}{v_{T_r}}$$

(4.11)

may be used to estimate $\dot{\gamma}_T$.

If we substitute (4.11) into (4.7) we have

$$\hat{a}_{T_r} = -v_{T_\sigma} \dot{\gamma}_T .$$

(4.12)

This is an obvious result: v_{T_σ} is the target velocity across the LOS, and $\dot{\gamma}_T$ is its turn-rate, therefore the product is the acceleration of the target in the range

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direction. Its magnitude is at a maximum when the target is flying perpendicular to the LOS and vanishes in a head-on or tail-chase combat configuration.

Equation (4.11) is a very convenient result, for it enables implementation of the constraints, described in Section 3, on the target maneuver capability:

(1) The estimates \hat{a}_{T_r} and \hat{a}_{T_σ} may be limited to 6g magnitude.

(2) Since \dot{Y}_T may be estimated as $\hat{\dot{Y}}_T = \frac{\hat{a}_T}{\hat{v}_{T_r}}$,

it is possible to limit the quotient to a realistic turn-rate which may be either a nominal constant or a function of the target's estimated airspeed \hat{v}_T .

(3) Further, if $\hat{\dot{Y}}_T$ is formed in a rate-limited net, it is possible to impose a physically motivated constraint on $\hat{\dot{Y}}_T$, to represent the finite time required by the target to change its turn-rate, e.g., a right turn to a left turn. These limit-properties are of great value, for they make it possible to estimate \dot{Y}_T . Without these limits, the division in (4.11) would fail due to a "division by zero" whenever the target velocity vector is nearly perpendicular to the

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LOS. This condition can occur in a dogfight situation. Further, the limit on \hat{Y}_T noted above in (3) enables exclusion of noise which implies impossible roll-rates, just as the limits (1 & 2) preclude undue sensitivity to noise implying impossible turn-rates and accelerations.

The result of the analysis above is the estimator

$$\hat{\ddot{r}} = \hat{r} \dot{\sigma}^2 - a_{M_r} - \hat{v}_{T_\sigma} \hat{\gamma}_T. \quad (4.13)$$

Fig. 4.1 shows an estimator configuration, which requires 6 multiplications for its instrumentation in the given format. The locations and character of the limits which impose the physical constraints are shown. The adaptive algorithm which determines $\hat{\gamma}_T$ is shown as part of the overall diagram. It is assumed that missile acceleration components are sensed with respect to the seeker centerline; it is also assumed that the missile velocity components with respect to the seeker centerline are available. This net can be formed in a variety of equivalent ways; the configuration shown in Fig. 4.1 is representative but not unique.

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A brief discussion of Fig. 4.1 follows. The block at the extreme upper left shows the process of inserting the initial values of range and range-rate at launch. The three blocks immediately below show the various signals used in this estimator, gathered by their several sources. Block A shows the two integrations relating \hat{r} to \hat{r} and \hat{r} . Block B shows the formation of \hat{a}_{T_σ} from the relationship

$$\hat{a}_{T_\sigma} = \hat{r}^2 + 2\hat{r}\dot{\sigma} + a_{M_\sigma}. \quad (4.14)$$

Blocks C and D show the formation of \hat{v}_{T_r} and \hat{v}_{T_σ} , respectively, from the relationships

$$\hat{v}_{T_r} = \hat{r} + v_{M_r} \quad (4.15)$$

$$\hat{v}_{T_\sigma} = \hat{r}\dot{\sigma} + v_{M_\sigma}. \quad (4.16)$$

Block E shows the formation of \hat{r} as

$$\hat{r} = \hat{r}^2 - a_{M_r} + \hat{a}_{T_r}. \quad (4.17)$$

We have stated that the term \hat{a}_{T_r} is estimated as

$$\hat{a}_{T_r} = -\hat{v}_{T_\sigma} \hat{v}_T \quad (4.18)$$

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and that this may be limited to 6g, or some similar limit representative of the abilities of the target airplane and pilot. This limited product is contained within Block F.

We now consider estimation of \hat{Y}_T , noting that if $\dot{V}_T \equiv 0$, then

$$\dot{Y}_T = a_{T_\sigma} / V_{T_r} .$$

We do not have the true values of a_{T_σ} and V_{T_r} , but only their estimates. The procedure for estimating \hat{Y}_T is to form an error

$$\epsilon = \hat{a}_{T_\sigma} - \hat{Y}_T \hat{V}_{T_r} \quad (4.19)$$

as shown in Block G. A least mean magnitude algorithm is

$$\hat{Y}_T = k \hat{V}_{T_r} \operatorname{sgn}(\epsilon) \quad (4.20)$$

where $\operatorname{sgn}(\epsilon)$ is defined as

$$\operatorname{sgn}(\epsilon) \equiv \begin{cases} +1, & \epsilon > 0 \\ -1, & \epsilon < 0 \end{cases}$$

i.e., "the sign of ϵ ". One simple way to limit \hat{Y}_T

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to the values permitted by the constraint relationship is to replace \hat{v}_{T_r} by $\text{sgn}(\hat{v}_{T_r})$, and to choose k equal to the constraint limit. It is essential that the algorithm contain at least the sign of \hat{v}_{T_r} and the sign of ϵ if it is to be stable for $\hat{v}_T > 0$ and also for $\hat{v}_T < 0$. With these comments, the \hat{v}_T algorithm is now

$$\hat{v}_T = k \text{ sgn}(\hat{v}_{T_r}) \text{ sgn}(\epsilon). \quad (4.21)$$

The least mean magnitude algorithm is preferred to the least mean square as it yields more rapid solution for this class of problem, and is less complex to instrument.

In some extreme trajectories the target is flying nearly perpendicular to the LOS and \hat{v}_{T_r} may be quite small.

To preclude instability of the \hat{v}_T algorithm it is useful to disable integration of \hat{v}_T when $|\hat{v}_{T_r}|$ is less than some minimum value, such as 60'/sec. Assume a target airspeed of $V_T = 1000'$ /sec. This limit is 6% of the airspeed, implying that the angle ξ_T between the LOS and the target velocity vector is in the range $86^\circ < |\xi_T| < 94^\circ$.

With this restriction, algorithm (4.21) becomes

$$\hat{v}_T = \begin{cases} k \text{ sgn}(\hat{v}_{T_r}) \text{ sgn}(\epsilon), & |\hat{v}_{T_r}| \geq \hat{v}_{T_r \min} \\ 0 & \text{otherwise} \end{cases} \quad (4.22)$$

An alternate form of (4.22) which contains the entire algorithm in one expression is

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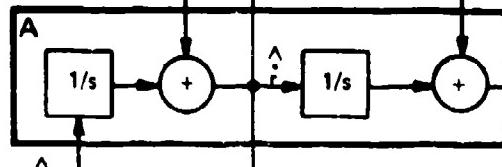
$$\hat{\dot{Y}}_T = k \operatorname{sgn}(\hat{V}_{T_r}) \operatorname{sgn}(\epsilon) \left[\frac{1 + \operatorname{sgn}(|\hat{V}_{T_r}| - v_{T_r \min})}{2} \right]. \quad (4.22a)$$

We found that $k = 0.4$ and $\hat{V}_{T_r \min} = 60$ ft/sec are satisfactory values for the missile and target which we assumed. Eqn. (4.22) is instrumented in Block H.

The integration of $\hat{\dot{Y}}_T$ to form \hat{Y}_T and the limitation of \hat{Y}_T to the constrained range appear in Block K. The expression in the square brackets [] in (4.22a) introduces the dead-space in the algorithm and is visible in Block H. The nonlinearity in the feedback path in Block K is an analog representation of a saturation limiter which imposes the constraint \dot{Y}_{T_L} on turn-rate estimate \hat{Y}_T . The net of Fig. 4.1 can be mechanized in analog or digital formats with equal ease. The choice of an analog format for this Figure is arbitrary.

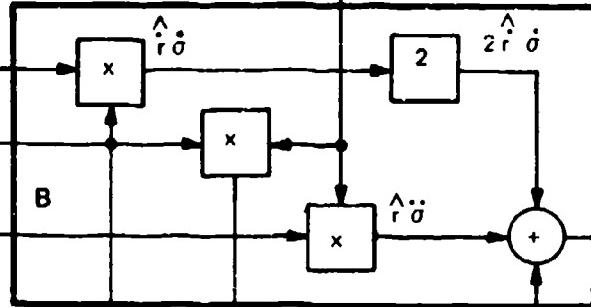
Initializing
Data at
Launch
from
Carrier

$$\begin{array}{l} \hat{r}_0 \\ \dot{\hat{r}}_0 \end{array}$$



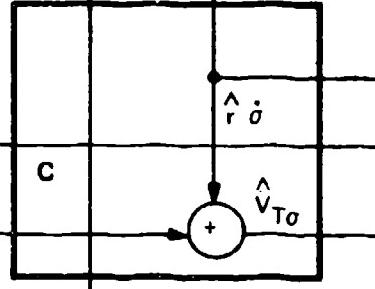
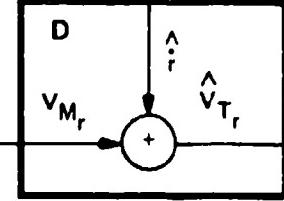
Guidance
Signals
from
Seeker

$$\begin{array}{l} \dot{\sigma} \\ \ddot{\sigma} \end{array}$$



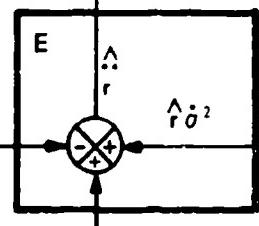
Missile
Velocity
Components
from Sensor
or Estimator

$$\begin{array}{l} v_{M_r} \\ v_{M_\sigma} \end{array}$$

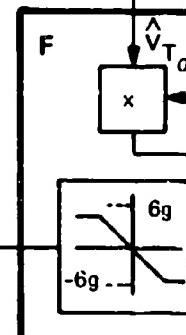


Missile
Accel.
Components
from
Autopilot

$$\begin{array}{l} a_{M_r} \\ a_{M_\sigma} \end{array}$$



$$\hat{a}_{T\sigma}$$



$$\hat{a}_{T_r}$$

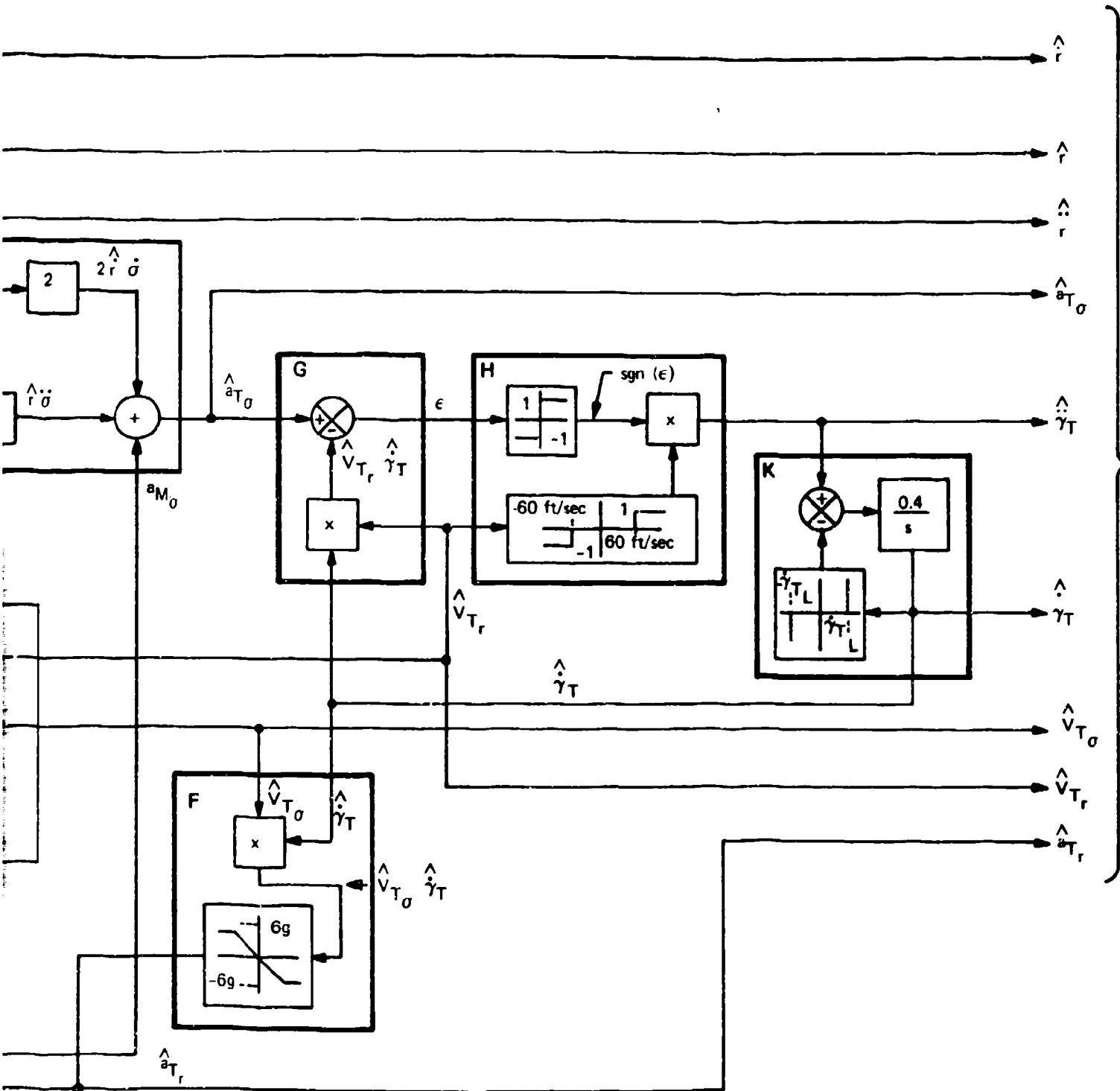


Figure 4.1. Range and Range-Rate Estimator Configuration

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4.2 Error Analysis

The true value of the range acceleration is

$$\ddot{r} = \dot{r}\dot{\sigma}^2 - a_{M_r} + a_{T_r}.$$

and we have shown that

$$a_{T_r} = \dot{v}_T \cos \xi_T - v_T \dot{\gamma}_T \sin \xi_T = (v_T \dot{v}_T - v_{T_\sigma} a_{T_\sigma}) / v_{T_r}$$

$$\text{where } v_{T_r} = v_T \cos \xi_T = \dot{r} + v_M \cos \xi_M$$

$$v_{T_\sigma} = v_T \sin \xi_T = \dot{r}\dot{\sigma} + v_M \sin \xi_M$$

$$\text{and } a_{T_\sigma} = \dot{v}_T \sin \xi_T + v_T \dot{\gamma}_T \cos \xi_T = \ddot{r}\dot{\sigma} + 2\dot{r}\ddot{\sigma} + a_{M_\sigma}.$$

Disregarding the effects of target velocity-change \dot{v}_T ,

the algorithm estimates a_{T_r} as

$$\hat{a}_{T_r} = - \frac{(\dot{r}\dot{\sigma} + \hat{v}_M \sin \hat{\xi}_M)}{(\dot{r} + \hat{v}_M \cos \hat{\xi}_M)} (\ddot{r}\dot{\sigma} + 2\dot{r}\ddot{\sigma} + a_{M_\sigma}), \quad (4.23)$$

imposing limits on $|\hat{a}_{T_r}|$, $|\dot{\gamma}_T|$, and $|\ddot{\gamma}_T|$ in the process.

We consider in this section the several sources of error and their significance. The principal sources of error are discussed below.

- (1) \dot{v}_T . The target may change its airspeed, although relatively slowly.
- (2) \hat{r}_o , \hat{r}_o . Range and range-rate may be initialized with errors.

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- (3) \hat{V}_M . The missile airspeed is required. It may be sensed or may be provided adaptively, but with an error in either case.
- (4) $\hat{\xi}_M$. The missile angle of attack must be estimated to form $\hat{\xi}_M = -\alpha - \lambda$ in order to resolve the missile velocity into the components $V_M \sin \xi_M$ and $V_M \cos \xi_M$. Errors enter as incorrect estimates of λ and α , as discussed in the simulation results. The angle λ is the angle from the missile centerline to the seeker centerline.
- (5) $\dot{\sigma}$. The LOS rate may have random noise errors plus a bias.
- (6) a_{M_r}, a_{M_σ} . The accelerometers sensing missile acceleration parallel and perpendicular, respectively, to the LOS may have bias errors and random noise.

23) We define $x = r - \hat{r}$, $\dot{x} = \dot{r} - \hat{\dot{r}}$, $\ddot{x} = \ddot{r} - \hat{\ddot{r}}$, so that x is the range error, and $V_1 = \hat{V}_1 + N_1$ where

$$V_1 = a_{M_r}$$

$$V_2 = 0; N_2 = \dot{V}_T; \text{ shows } \dot{V}_T \neq 0$$

$$V_3 = V_M$$

$$V_4 = \dot{\sigma}$$

$$V_5 = \xi_M$$

$$V_6 = \ddot{\sigma}$$

$$V_7 = a_{M_\sigma}$$

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Thus, N_1 represents the deviation from the actual value of V_1 , i.e., the additive noise. The error $x(t)$ is then governed by the equation

$$\ddot{x} + a_1(t)x + a_2(t)\dot{x} = \sum_{i=1}^7 b_i(t)N_i \quad (4.24)$$

where the coefficients a_1, b_1 are defined as

$$a_1 = 2\dot{\sigma} \tan \xi_T - \dot{\gamma}_T \tan \xi_T$$

$$a_2 = -\dot{\sigma}^2 + \dot{\gamma}_T \dot{\sigma} + \ddot{\sigma} \tan \xi_T$$

$$b_1 = -1$$

$$b_2 = \sec \xi_T$$

$$b_3 = -\dot{\gamma}_T \sec \xi_T \sin (\gamma_M - \gamma_T)$$

$$b_4 = -r\dot{\gamma}_T - 2\dot{r} \tan \xi_T + 2r\dot{\sigma}$$

$$b_5 = -V_M \dot{\gamma}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$$

$$b_6 = -r \tan \xi_T$$

$$b_7 = -\tan \xi_T .$$

The coefficients of x and \dot{x} are functions of time. The trajectory of the errors of range estimate, x , and range-rate estimate, \dot{x} , may be found by solving this linear differential equation with time-varying coefficients, subject to the initial conditions x_0 and \dot{x}_0 , which are the assumed initialization errors of range and range rate. As (4.24) is linear, superposition is valid so that it may be solved for the individual terms. The coefficients a_i and b_i are tabulated in Appendix B for a number of typical trajectories.

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4.3 Performance

The air-air missile homing situation was programmed on the digital computer. The simulation used parameters from a typical short-range highly maneuverable air-air missile. The guidance method used for the simulation was the conventional proportional guidance:

$$a_c = 5 V_M \dot{\sigma},$$

where $\dot{\sigma}$ is the LOS angular inertial rate and a_c is the acceleration command across the LOS. The transfer function describing the missile characteristics was

$$\frac{a(s)}{a_c(s)} = \frac{-53s^2 + 106.6s + 27000}{s^3 + 72s^2 + 2160s + 27000}$$

where a is the cross-body acceleration. This corresponds to a simplified and linearized second order model for the missile airframe combined with a first order model for actuator lag. The estimates of range and range-rate were thus not used in the guidance loop as they might be in an actual missile system. The missile was started with a specified velocity with the airframe state variables at rest at the beginning of the trajectory. The geometry of the combat situation is shown in Fig. 4.2.

A discretization step size of .005 seconds was found to result in negligible error in the numerical output of the digital program as a substitute for the actual continuous model airframe being analyzed.

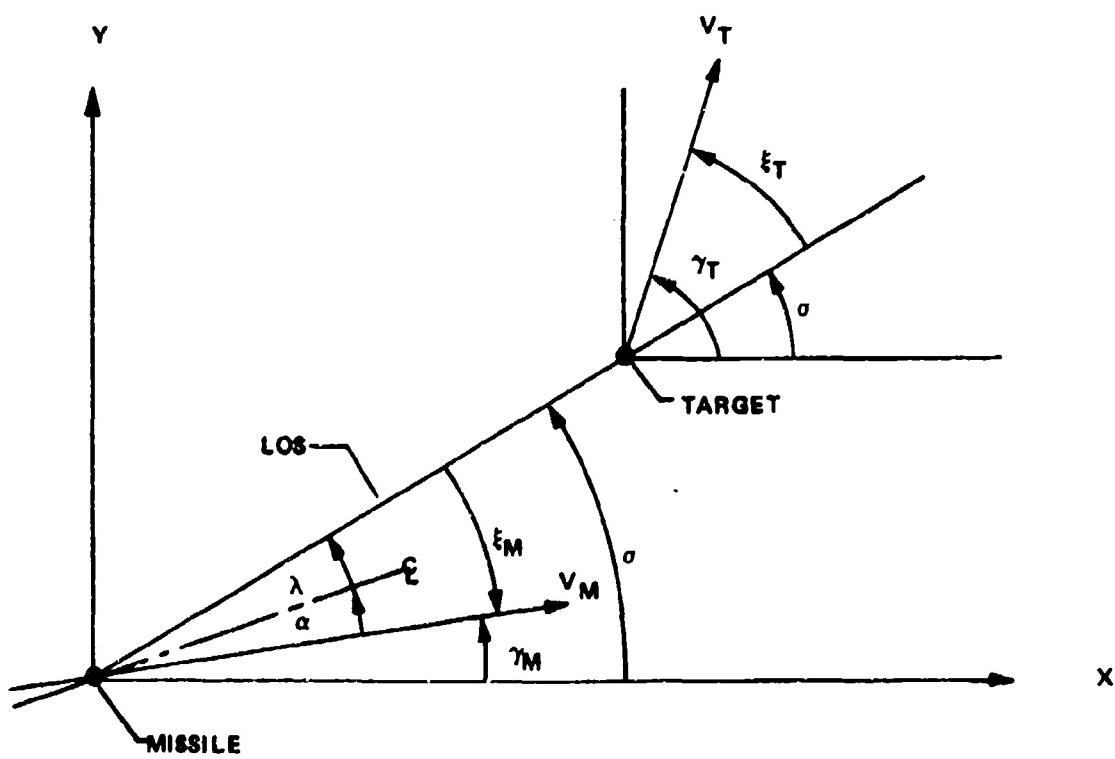


Figure 4-2. Definition of Positive Geometric Angles

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Several different geometrical situations were simulated, corresponding to different angular orientations and bank angle of the target. Missile velocity was Mach 2.0 or 2144 ft/sec at 10,000 ft. altitude, and target velocity 1,000 ft/sec. The missile airspeed was assumed constant; this does not restrict the generality of the results.

The simulations which follow are arranged in ten groups, each corresponding to a different starting situation. The angle ϕ_T is defined as the bank angle of the target. For example, 80° represents a hard left turn of approximately 5.7 g's lateral acceleration. The angle Ψ_T represents the target's initial heading, measured positive counter-clockwise from a reference heading parallel to the attacking missile's initial centerline, which is the x-axis.

Most of the ten groupings of plots have six separate graphs, arranged in pairs on facing pages.

The first graph is a view looking down at the two dimensional chase. In each case the target is intercepted as a result of the homing navigation. This also shows initial physical orientation of the target. Connecting the missile and target at corresponding successive instants of time are dashed lines representing the position of the line-of-sight (LOS) as the chase evolves.

The second graph shows the actual values of range, range-rate, and range-acceleration as functions of time,

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from $t = 0$ to $t = \text{time-of-intercept}$. These variables represent the true values of the parameters which are estimated.

Graphs 3 and 4 in the group plot the errors in the range estimate due to various error sources. The plot lettered (a) has no error sources and represents the good estimates of range and range-rate obtainable with this method. The reason (a) is not identically zero arises from the inaccuracies of the algorithm and computation; it is intended as a control in analyzing the other graphs. The other plots show the effects of various errors. For example, in plot (b) range is initialized incorrectly at 99% of its actual value. A listing of the sources of error is as follows:

- (a) No errors, except those inherent to the algorithm itself.
- (b) Range initialized with 1% error.
- (c) Range-rate initialized with 1% error.
- (d) The signal $\dot{\sigma}$ is erroneously biased by the amount 0.5 deg/sec.
- (e) The signal G , the lateral accelerometer output, is biased by 3 ft/sec².
- (f) The signal H , the longitudinal accelerometer output, is biased by 3 ft/sec².
- (g) The seeker angle relative to the missile centerline, λ , is biased by 0.1 degrees.
- (h) The error in measuring missile velocity v_M , is taken as 1% or 21.44 ft/sec.

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- (i) The error in estimating angle of attack, α , was taken to be a bias of 1 degree.
- (j) In this case the target velocity is increased with an acceleration of $1g$, thus illustrating the effect of violating the assumption $\dot{V}_T = 0$.

In all cases, only the particular source of error mentioned was included, i.e., the other error sources were removed.

Graphs 3 shows the errors from sources (a) through (e) above. Graph 4 shows error sources (f) through (j).

In the case of Figure 4.6, an additional source of error is analyzed. Figure 4.6.4 shows the effect of additive noise in the LOS rate as used by the kinematic ranging algorithm. The corrupted LOS rate was also fed to the guidance loop.

Graphs 5 and 6 of each group show the corresponding errors in range-rate instead of the error in range. Separation of cases (a) through (j) is the same as above in graphs 3 and 4.

Figure 4.12 is also an exception to the format specified. This figure displays the geometry when the target changes its maneuver during the flight of the missile. The target starts with a turn to the left, changing to a turn to the opposite direction of equal magnitude.

Figure 4.12.2 shows both the error in range and range-rate from the estimation algorithm. This error represents the numerical accuracy of the algorithm, and is not due to other sources.

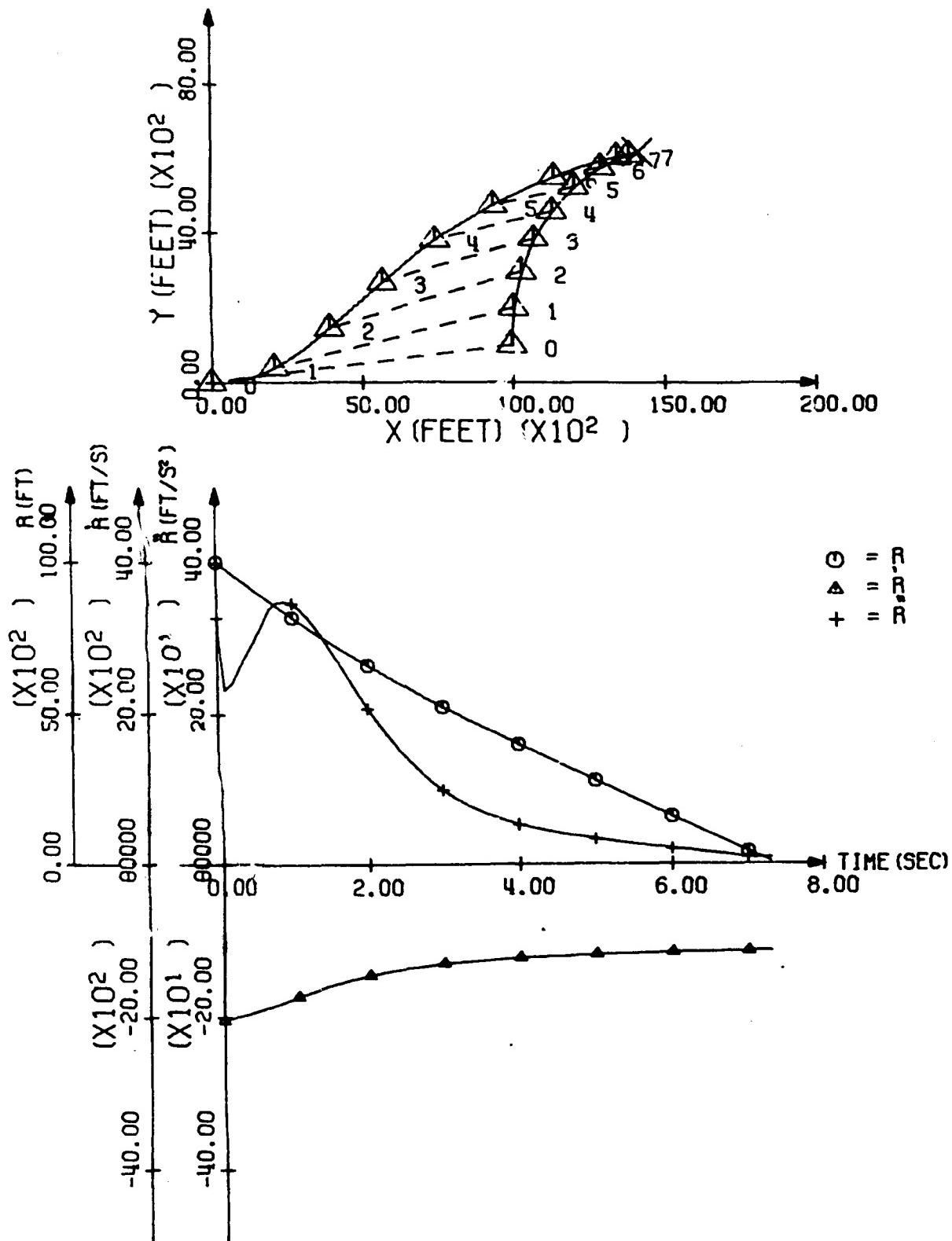


FIG. 4.3.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=90$, $\phi=-80$ $XT=10000$,
 $YT=1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.3

Graph 1, the upper graph to the left, shows the plan view of the geometry of the missile chase. The target has an initial range of slightly more than 10,000 ft., and initially is traveling across the LOS. The target airplane undergoes a 5.7g constant right turn which is indicated by the bank angle $\phi_T = -80^\circ$.

Graph 2, bottom left, plots actual range, range-rate, and range-acceleration. One may note the decrease in magnitude of range-rate as the chase changes from a side attack to a tail chase.

Graphs 3 and 4, top and bottom immediate right on the facing foldout page, show the errors in the range estimate due to various error sources for the geometry described above.

Curve (a) represents no errors except those due to the computation process and shows the ability to track the target when perfect signals are used in the algorithm. Maximum error for range is approximately 5 ft. Curves (b) thru (j), on Graphs 3 and 4 represent the effects of the error sources specified in Section 4.3.

Graphs 5 and 6, on the far right of the facing page display the errors in range-rate from the error sources. Curves (a) thru (j) again correspond to the errors mentioned in Section 4.3. Curve (a) shows a maximum error of 3 ft/sec.

Curves (j) on Graphs 4 and 6 represent the largest errors, and appear reasonable since the basic assumption pf the algorithm ($\dot{V}_T = 0$) is violated. Curve (j) thus shows the error due to target airspeed changes, reading a maximum range-error of 350 feet.

For convenience, the labels a...j in the curves imply:

- | | |
|---------------------------------------|--|
| a. no error sources | f. 0.1 g bias in \hat{a}_M |
| b. 1% error in $\hat{r}(0)$ | g. 0.1° bias in seeker gimbal angle |
| c. 1% error in $\hat{r}'(0)$ | h. 20 ft/s bias in \hat{V}_M |
| d. 0.5° bias in $\hat{\sigma}$ | i. 1° error in $\hat{\alpha}$ |
| e. 0.1 g bias in \hat{a}_{M_0} | j. $\dot{V}_T = 1 \text{ g}$ |

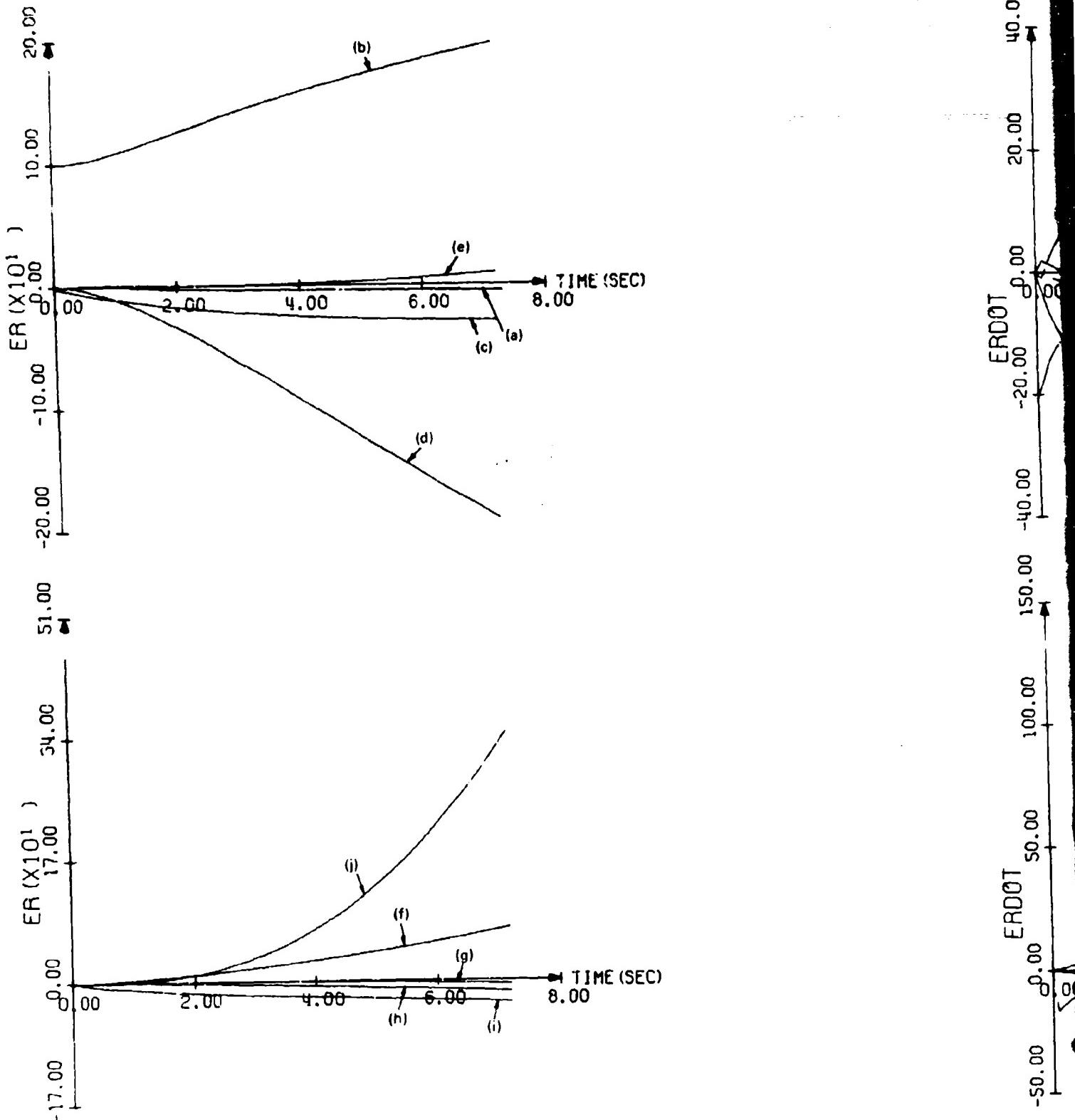


FIG. 4.3.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\phi = 90^\circ$, $\psi = -80^\circ$, $X_T = 10000$,
 $r_1 = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

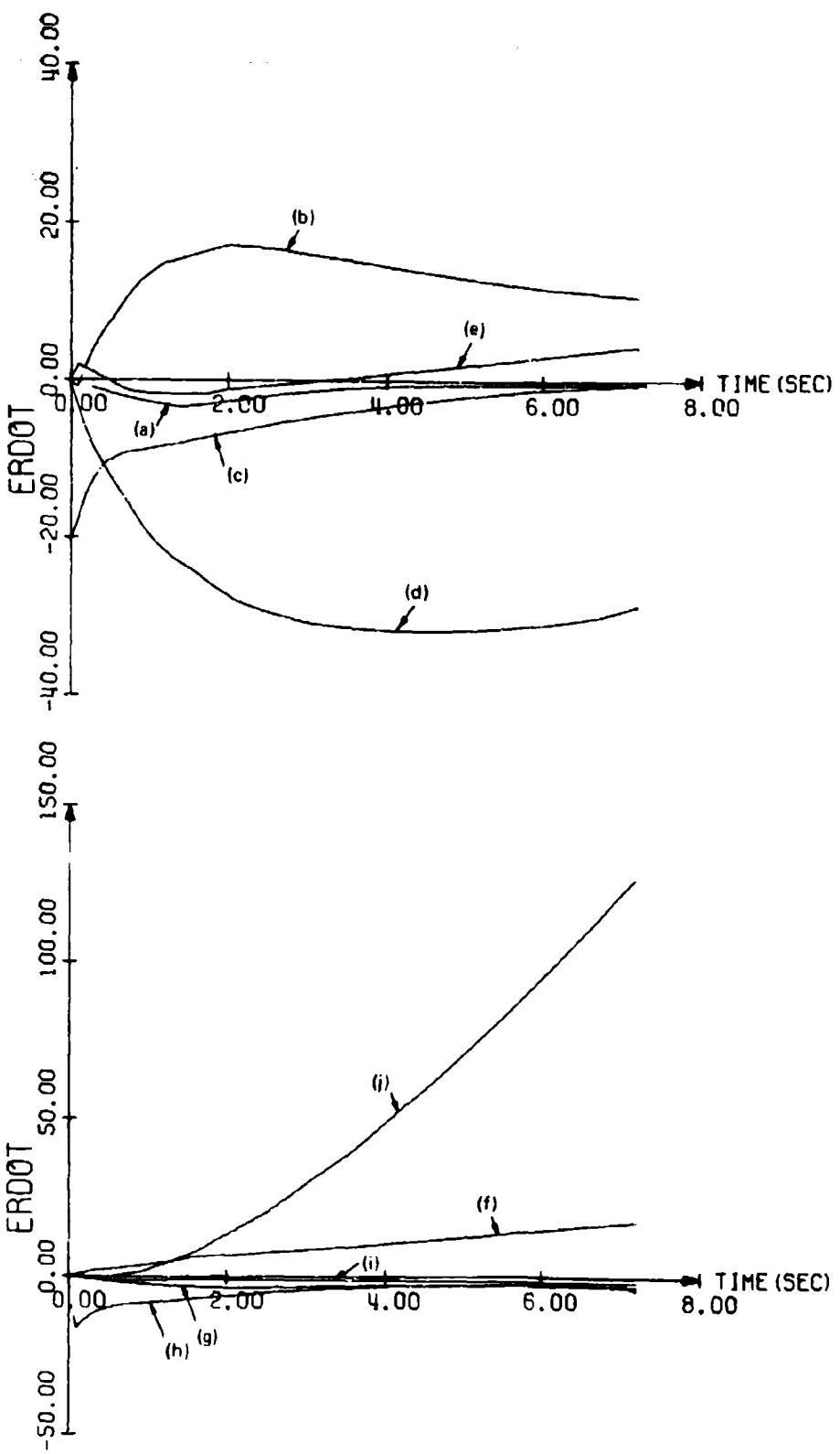


FIG. 4 . 3 .3 DYNAMIC RANGING SIMULATION WITH
 TARGET INITIAL CONDITIONS. $\psi = 90^\circ$, $\phi = -80^\circ$ $X_T = 10000$,
 $Y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
 VARIOUS SOURCES

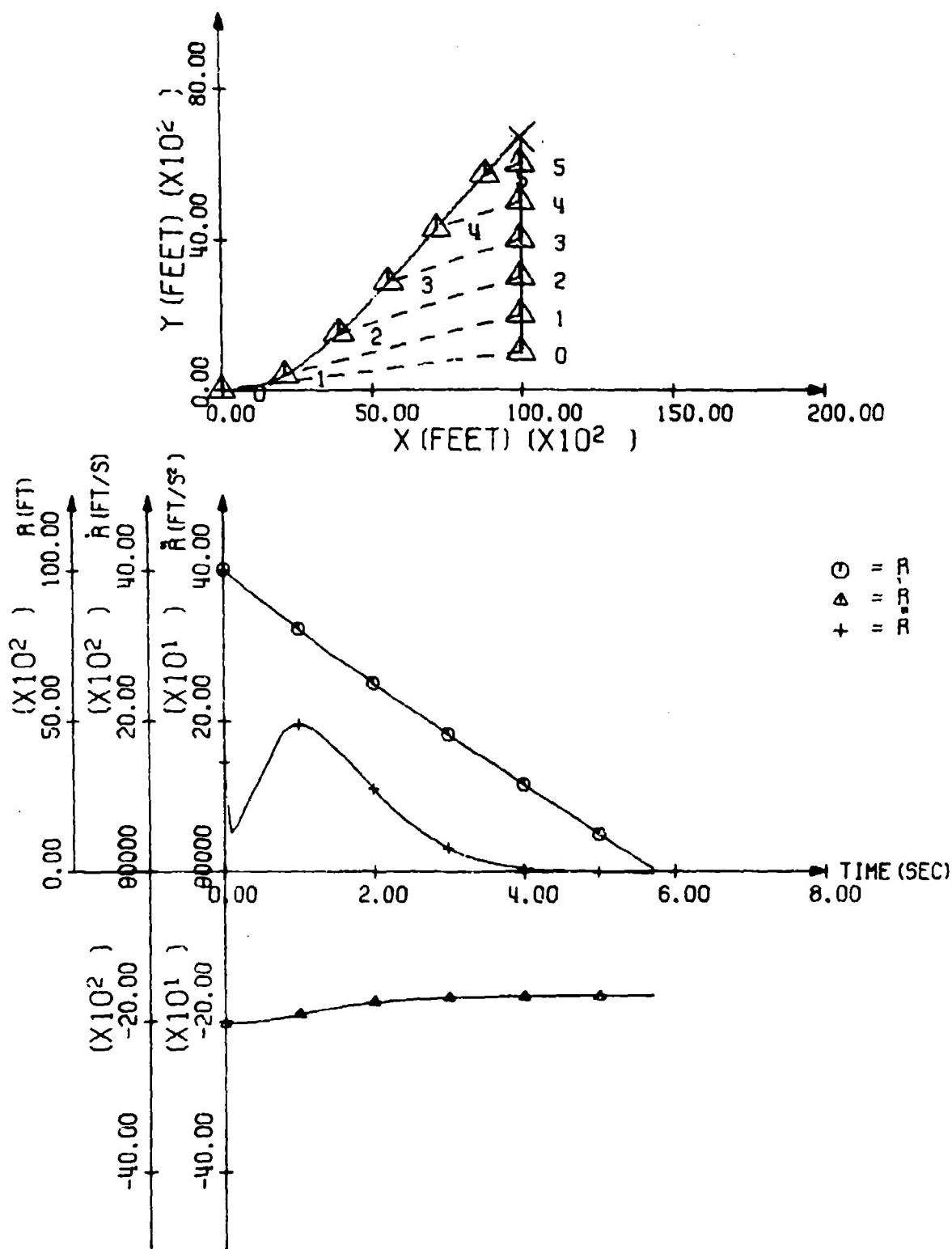


FIG. 4.4.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=90$, $\phi=0$, $X_T=10000$,
 $Y_T=1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.4

This grouping of curves shows a non-maneuvering target, with initial heading 90° relative to the attacking missile's centerline. In this situation, the LOS rate approaches zero after the missile response to the guidance. As a result, one can say in general that errors in the estimates will tend to grow large after LOS rate gets small. This is somewhat apparent to the curves on the right. It is also noted that curves (a) are not small compared to the others, as was observed in Figure 3. This is explained by the same reason, namely, that when neither the target nor missile maneuver, the estimation of range and range rate is difficult and more sensitive to computation error. The algorithm exhibits moderate sensitivity to initial range error and to accelerometer biasses.

- a. no error sources
- b. 1% error in $\hat{r}(0)$
- c. 1% error in $\dot{\hat{r}}(0)$
- d. 0.5° bias in $\dot{\sigma}$
- e. 0.1 g bias in a_{M_σ}
- f. 0.1 g bias in a_{M_r}
- g. 0.1° bias in seeker gimbal angle
- h. 20 ft/s bias in \hat{v}_M
- i. 1° error in $\hat{\alpha}$
- j. $\dot{v}_T = 1$ g

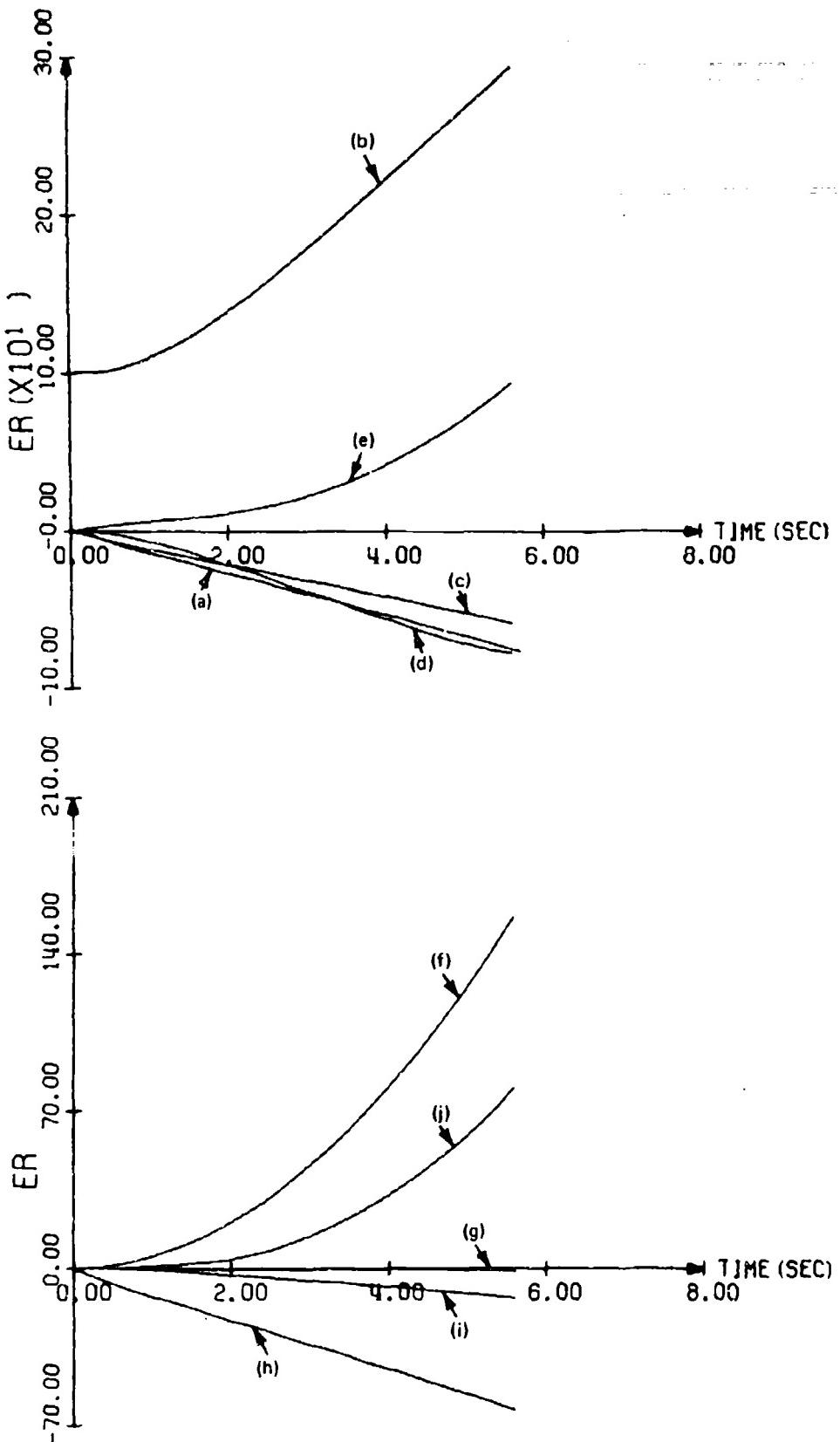


FIG. 4.4.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\gamma} = 90$, $\phi = 0$, $XT = 10000$,
 $YT = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

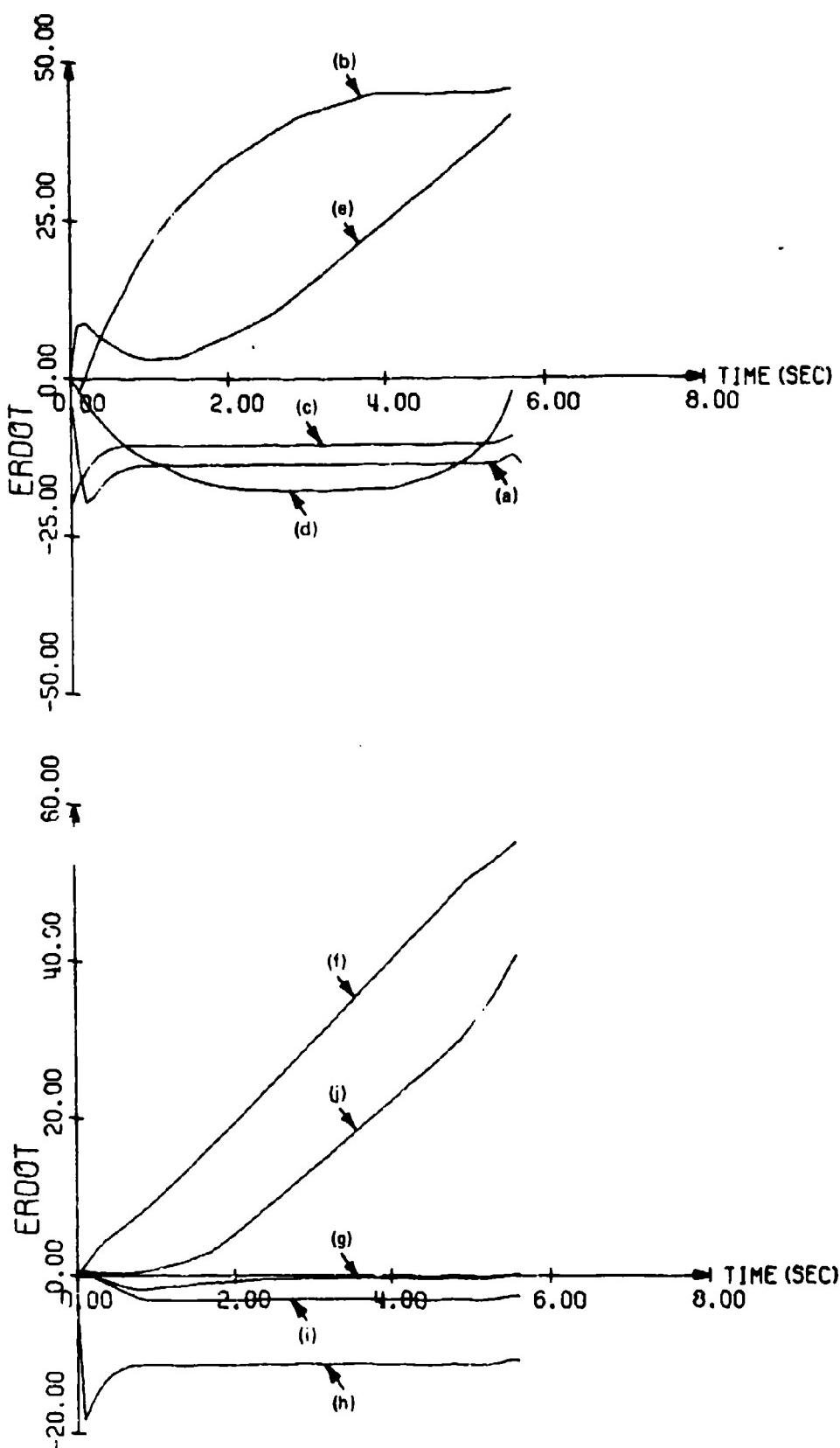


FIG. 4.4.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS, $\dot{\theta} = 90$, $\theta = 0$, $XT = 10000$,
 $YT = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

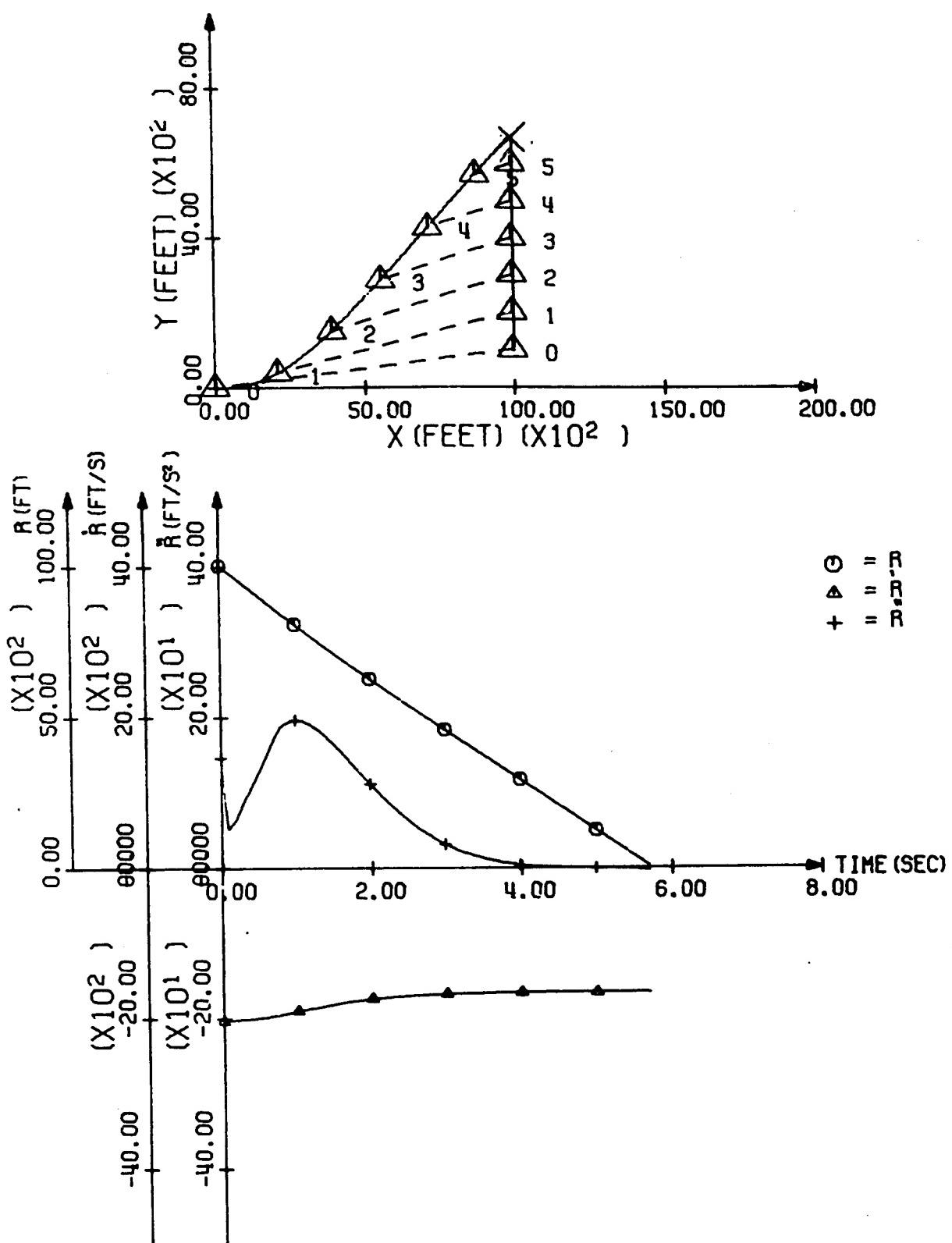


FIG. 4.4.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=90$, $\phi=0$, $xt=10000$,
 $yt=1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.5

Figure 4.5 shows the target with an initial heading of 90° relative to the missile centerline, and a bank angle of 80° , implying a hard turn to the left. With this configuration, the aspect angle ξ_T is close to 90° at all times, in fact it passes through 90° . The error analysis equation predicts that the estimates would be extremely sensitive in this case. However, the nonlinearity in sensing the sign of V_T , which is not considered in the error analysis equation, prevents the estimates from becoming sensitive, and in fact the errors from all sources are only slight; this can be observed on the curves to the right.

- | | |
|---------------------------------------|--|
| a. no error sources | f. 0.1 g bias in a_{M_r} |
| b. 1% error in $\hat{r}(0)$ | g. 0.1° bias in seeker gimbal angle |
| c. 1% error in $\dot{\hat{r}}(0)$ | h. 20 ft/s bias in \hat{V}_M |
| d. 0.5° bias in $\dot{\sigma}$ | i. 1° error in $\hat{\alpha}$ |
| e. 0.1 g bias in a_{M_σ} | j. $\dot{V}_T = 1$ g |

Without the dead space in Block H of Fig. 4.1, the estimator would be unstable, see Fig. 4.5.4 below.

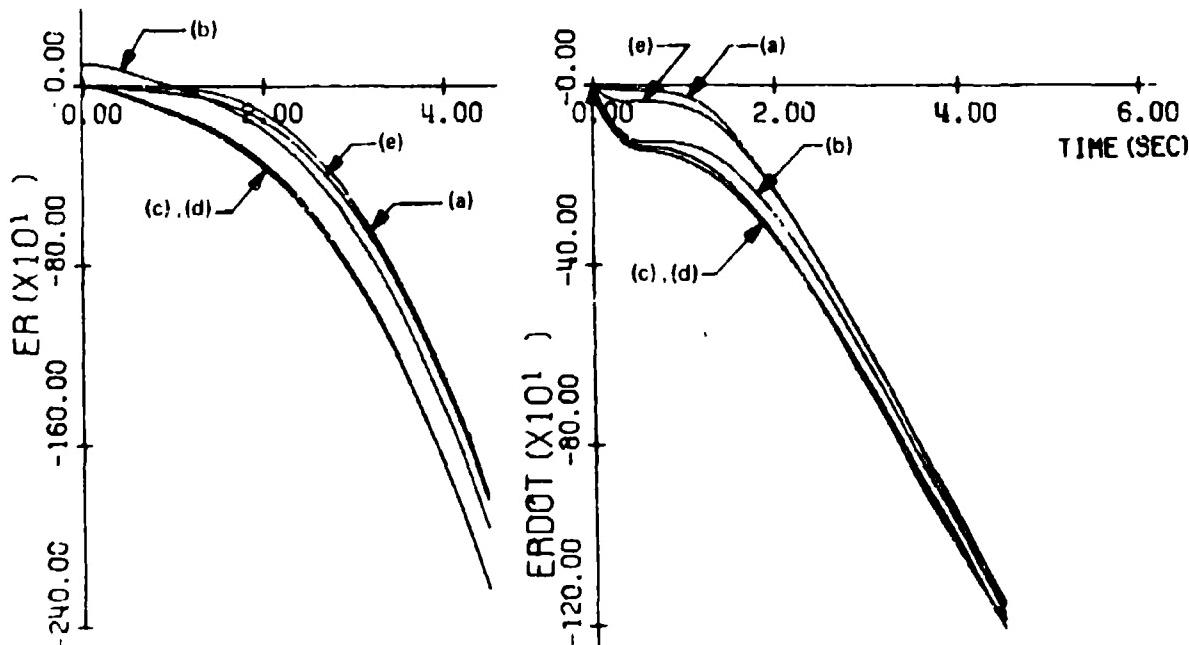


FIG. 4.5.4 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\psi = 90^\circ$, $\phi = 80^\circ$, $x_T = 10000$,
 $y_T = 1000$. ERRORS IN RANGE ESTIMATE & RANGE RATE ESTIMATE
FROM VARIOUS SOURCES

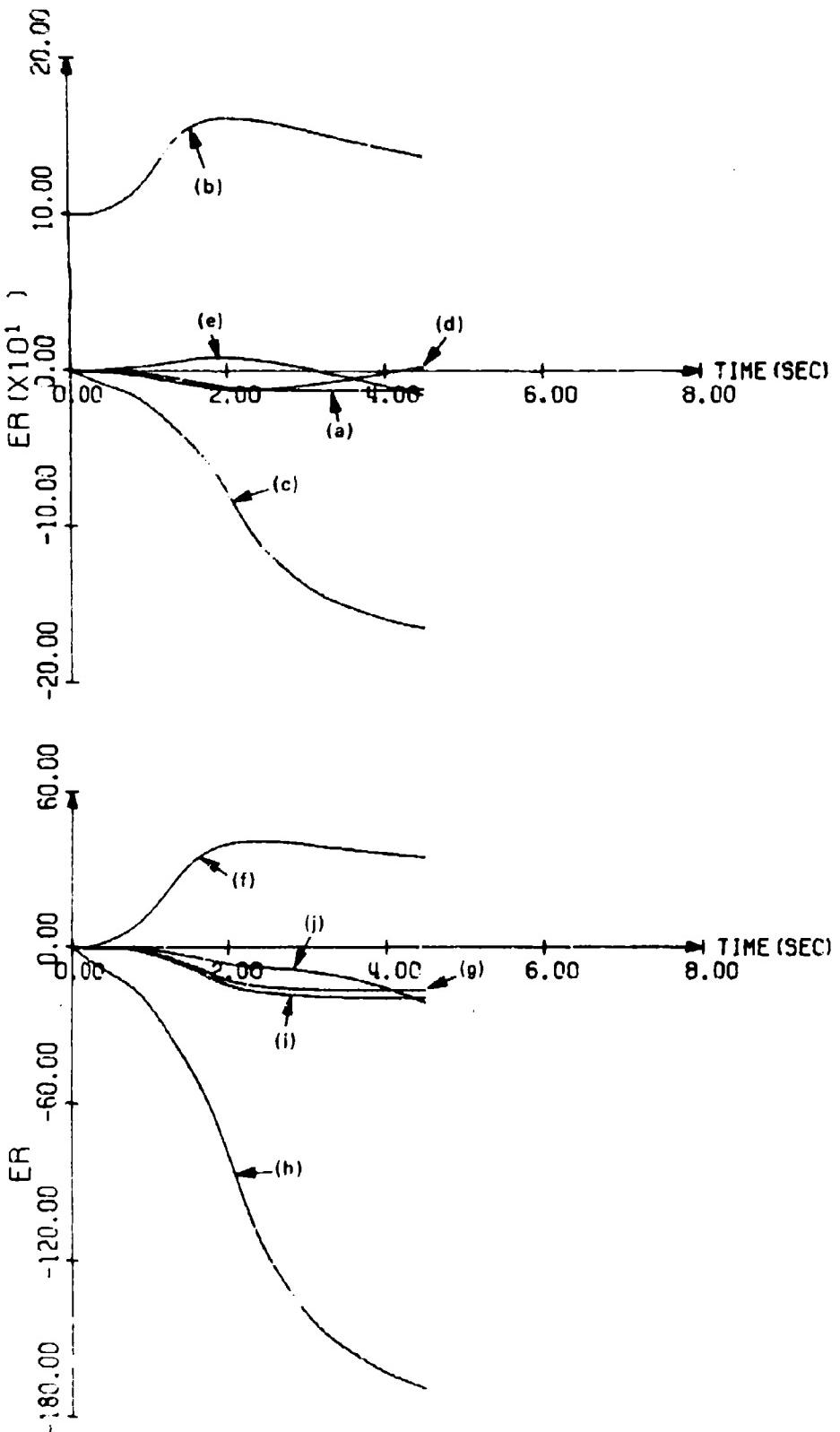


FIG. 4.5.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\psi = 90^\circ$, $\phi = 80^\circ$, $XT = 10000$,
 $YT = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

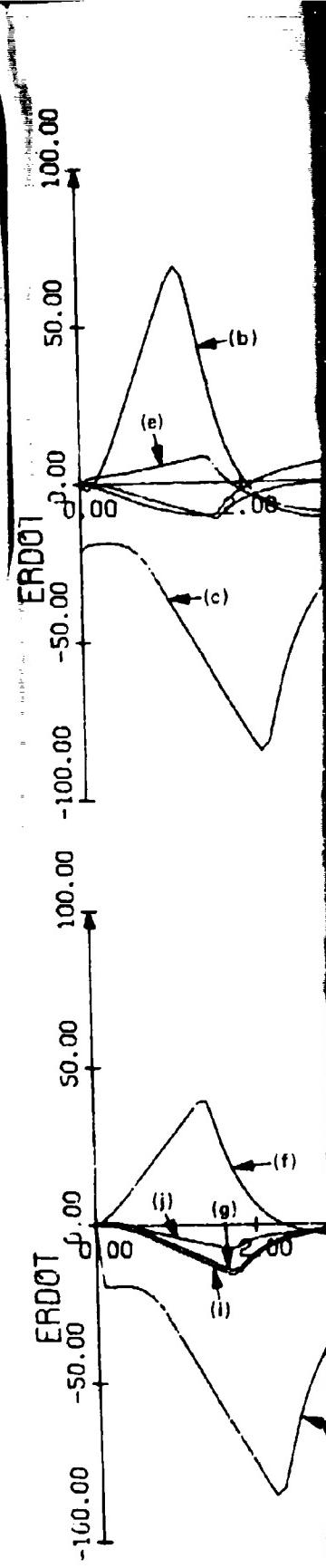
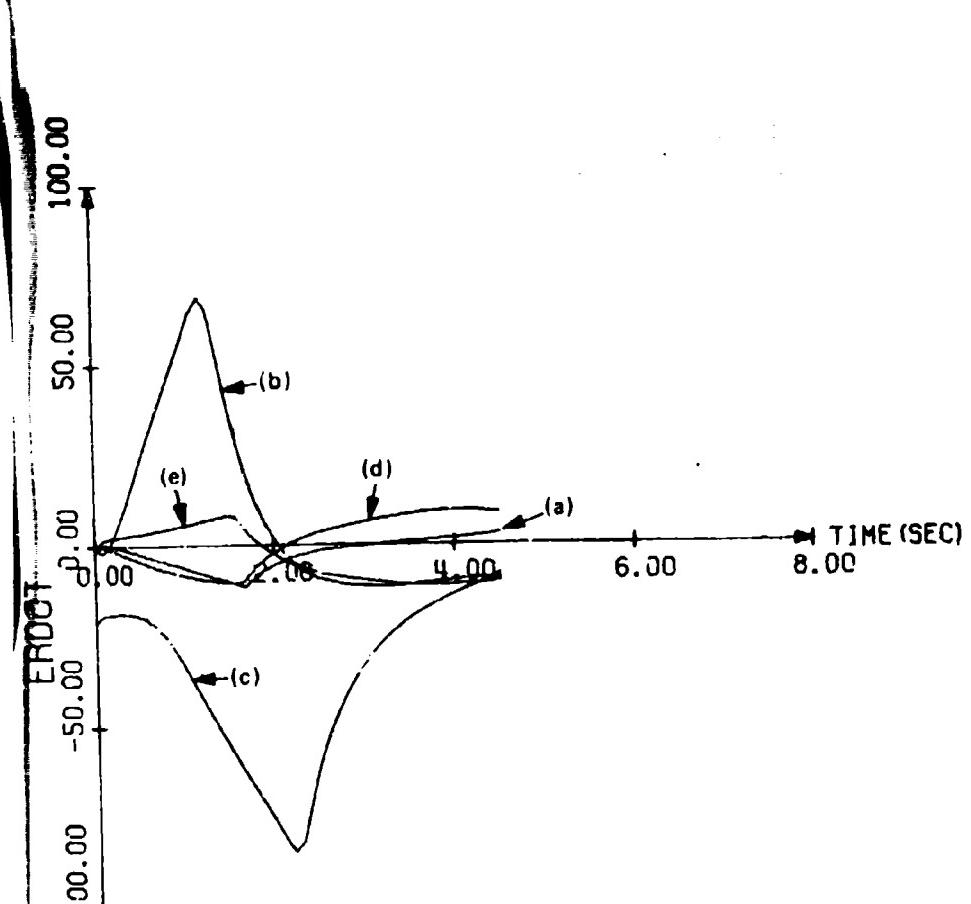


FIG. 4.5.3 DYNAMIC
TARGET INITIAL CONDITION
 $YT = 1000$. ERRORS IN R

TIME (SEC)



TIME (SEC)

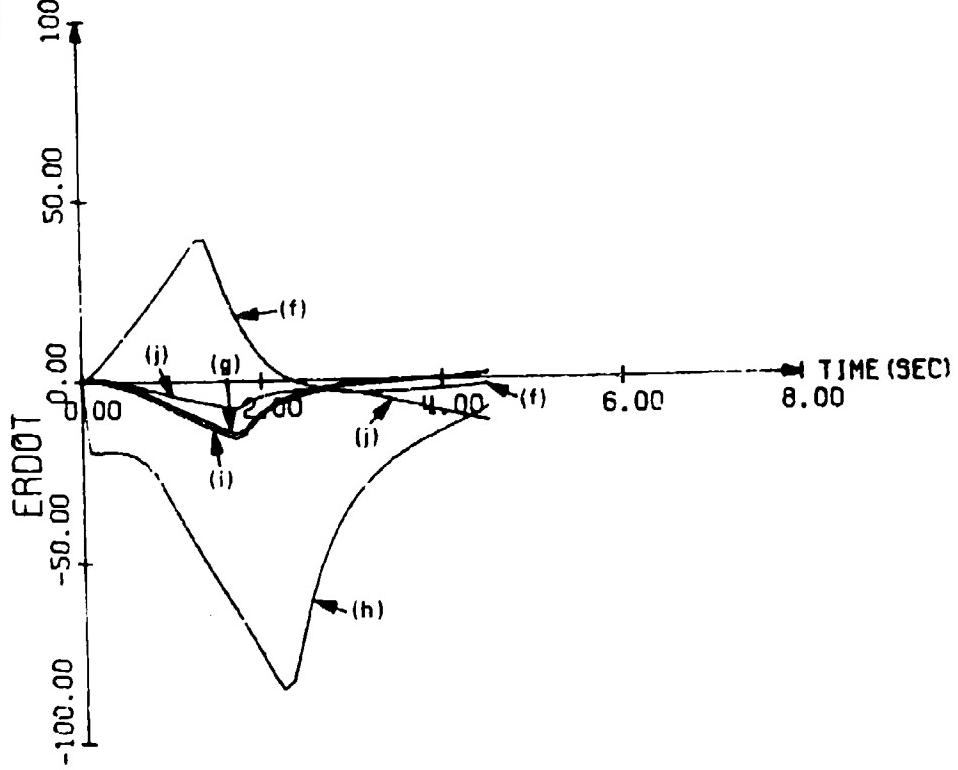


FIG. 4.5.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\tau} = 90$, $\phi = 80$, $X_T = 10000$,
 $Y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

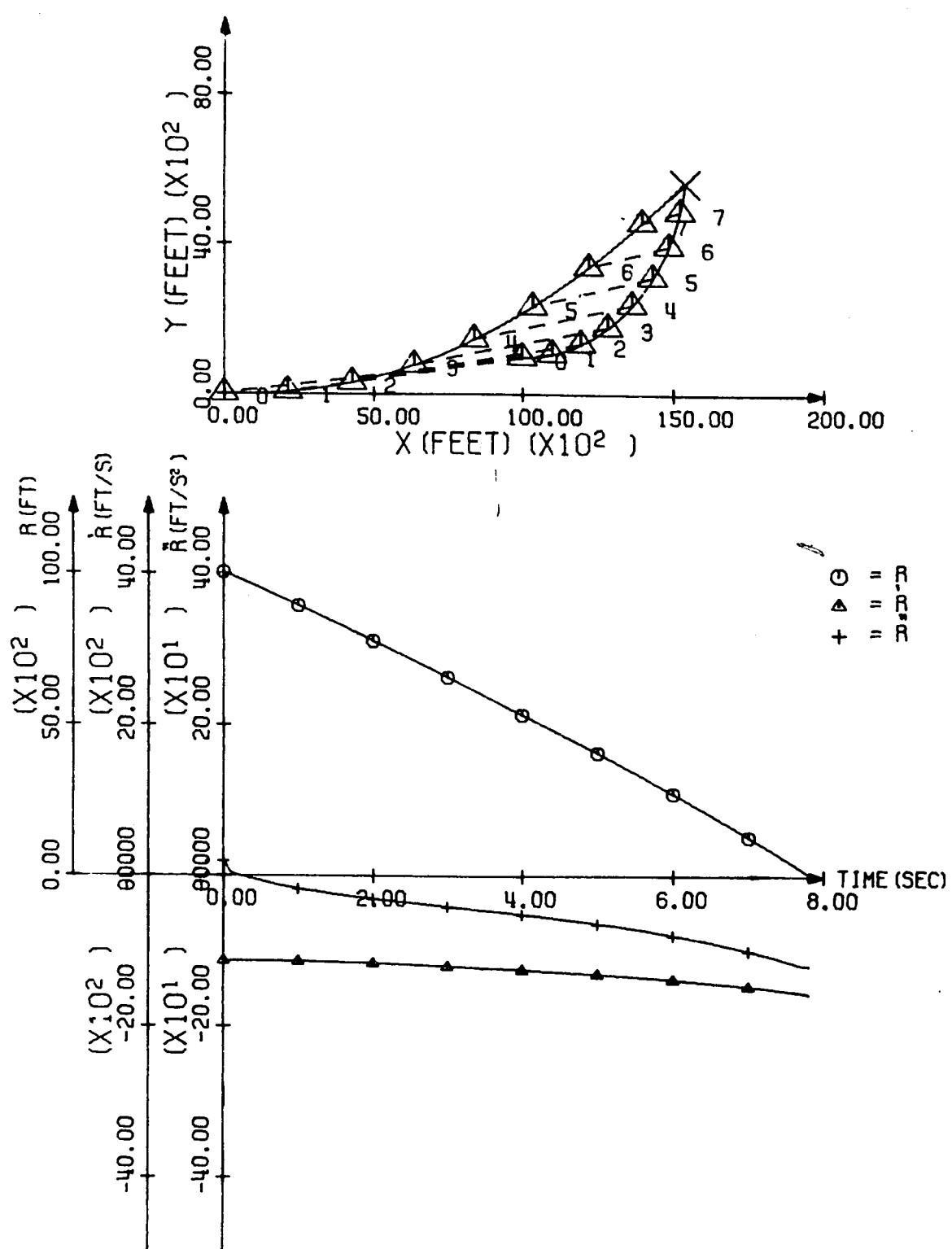


FIG. 4.6.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=0$, $\phi=80$, $X_T=10000$,
 $Y_T=1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

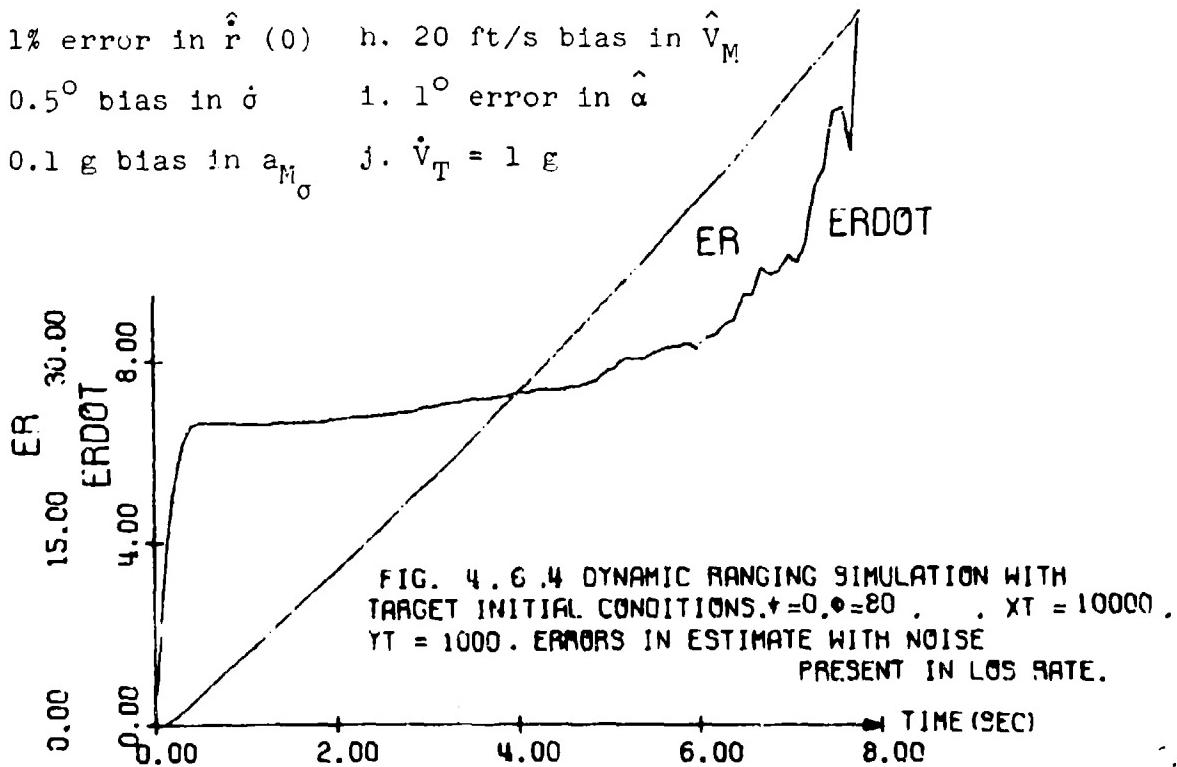
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FIGURE 4.6

This shows the target with an initial relative heading of 0° , and a bank angle of 80° . The largest error appears to occur for case (j), target airspeed change, in agreement with previously explained simulations. The other significant error source, (d), is LOS rate bias.

FIGURE 4.6.4, below, shows the errors when noise is added to the LOS rate, instead of the fixed bias present in curve (d). This random noise was constructed to have zero mean and standard deviation equal to $5.0/\text{Range}$; thus the noise becomes quite large as range decreases.

- a. no error sources
- b. 1% error in $\hat{r}(0)$
- c. 1% error in $\dot{\hat{r}}(0)$
- d. 0.5° bias in $\dot{\sigma}$
- e. 0.1 g bias in a_{M_0}
- f. 0.1 g bias in a_{M_r}
- g. 0.1° bias in seeker gimbal angle
- h. 20 ft/s bias in \hat{V}_M
- i. 1° error in $\hat{\alpha}$
- j. $\dot{V}_T = 1 \text{ g}$



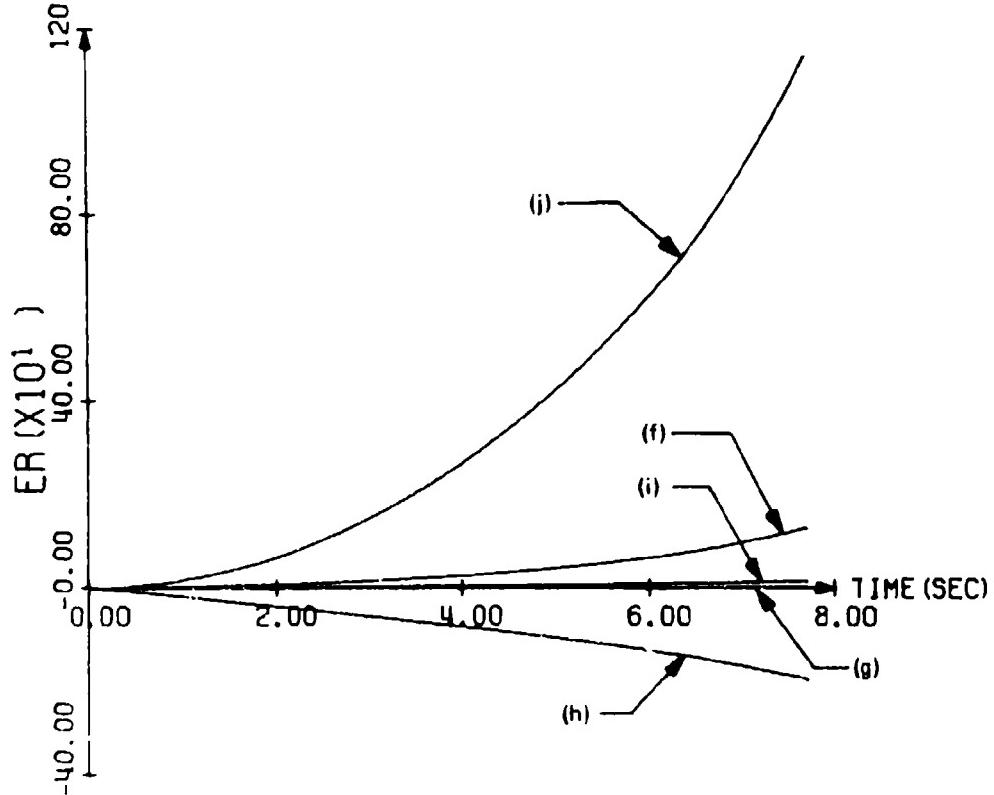
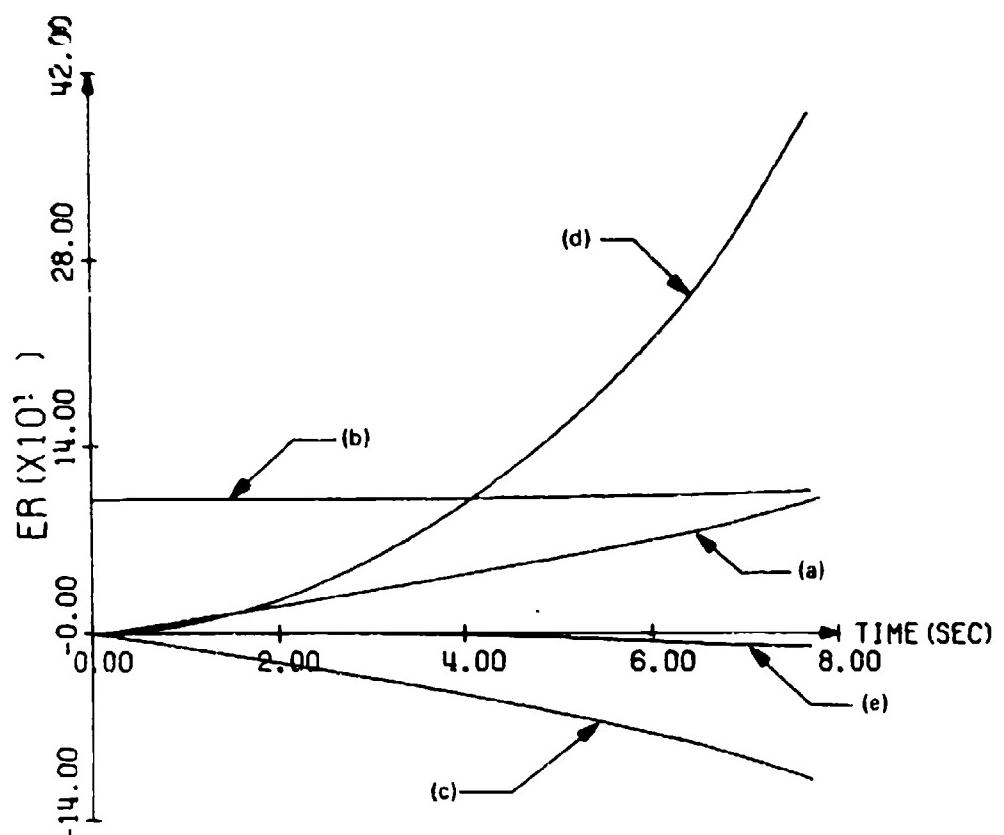


FIG. 4.6.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=0$, $\phi=80$, $XT=10000$,
 $r=1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

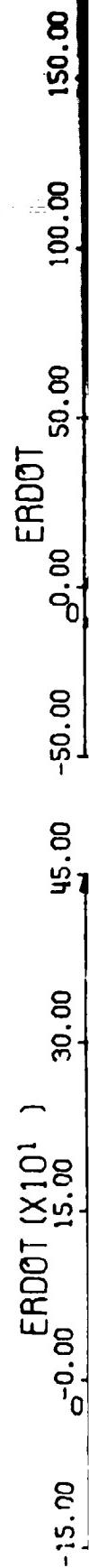


FIG.
TARGET
 $YT = 1$

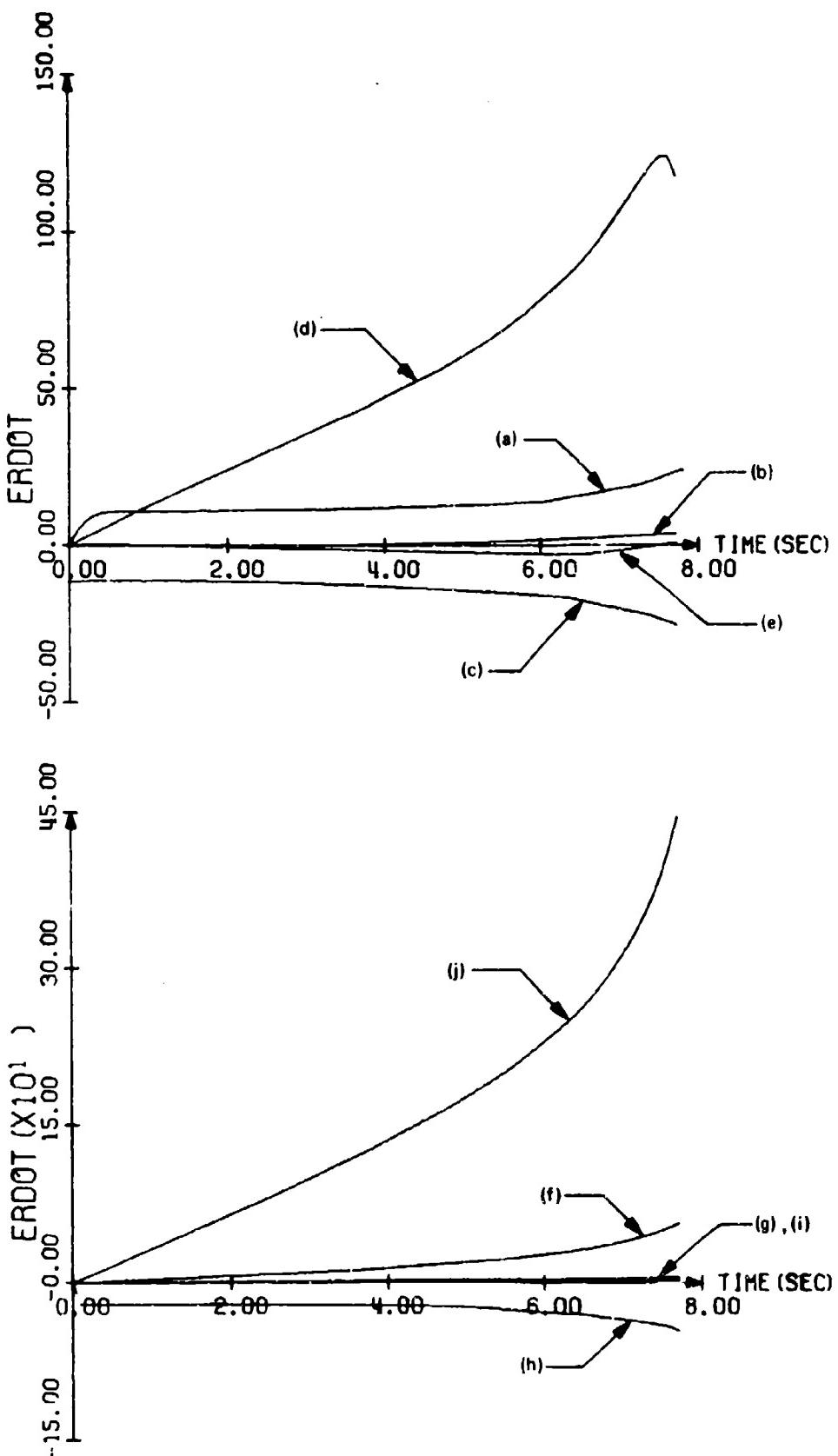


FIG. 4.6.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=0$, $\theta = 80^\circ$, $x_T = 10000$,
 $y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

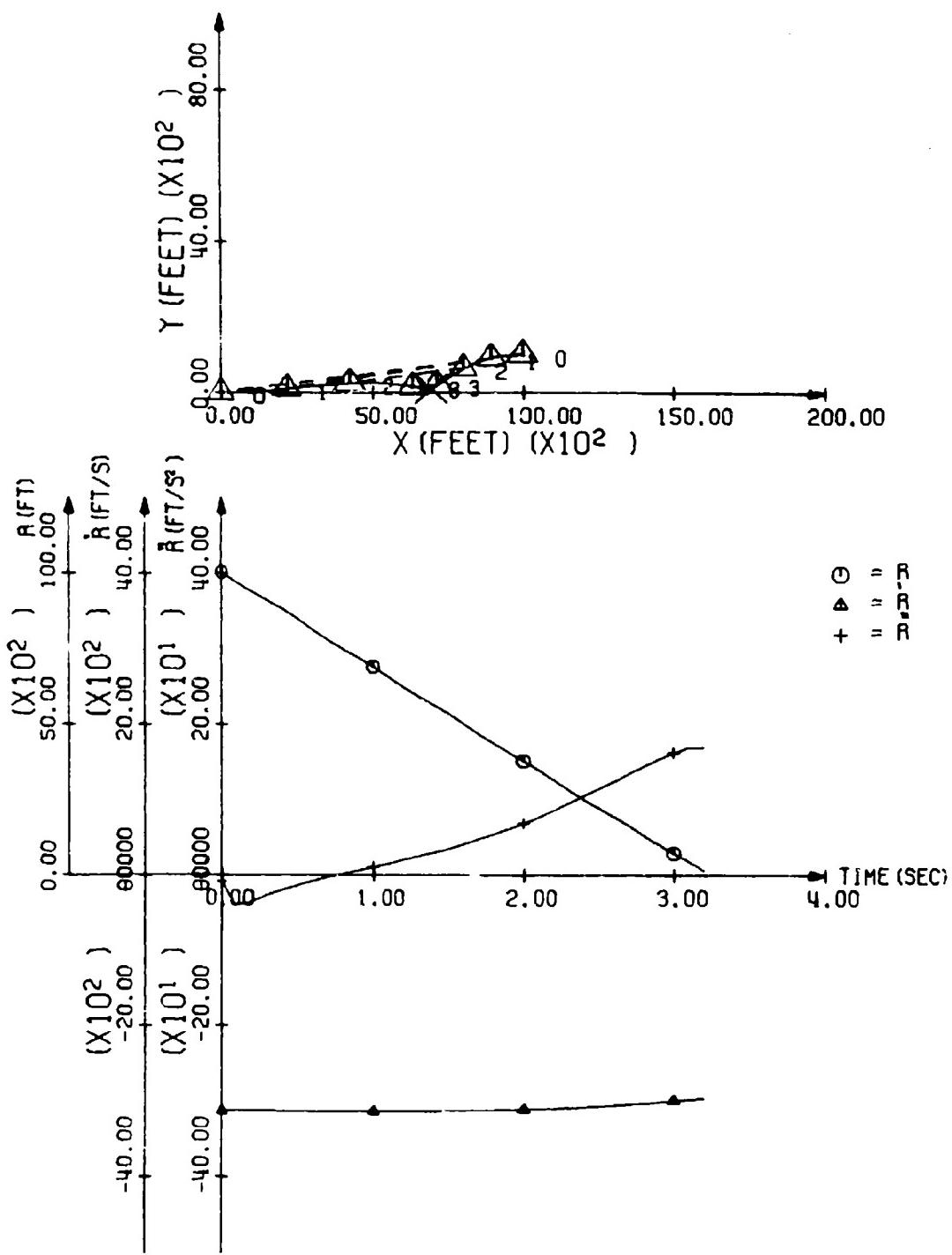


FIG. 4.7.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\gamma} = 180$, $\phi = 80$, $X_T = 10000$,
 $Y_T = 1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.7

This geometry displays a head-on situation where the target and missile are approaching each other with maximum closure rate. The target is turning left. Error curve (a) remains small. Curve (b) is worthy of note, as it demonstrates that an error in initial range estimate need not lead to divergence of the range-rate estimate. Curve (c) shows readily that an error in range-rate leads to an increasing error in range. Curve (j) again shows the largest errors, due to target airspeed change.

- a. no error sources
- b. 1% error in $\hat{r}(0)$
- c. 1% error in $\dot{\hat{r}}(0)$
- d. 0.5° bias in $\dot{\sigma}$
- e. 0.1 g bias in a_{M_0}
- f. 0.1 g bias in a_{M_r}
- g. 0.1° bias in seeker gimbal angle
- h. 20 ft/s bias in \hat{v}_M
- i. 1° error in $\hat{\alpha}$
- j. $\dot{v}_T = 1 \text{ g}$

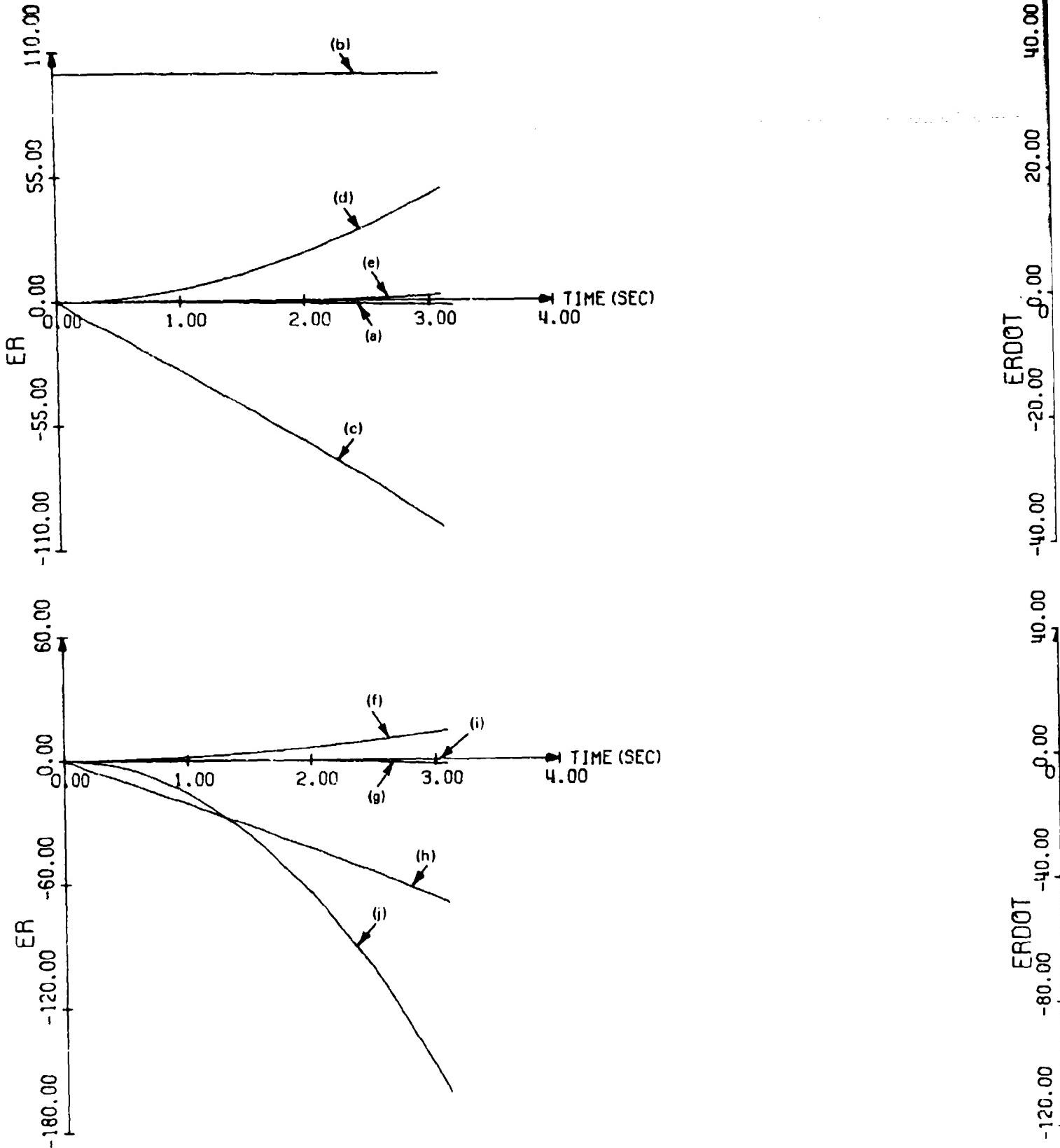


FIG. 4.7.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\psi = 180$, $\phi = 80$, $XT = 10000$,
 $TT = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

FIG.
TARGET
YT = 10

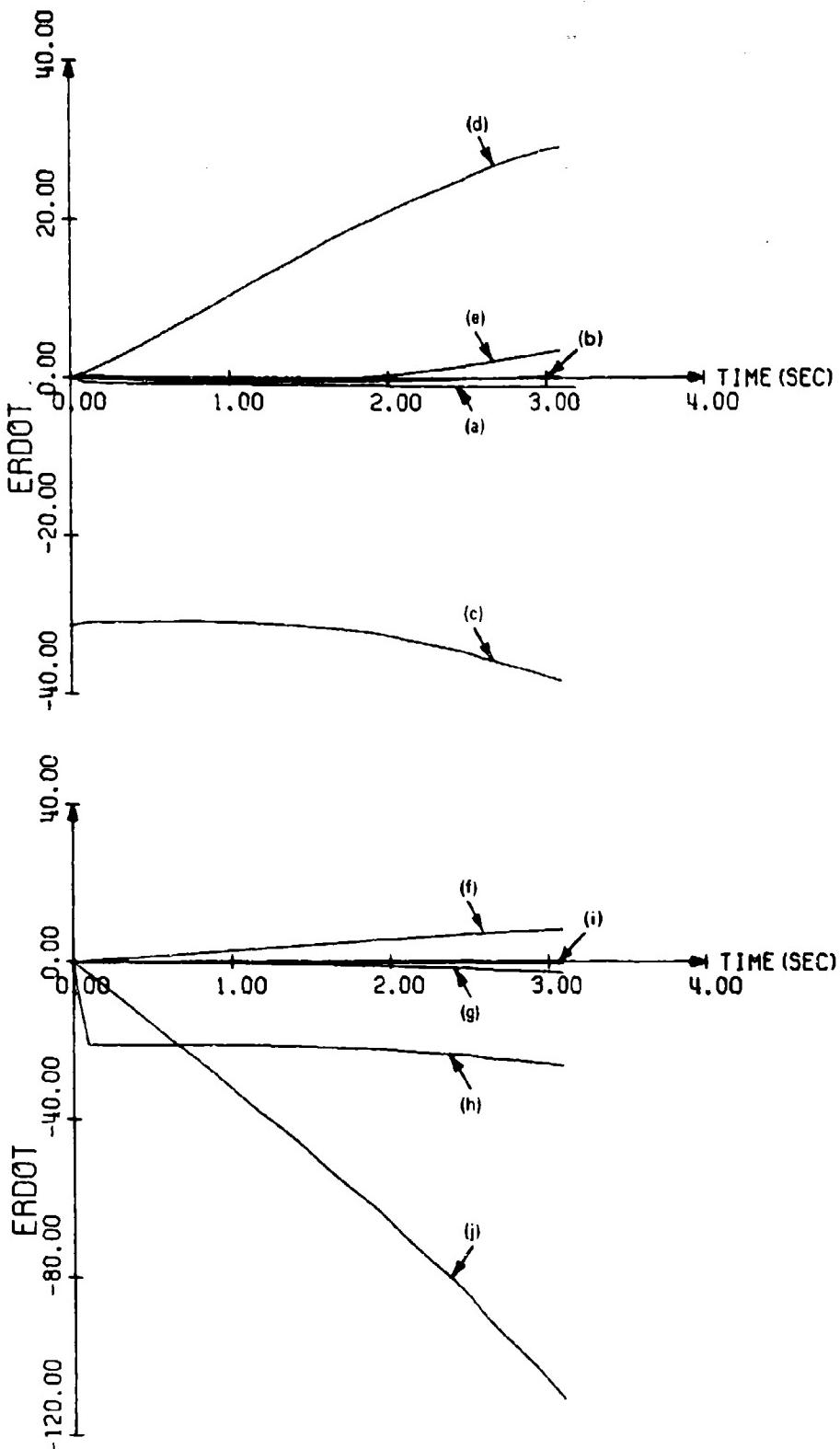


FIG. 4.7.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\gamma} = 180$, $\phi = 80$, $x_T = 10000$,
 $y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

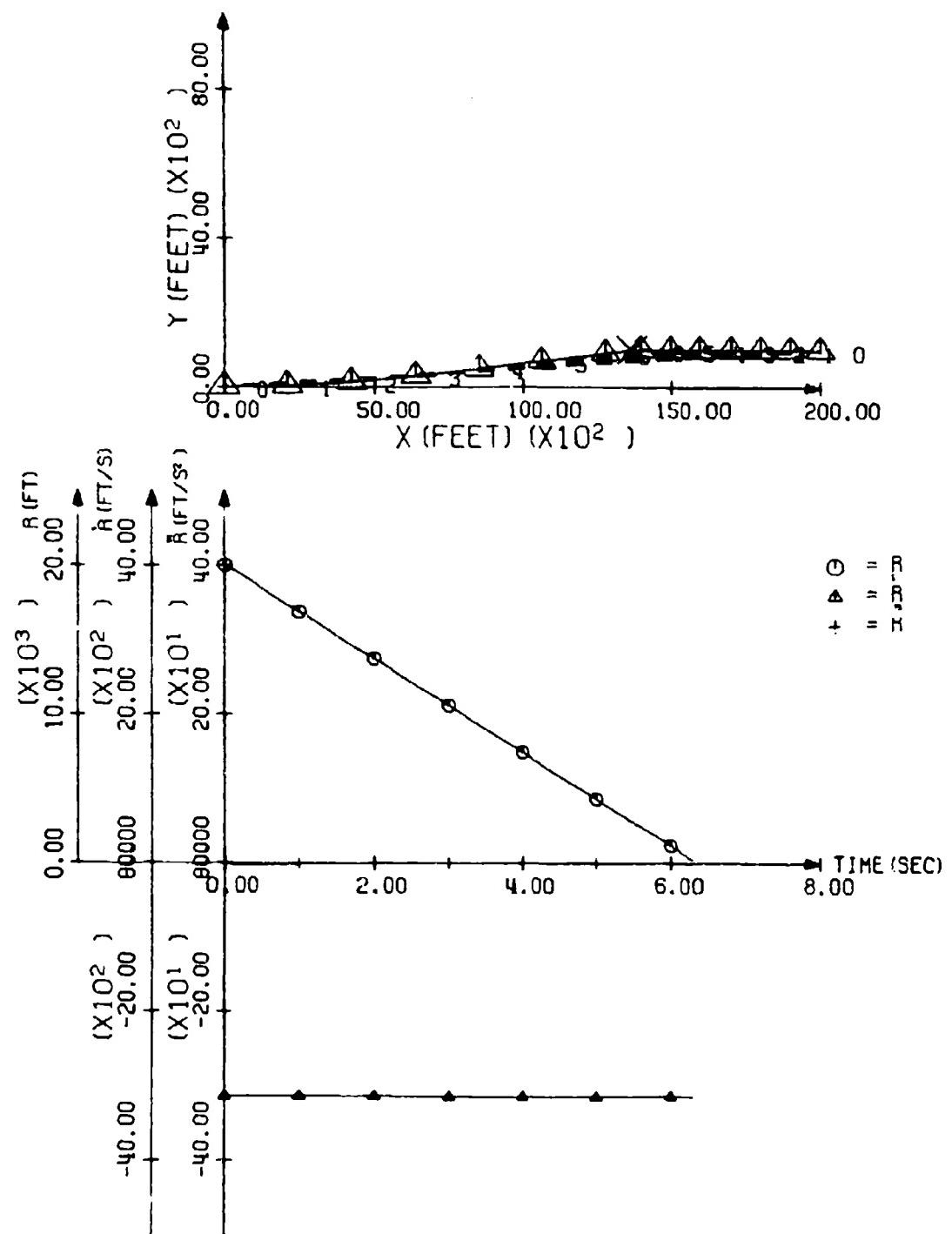


FIG. 4.8.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $t=180$, $\phi=0$, $X_T=20000$,
 $Y_T=1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

Bell Aerospace Company

FIGURE 4.8

The figure shows a head-on situation, with the target initially at slightly more than 20,000 feet range, flying straight (no turn rate). Range-rate is quite constant, and range acceleration is negligible and barely discernible from the time axis. Errors in the estimates are very small except for a few cases. Curve (c) again shows that a constant range-rate error leads to an increasing range error. Again, the range error in curve (b) does not lead to a range-rate error. Curves (j) show the largest errors.

- a. no error sources
 - b. 1% error in $\hat{r}(0)$
 - c. 1% error in $\dot{\hat{r}}(0)$
 - d. 0.5° bias in $\dot{\alpha}$
 - e. 0.1 g bias in $a_{M\sigma}$
 - f. 0.1 g bias in a_{Mr}
 - g. 0.1° bias in seeker gimbal angle
 - h. 20 ft/s bias in \hat{V}_M
 - i. 1° error in $\hat{\alpha}$
 - j. $\dot{V}_T = 1 \text{ g}$
- 2

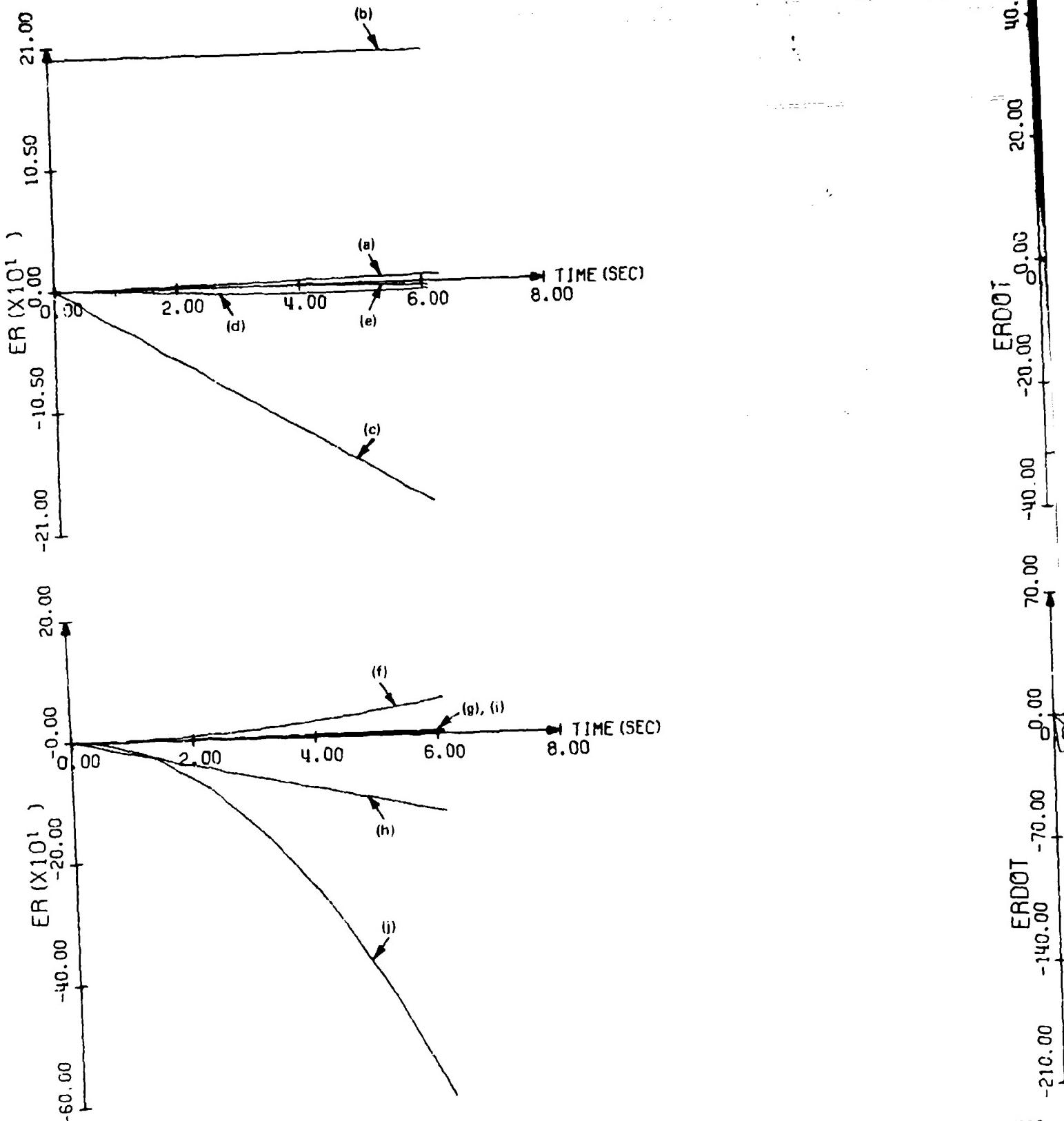


FIG. 4.8.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\psi = 180^\circ$, $\phi = 0^\circ$, $XT = 20000$,
 $YT = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

FIG. 4
TARGET
 $YT = 100$

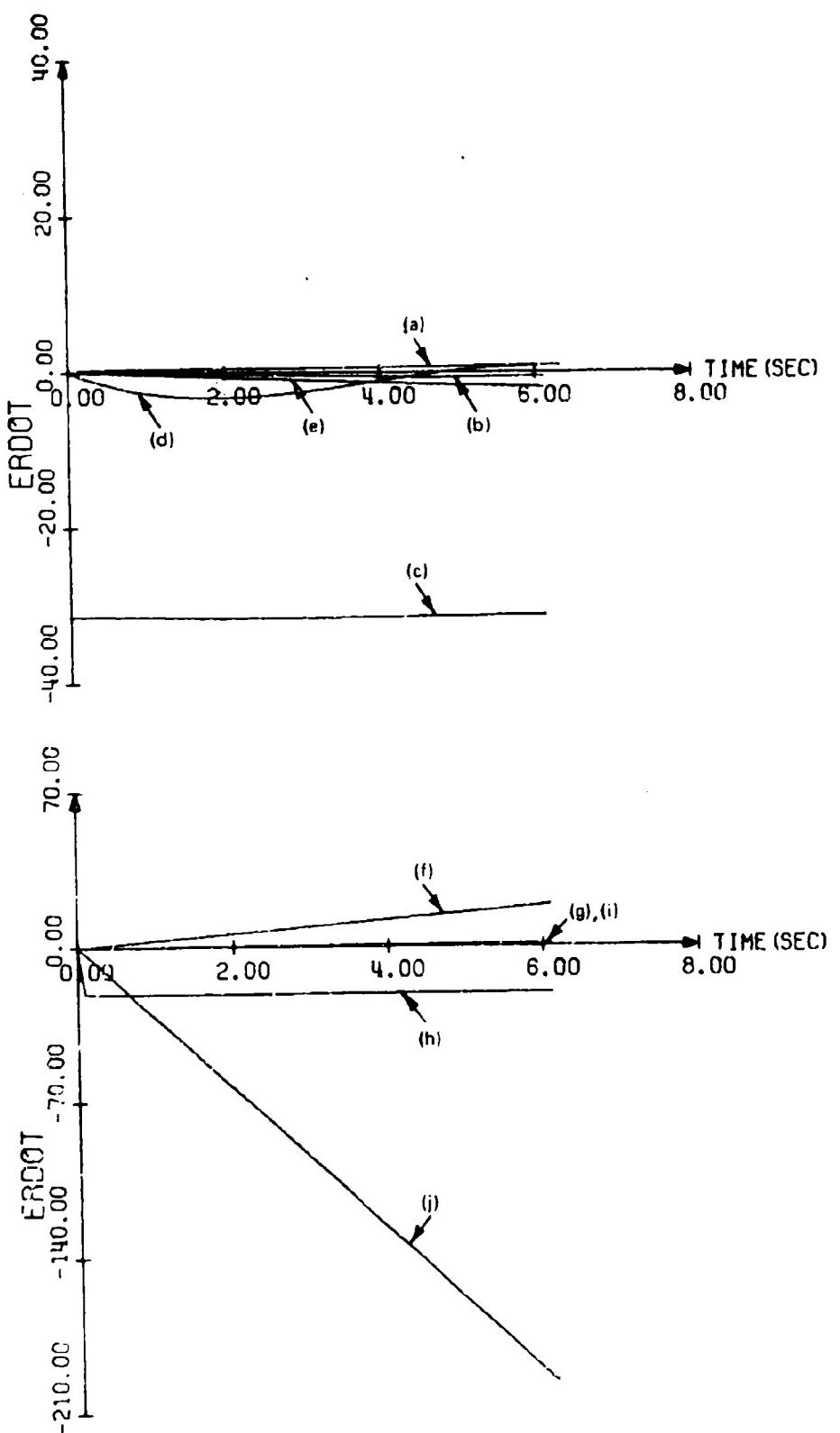


FIG. 4.8.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\psi} = 180$, $\phi = 0^\circ$, $XT = 20000$,
 $YT = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

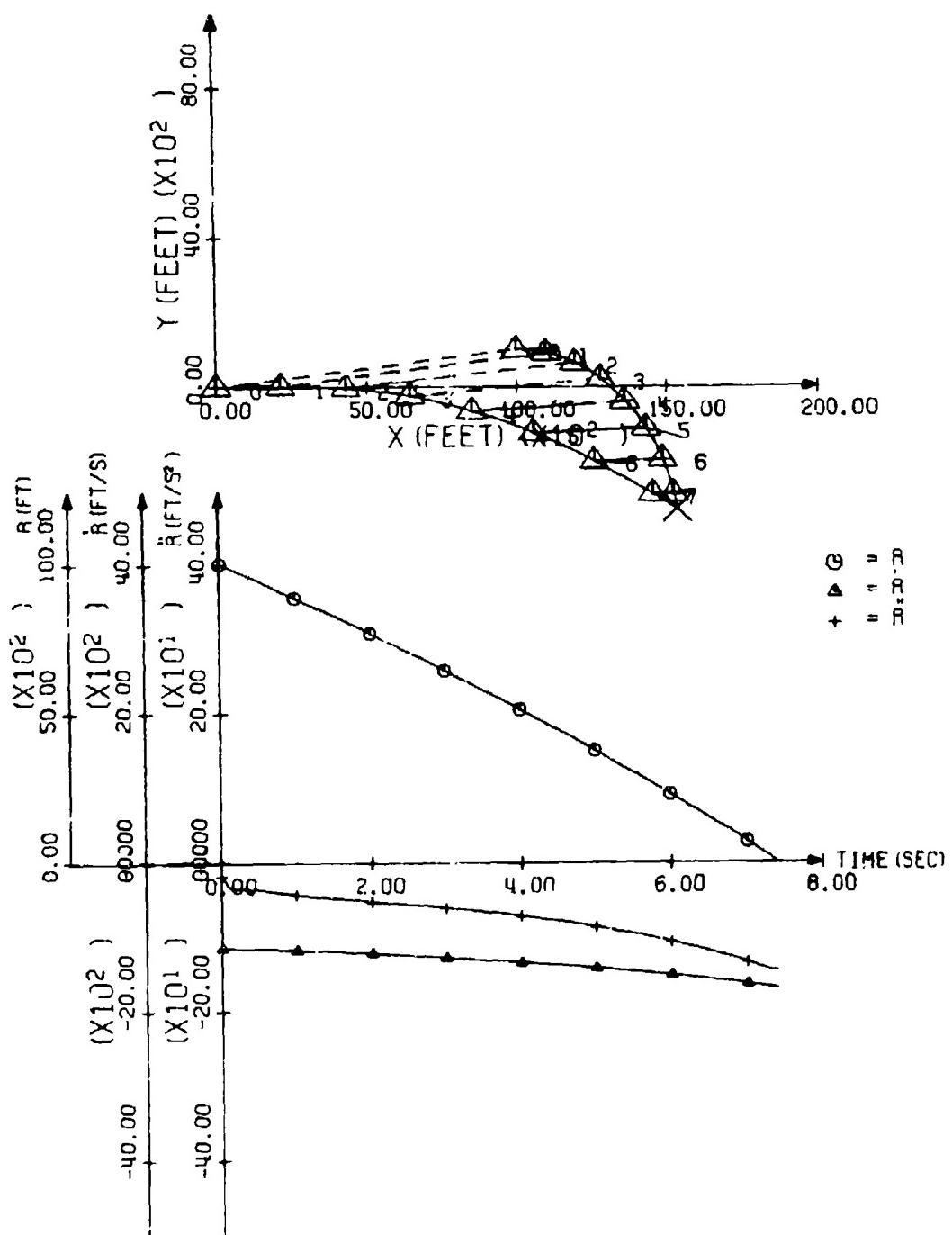


FIG. 4.9.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\phi = 0^\circ$, $\theta = -80^\circ$, $X_T = 10000$,
 $T_1 = 1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.9

This figure has target heading 0° , and bank angle -80° . Thus pursuit starts as a tail chase, and ends with a rear-side attack. Target initial range is slightly more than 10,000 ft. The estimate errors generally follow the same pattern established in previous trajectories, with the largest error sources being $\dot{\sigma}$ bias (d) and target airspeed change (j).

- | | |
|---------------------------------------|--|
| a. no error sources | f. 0.1 g bias in a_{M_r} |
| b. 1% error in $\hat{r}(0)$ | g. 0.1° bias in seeker gimbal angle |
| c. 1% error in $\hat{r}(0)$ | h. 20 ft/s bias in \hat{V}_M |
| d. 0.5° bias in $\dot{\sigma}$ | i. 1° error in $\hat{\alpha}$ |
| e. 0.1 g bias in a_{M_0} | j. $\dot{V}_T = 1 \text{ g}$ |

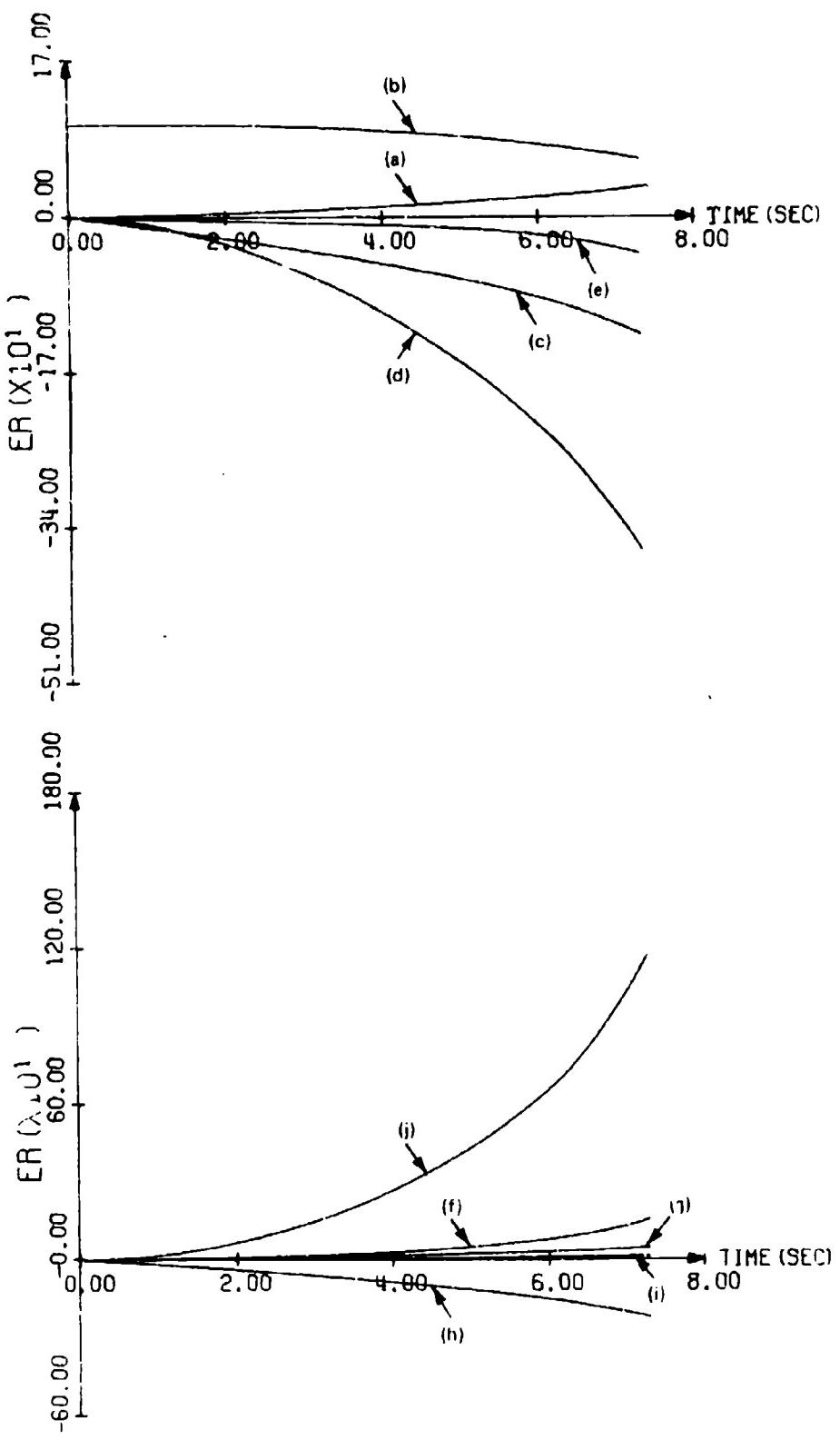


FIG. 4.9.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS, $\psi=0$, $\phi=-80$, $XT=10000$,
 $YT=1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

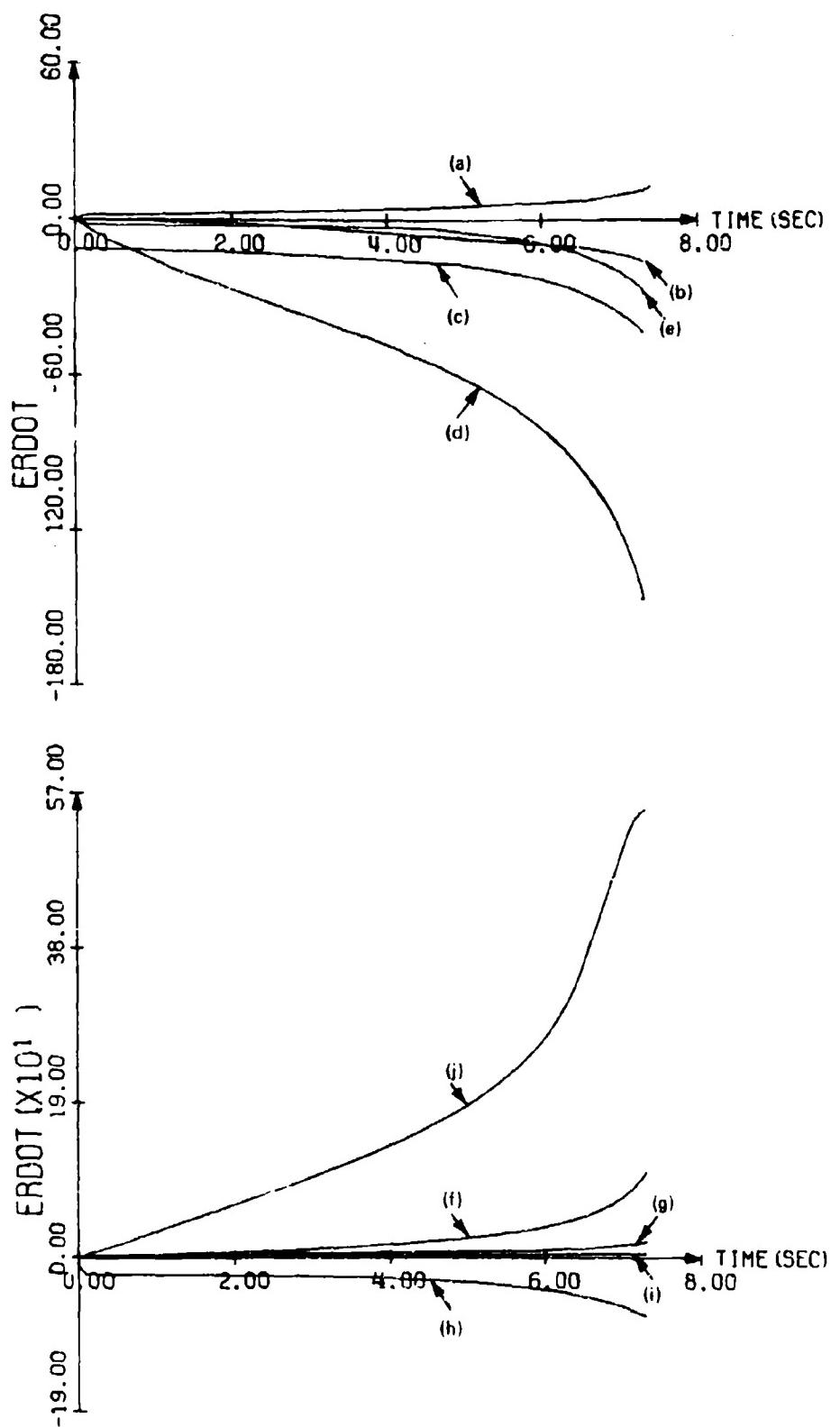


FIG. 4 . 9 . 3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\gamma} = 0$, $\phi = -80$, $X_T = 10000$,
 $Y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

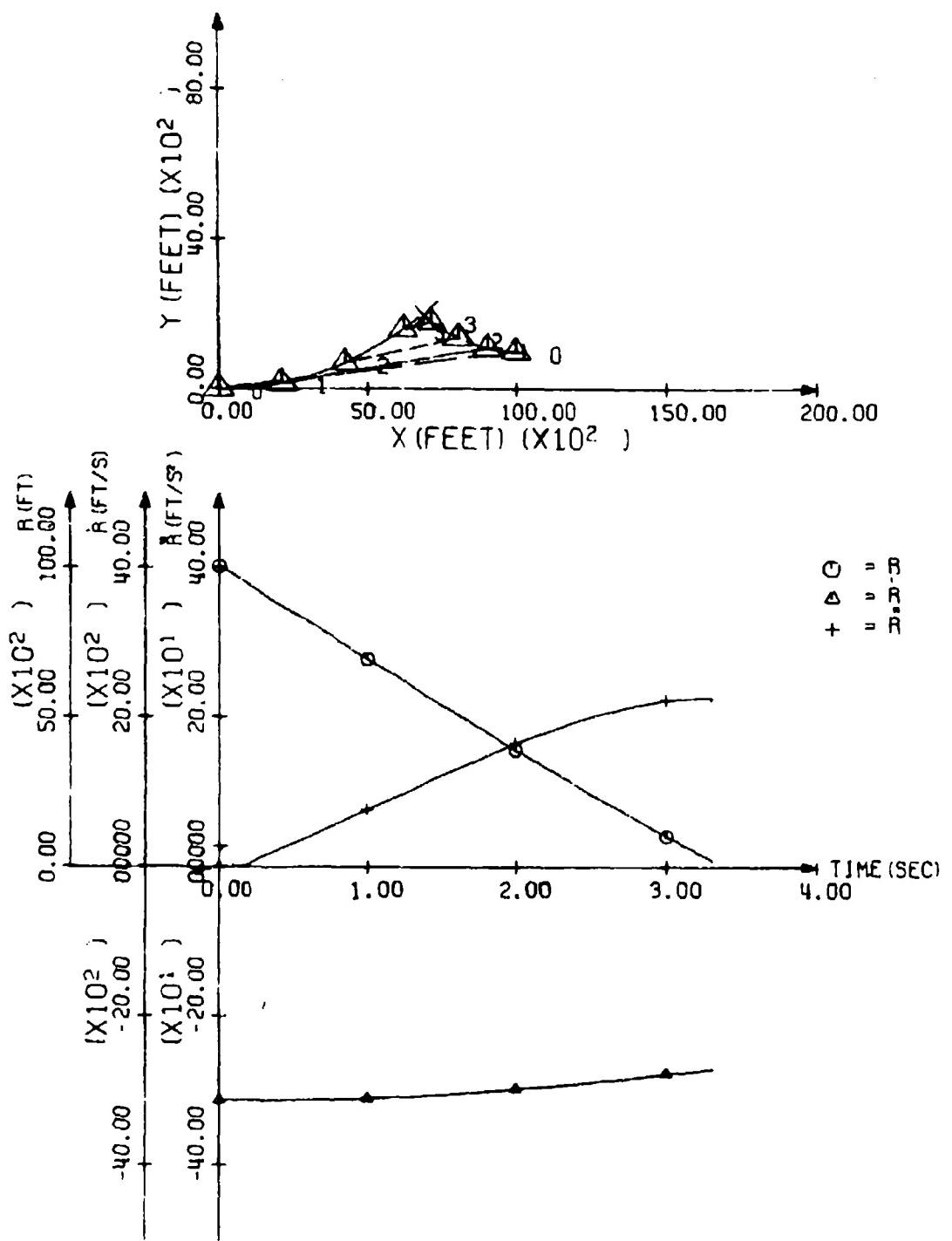


FIG. 4.10.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS, $\dot{\phi} = 180^\circ$, $\phi = 80^\circ$, $X_T = 10000$,
 $Y_T = 1000$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.10

This trajectory displays a head-on trajectory with initial range around 10,000 ft. The target is executing a hard right turn. The estimate errors are somewhat related to the errors portrayed in Figure 4.7, where the turn was in the opposite direction.

- a. no error sources
- b. 1% error in $\hat{r}(0)$
- c. 1% error in $\hat{\dot{r}}(0)$
- d. 0.5° bias in δ
- e. 0.1 g bias in a_{M_0}
- f. 0.1 g bias in a_{M_r}
- g. 0.1° bias in seeker gimbal angle
- h. 20 ft/s bias in \hat{V}_M
- i. 1° error in $\hat{\alpha}$
- j. $\dot{V}_T = 1$ g

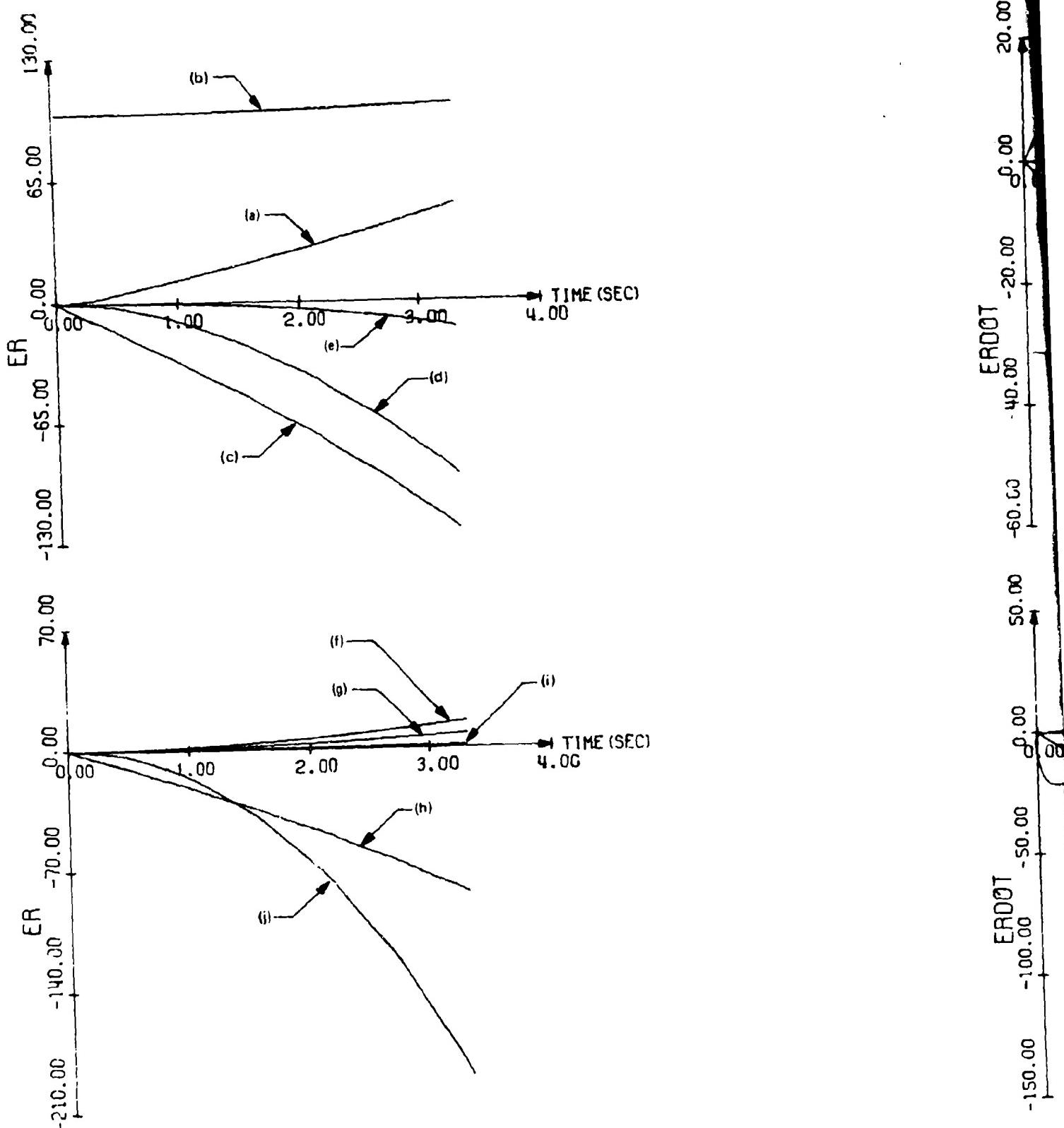


FIG. 4.10.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS, $\dot{\gamma} = 180$, $\phi = -80$, $\gamma_T = 10000$,
 $r_T = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

FIG. 4
TARGET IN
 $\gamma_T = 1000$

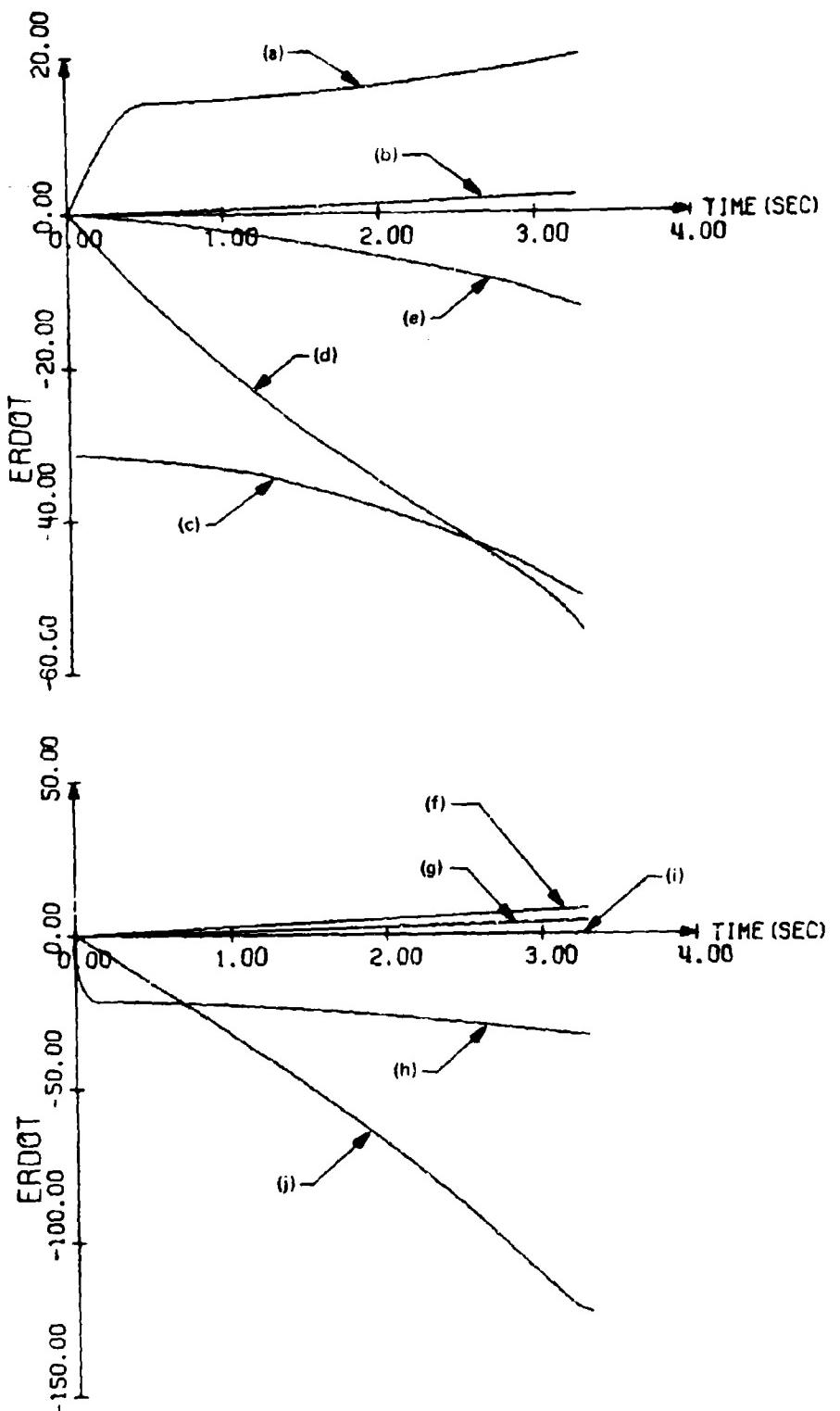


FIG. 4.10.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\phi = 180$, $\psi = -80$, $X_T = 10000$,
 $Y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

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a.
b.
c.
d.
e.

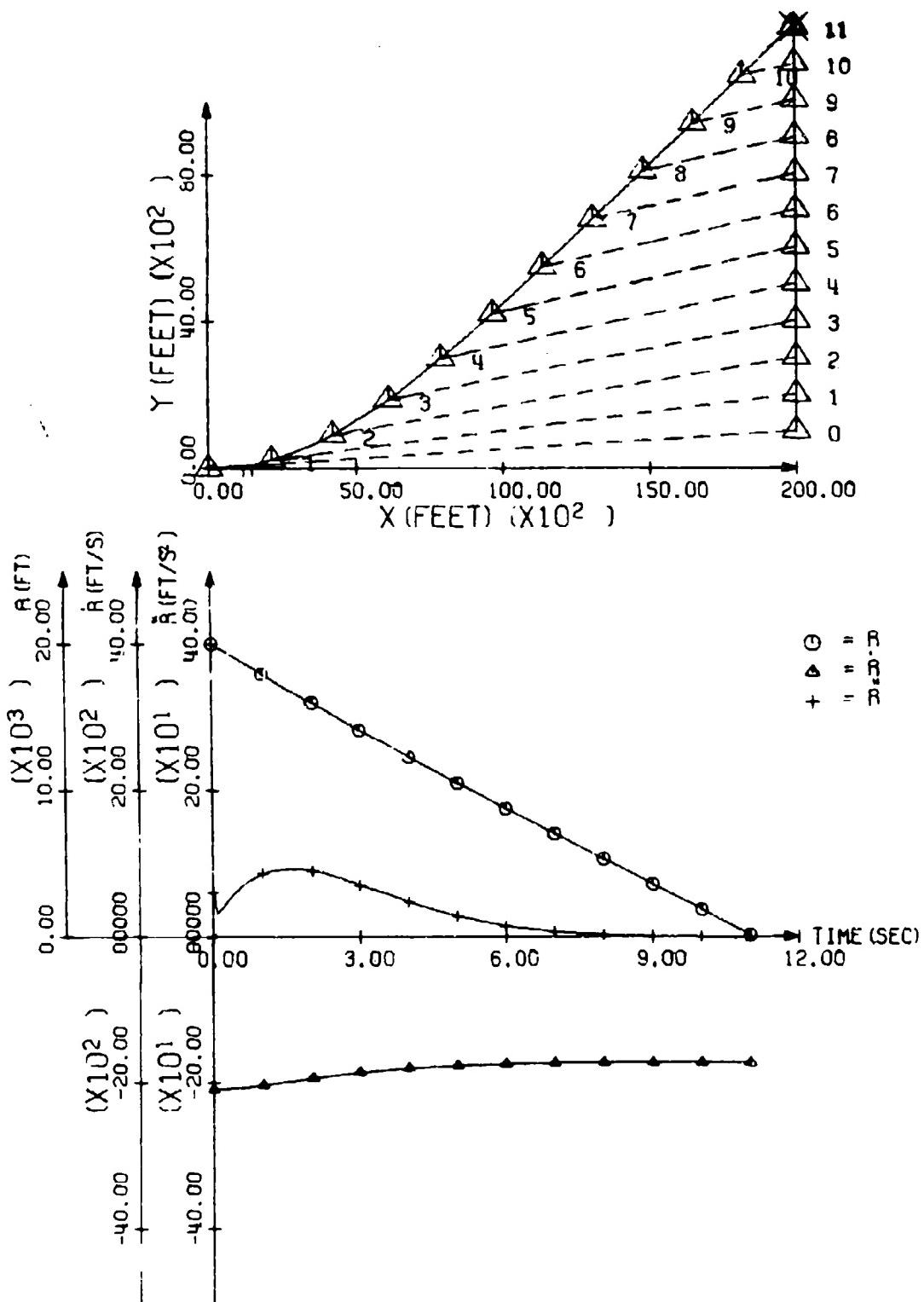


FIG. 4.11.1 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $* = 80$, $\phi = 0$, $X_T = 20000$,
 $Y_T = 100$. PLOTS OF VARIABLES DEFINING GEOMETRY

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FIGURE 4.11

Figure 4.11 portrays a side attack. Initial range is slightly more than 20,000 ft., and the target is not maneuvering. As is evident, the guidance system has established an intercept course at around 3 seconds, and after this point, missile maneuvering is negligible. This results in the signals $\dot{\sigma}$ and consequently $\ddot{\sigma}$ approaching zero, and thus the main signals being used by the algorithm have little significance. Thus after 3 seconds it can be anticipated that if the algorithm is not set up properly at that point, then serious errors can and will result. This is evident in the curves to the right.

- a. no errc. sources
- b. 1% error in $\hat{r}(0)$
- c. 1% error in $\hat{\dot{r}}(0)$
- d. 0.5° bias in $\dot{\sigma}$
- e. 0.1 g bias in a_{M_σ}
- f. 0.1 g bias in a_{M_r}
- g. 0.1° in seeker gimbal angle
- h. 20 ft/s bias in \hat{V}_M
- i. 1° error in $\hat{\alpha}$
- j. $\dot{V}_T = 1$ g

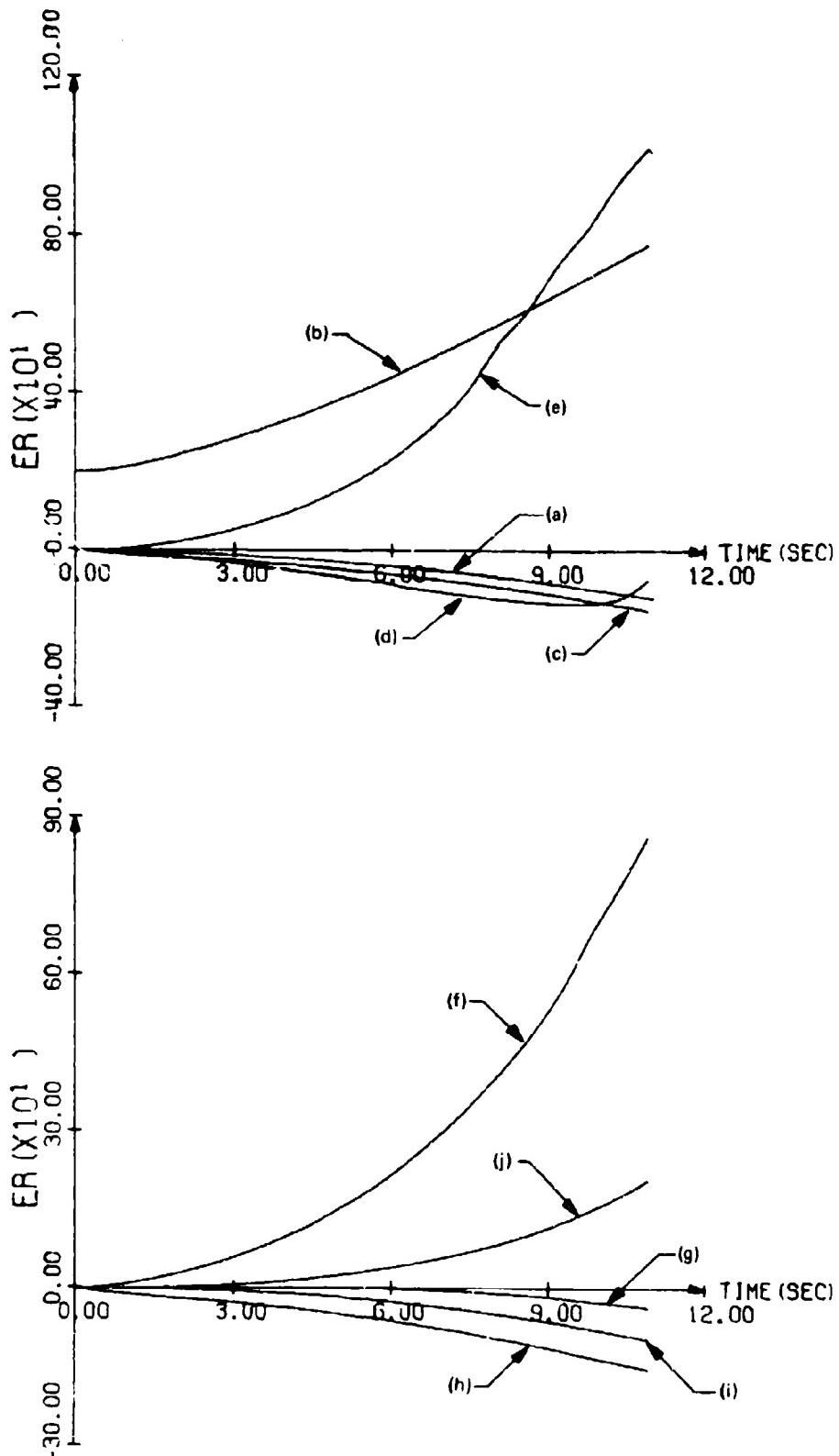


FIG. 4.11.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\gamma} = 90$, $\Theta = 0$, $X_T = 20000$,
 $Y_T = 1000$. ERRORS IN RANGE ESTIMATE FROM VARIOUS
SOURCES

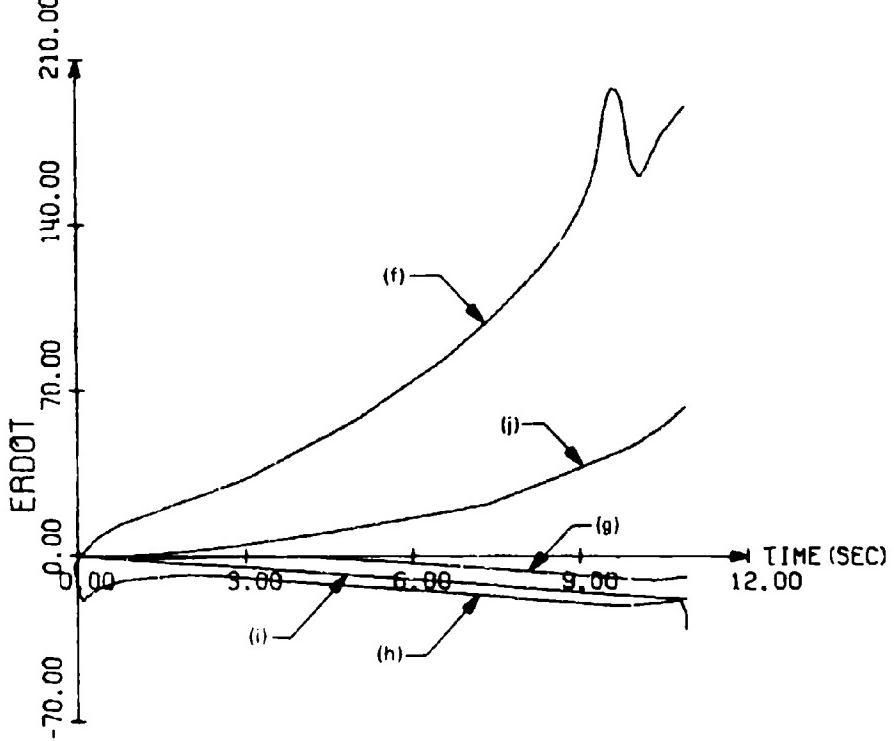
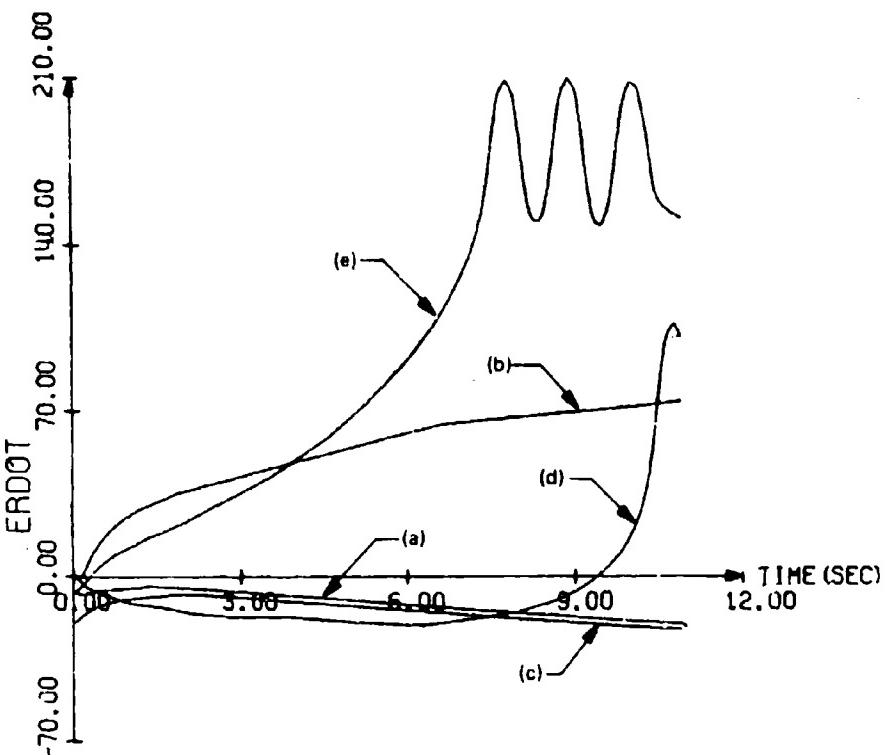


FIG. 4.11.3 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\psi = 90^\circ$, $\phi = 0^\circ$, $X_T = 20000$,
 $Y_T = 1000$. ERRORS IN RANGE RATE ESTIMATE FROM
VARIOUS SOURCES

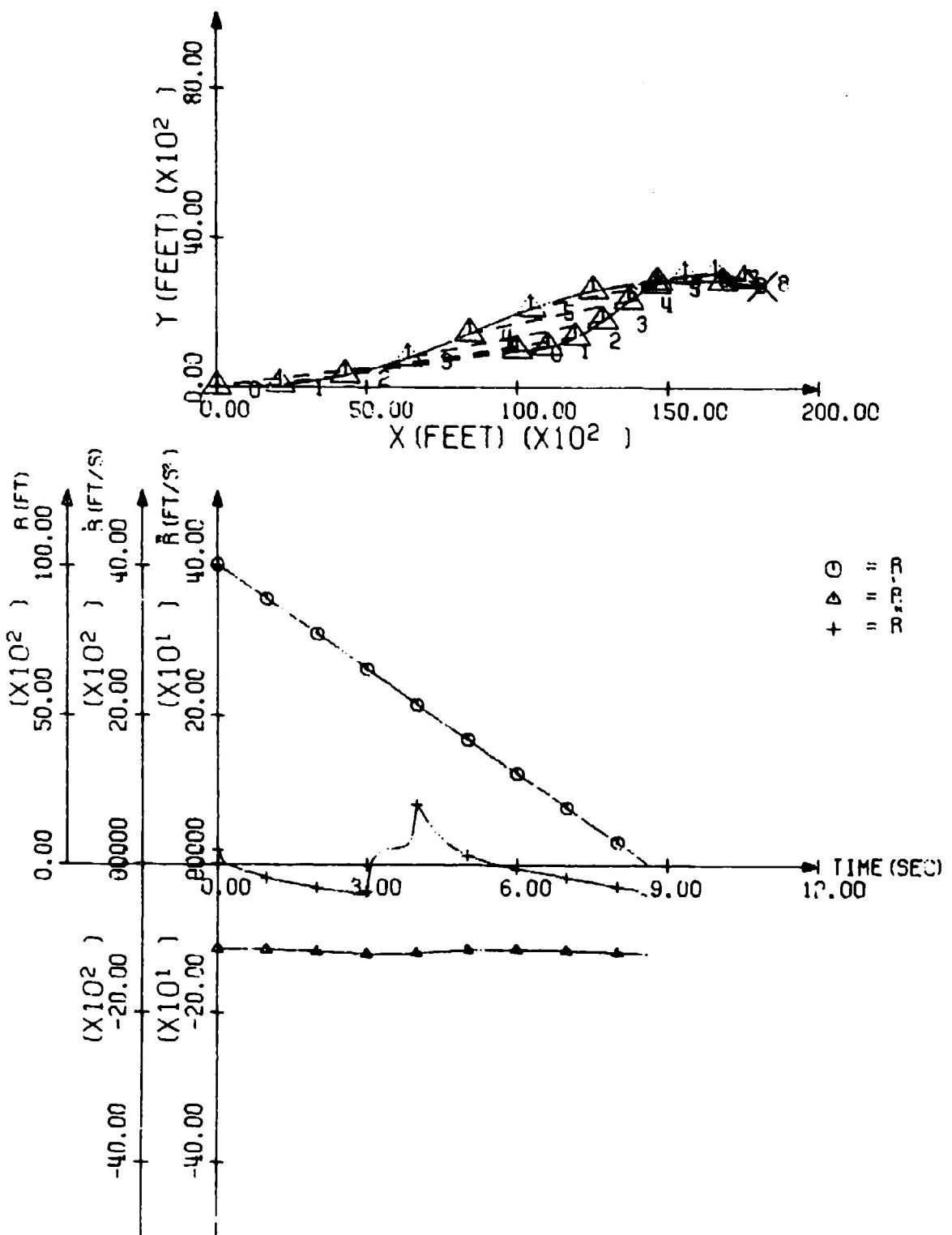


FIG. 4.121 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{\phi} = 0$, $\phi = 80^\circ$, $\theta = -80^\circ$, $X_T = 10000$,
 $Y_T = 1000$. PLOTS OF VARIABLES DEFINING GEOMETRY
DUE TO CHANGE IN TARGET MANEUVER.

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FIGURE 4.12

This figure displays a situation where the target performs a rapid change in acceleration by changing its turn from left to right. This trajectory is identical to that of Fig. 4.6 for the first three seconds; at $t = 3$, the target bank angle was changed from 80° left turn to 80° right turn, requiring one second to do so; the bank angle is 80° right turn for $t > 4$. The algorithm which estimates range must therefore re-adapt to accommodate the change in target turn rate. The bank angle was changed in a linear fashion; however as acceleration is related to $\tan \phi$, the acceleration of the target changed in a nonlinear fashion. This is evident on inspection of the \dot{r} trace, on the left, at $3 \leq t \leq 4$ seconds. As the acceleration changed in a way which violates the estimator's assumptions, an error is formed while the maneuver change occurs. but there are many ways in which an airplane can change its maneuver, and any one is as likely as another. The estimator properties lie in the mid-range of the likely types of maneuver change methods. The curves on the facing page are the errors in the range and range-rate estimates with range error on the upper graph, and range-rate error on the lower. No additional curves representing sources of error were made.

The trace at the lower right shows the error of range-rate estimate. Notice that this error jumps from 4 ft/sec to 6 ft/sec at the time of imp' t at the end of the run. This change is due to imperfect tracking of the term $(\dot{r} \dot{\phi})^2$ since $\dot{\phi}$ is extremely large at impact while \hat{r} is not exactly equal to r ; in this connection see the discussion of the physical meaning of the term $(\dot{r} \dot{\phi})^2$ on page 13. Notice also that the error of tracking the changing maneuver during $3 \leq t \leq 4$ seconds causes the range-rate estimate error to increase from 1 ft./sec at $t = 3$ to 4 ft./sec at $t = 4$; the increase of error of 3 ft./sec is considered to be minimal and demonstrates the computing power of this nonlinear estimator.

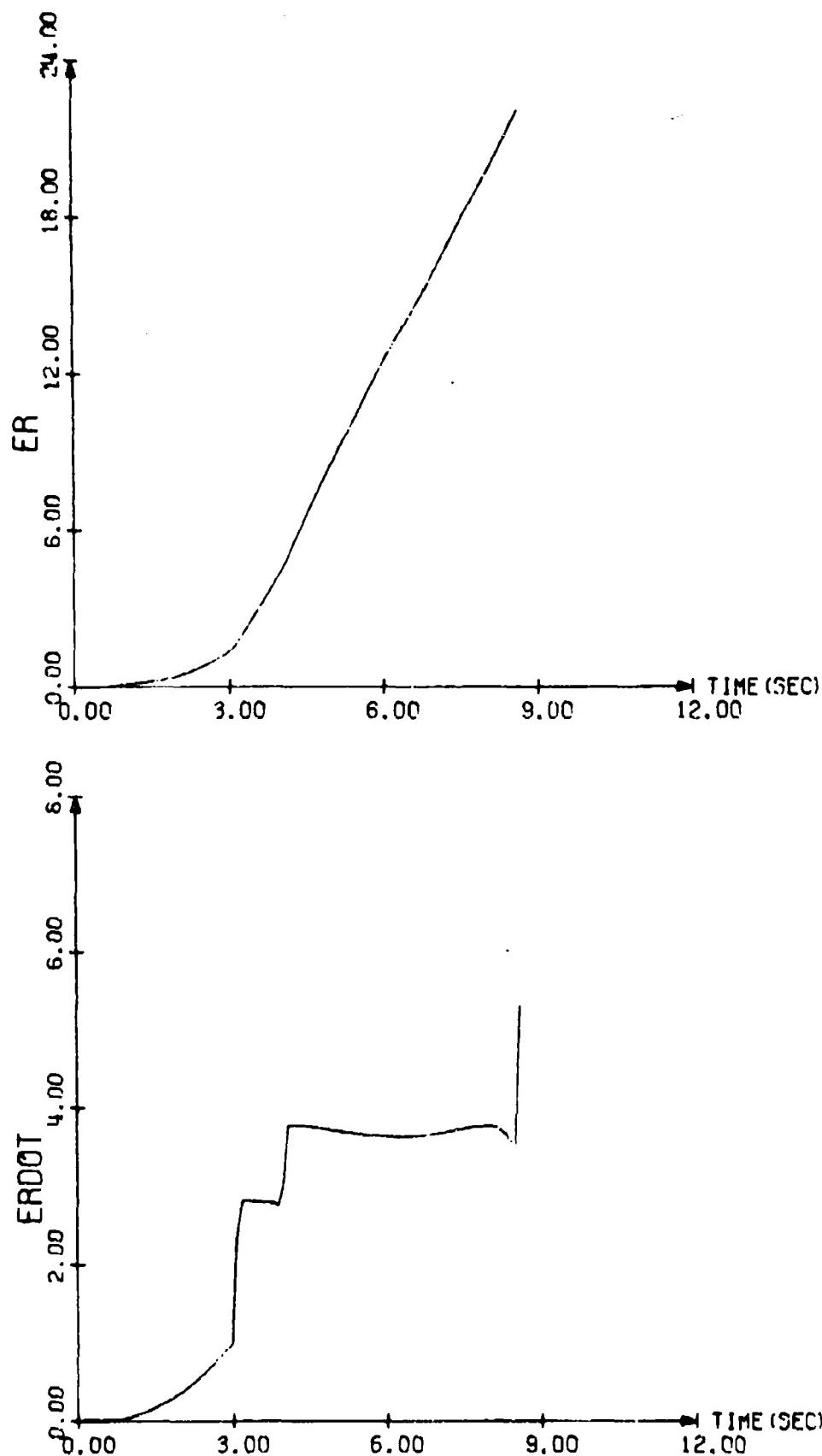


FIG. 4.12.2 DYNAMIC RANGING SIMULATION WITH
TARGET INITIAL CONDITIONS. $\dot{r} = 0$, $\phi = 90^\circ$, -80° , $x_T = 10000$,
 $y_T = 1000$. ERRORS IN RANGE AND RANGE RATE
ESTIMATE DUE TO CHANGE IN TARGET MANEUVER.

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5. LINEAR ESTIMATORS

Linear estimators may, for the purpose of this discussion, be divided into two classes, depending on whether a gradient technique is used to achieve convergence. We term "adaptive" those methods which use a gradient technique in some form. A matrix-inverse problem is always implicit in the formation of an "adaptive" or gradient-seeking solution. In the "matrix-inverse" technique, that inverse problem appears explicitly and must be treated explicitly.

The adaptive methods lead to simpler instrumentations than the matrix-inverse methods and were therefore considered first. The adaptive methods failed, whether with simple single-error nets, or with multiple-error nets or Kalman filters, principally due to target acceleration effects. The mode of failure was that the estimated range-rate was as much as 30% to 50% in error at the end of the major maneuvers of the missile. The study then turned to matrix-inverse methods; these failed to converge for some encounter geometries and converged to erroneous solutions for other geometries. The modes of failure were large errors of range-rate estimate or a problem of ill-conditioning which precluded convergence. We therefore tentatively concluded that fundamental questions of mathematical observability were involved and then verified that hypothesis.

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This section presents a brief outline of the adaptive methods, and of the matrix-inverse methods, with comments on the physical reasons for their deficiencies, followed by a discussion on mathematical observability, as applied to this situation. The section concludes with some comments on the possibilities available by combining the kinematic ranging linear estimator with other linear devices, in particular with a stadiometric ranging method.

5.1 Adaptive Estimators

Adaptive identifiers, as a class, share the use of the gradient of the index of performance as the guide to improving the parameter estimates from one instant to the next. The two principal well-known methods of forming parameter identifying nets are known as the response-error and equation error² methods. The response-error method is known to be relatively slow, and relatively insensitive to noise, as compared to the equation-error method. The air-air intercept problem clearly requires rapid solution; efforts were therefore concentrated on the equation-error method.

A brief analytical outline of the method is followed by discussion of the representatives of the various adaptive systems.

Assume that the output of the plant whose parameters are to be identified is designated y , and that a set of signals v ,

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consisting of various functions of the plant's input and output, is available. If the true values of the unknown parameters are represented by the vector x^* then the plant output takes the form

$$y = v^T x^*. \quad (5.1)$$

Given the same set of signals, v , and a vector of estimates of the parameter values, x , we may form an estimate of the plant output

$$\hat{y} = v^T x. \quad (5.2)$$

Then we may form an error as $y - \hat{y} = y - v^T x = e$, (5.3)

and the error will become identically zero if and only if $x = x^*$. The common and simplest gradient algorithm for adjusting the parameter estimates, x , minimizing $J = \overline{e^2}$, is

$$\dot{x} = +k v e \quad (5.4)$$

which on substituting e from (5.3) yields

$$(I_s + k v v^T) x = k v y.$$

The gain k must be positive definite; it may be a scalar or a matrix. If the value of k is chosen small enough to ensure averaging, and if the parameter x^* is constant, then the steady state is reached when

$$\overline{v v^T} x = \overline{v y}$$

$$\text{with solution } x = (\overline{v v^T})^{-1} \overline{v y}. \quad (5.5)$$

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The covariance matrix $\underline{v} \underline{v}^T$ is implicit in all adaptive techniques as they use the algorithm (5.4). The convergence properties and noise sensitivity of the solution are determined by the properties of that matrix.

Matrix-inverse methods, to be discussed in Section 5.2, find the solution vector x directly from (5.5). The covariance matrix $\underline{v} \underline{v}^T$ is obviously involved explicitly.

If the parameters x^* are known a priori to be variable or to be related, the known properties may advantageously be used in a modified algorithm. Assume that it is known that $\dot{x}_1^* = x_2^*$ and $\dot{x}_2^* = bt$, or in general, $\dot{x}^* = Ax^* + B(t)$, then an algorithm equivalent to (5.4) is

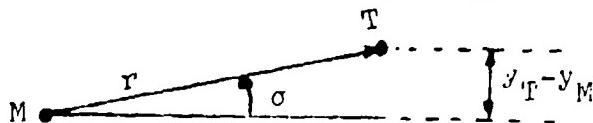
$$\dot{x} = Ax + B(t) + k v e, \quad (5.6)$$

and the term $k v e$ is required only to correct for the unknown errors in the parameter estimates.

Our initial approach was to use the identity

$$\dot{r}\sigma + \dot{\sigma}\sigma = \dot{y}_T - \dot{y}_M \quad (5.7)$$

as a starting point for an adaptive algorithm. This equation results from the inertial space geometry



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which shows

$$r \sin \sigma = y_T - y_M$$

For small σ , this equation has the approximate form

$$r \sigma = y_T - y_M$$

with first derivative (5.7). Taking current estimates for range and range rate, which in general are different from the actual values, the equation error is

$$x_1 v_1 + x_2 v_2 + x_3 v_3 + \dot{y}_M = e_1 \quad (5.8)$$

where $x_1 = \hat{r}_1$, $x_2 = \hat{\dot{r}}_1$, $x_3 = \hat{y}_T$, $v_1 = \dot{\sigma}_1$, $v_2 = \sigma$, $v_3 = -1$ and $\dot{x}_1 = x_2$,

or $\dot{x} = AX$, $A = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$,

where $\dot{\sigma}$, σ and \dot{y}_M are known. The index of error is defined as

$$J = \overline{e_1^2}$$

The algorithm for updating the estimate $x = (\hat{r}, \hat{\dot{r}}, \hat{y}_T)^T$ is $\dot{x} = Ax - k v e_1$, which is then readily implemented for a suitable choice of $k > 0$. Using this method assumes that the variables \hat{r} and \hat{y}_T are constant. But in an actual dogfight situation, both target and missile are accelerating, and the assumption is too optimistic. This approach therefore failed and was abandoned.

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A second approach, consistent with the mathematical model of Section 3, was taken. In this development, the frame of reference is polar coordinates centered at the missile, with the instantaneous radius vector parallel to the line of sight. The error equation which holds in this case is

$$\hat{r}\ddot{\sigma} + 2\dot{r}\dot{\sigma} - \hat{a}_{T_\sigma} + a_{M_\sigma} = e_2,$$

and the error index is then chosen as $J = \overline{e_2^2}$.

Again, let $x_1 = \hat{r}$, $x_2 = \dot{\hat{r}}$, $x_3 = \hat{a}_{T_\sigma}$ and let $v_1 = \ddot{\sigma}$, $v_2 = \dot{\sigma}$, $v_3 = -1$. Then that error equation can be put into the form

$$v^T x + a_{M_\sigma} = e_2. \quad (5.9)$$

The complete statement of the components of acceleration is from, Section 3,

Accelerations

perpendicular $r\ddot{\sigma} + 2\dot{r}\dot{\sigma} - a_{T_\sigma} + a_{M_\sigma} = 0$
to the LOS

(5.10)

Accelerations

parallel $\ddot{r} - r\dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0.$
to the LOS

(5.11)

The first of these may be used to form the "observation equation", or, in adaptive terminology, the equation error.

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But the second expression provides a statement of the value of \ddot{r} which has previously been lacking. Let us define $x_1 = \hat{r}$, $x_2 = \dot{r}$, $x_3 = \hat{a}_{T_\sigma}$ and $x_4 = \hat{a}_{T_r}$. We can directly sense the LOS angular rate and acceleration and the unit gains $v_3 = -1$, $v_4 = +1$, and also the missile accelerations a_{M_σ} and a_{M_r} . Then the equation error to be minimized is again in the form

$$v^T x + a_{M_\sigma} = e_3 \quad (5.12)$$

and the algorithm which minimizes $J = \overline{e_3^2}$, is

$$\dot{x} = Ax + B(t) - k v e_3 \quad (5.13)$$

where

$$A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \dot{\sigma}^2 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 \\ -a_{M_r} \\ 0 \\ 0 \end{pmatrix}. \quad (5.14)$$

The matrix A expresses the differential relationship between range and range-rate on the first line; it expresses the definition of \ddot{r} from (5.11) on the second line, and on the third and last lines expresses the assumption that the target accelerations with respect to the LOS are quasi-constant.

The term $r\dot{\sigma}^2$ in (5.11) can easily be as large as 100 ft/sec², e.g., when in the initial geometry the target at a range of 10,000 feet has 1,000 ft/sec velocity and is flying perpendicular to the LOS. This is not negligible.

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The kinematic ranging estimation method expressed by equations (5.13) and (5.14) was examined for a variety of geometrical conditions.

The simple adaptive schemes following (5.13) and (5.14), with the adaption-rate parameter k as a scalar constant, were quite unsatisfactory as there was no single constant value of k which worked well for all combat geometries or throughout any single trajectory. The difficulty is that a large value of k is required to find the correct values of \hat{r} and $\dot{\hat{r}}$ at the start of the trajectory, while a low value is required to prevent undue sensitivity to noise in later parts of the trajectory. Further, the rate parameter k should ideally be proportional to $(\frac{1}{\sigma^2})$ so as to accommodate both to large and small maneuver geometries. The gain k must thus vary with time and also with geometry to achieve satisfactory results.

It was therefore necessary to replace the scalar adaption-rate parameter k by a Kalman Filter type matrix, K , where the values of K are time-varying, according to the well-known⁶ rule

$$\dot{K} = -K v N^{-1} v^T K \quad (5.15)$$

where N is the noise covariance. Even so, while this approach would generate good estimates of \hat{r} and $\dot{\hat{r}}$ for

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some trajectories, it failed when the trajectory was such that $a_{T_r} \neq 0$.

In the geometrical conditions in which this Kalman-filter type algorithm works well, i.e., when the target acceleration along the LOS, a_{T_r} , is negligible, a significant simplification is possible. Consider (5.11) and "transform-differentiate-integrate"⁶ by premultiplying by

$$\frac{T_i s}{T_1 s+1}, \quad i = 1, 2, \dots, T_i \neq T_j, \text{ yielding, if } \ddot{r} \approx 0,$$

$$\frac{T_i s}{T_1 s+1} (r \ddot{\sigma} + 2\dot{r}\dot{\sigma} - a_{T_\sigma} + a_{M_\sigma}) = r \left(\frac{T_i s}{T_1 s+1} \ddot{\sigma} \right) + \dot{r} \left(\frac{2T_i s}{T_1 s+1} \dot{\sigma} + \frac{T_i}{(T_1 s+1)^2} \ddot{\sigma} \right) - \left(\frac{T_i s}{T_1 s+1} \right) a_{T_\sigma} + \left(\frac{T_i}{T_1 s+1} \right) a_{M_\sigma}. \quad (5.16)$$

For these geometries, it is a reasonably good assumption that a_{T_σ} is quasi-constant. It therefore follows that

$\frac{T_i s}{T_1 s+1} a_{T_\sigma} \approx 0$ is valid. The result is that the estimating variable $x_3 = \hat{a}_{T_\sigma}$ may be omitted, and there is one less unknown parameter. Equation (5.16) may be put into the equation error form

$$v_i^T x + y_i = e_{4_i}$$

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where $x = (\hat{r}, \dot{\hat{r}})^T$,

$$v_i = \left\{ \left(\frac{T_i s}{T_i s + 1} \right) \ddot{r}, \left[\left(\frac{2T_i s}{T_i s + 1} \right) + \frac{T_i s}{(T_i s + 1)^2} \right] \dot{\ddot{r}} \right\}^T$$

$$\text{and } y_i = \frac{T_i s}{T_i s + 1} a_{m_0}. \quad (5.17)$$

If this transform-integration, (5.16), is instrumented for several different values of T_i , a vector of errors (e_{41}, e_{42}, \dots) may be generated. This is one of the several multiple-error techniques.^{4,6} This technique did not work in an adaptive approach although it was better than single-error techniques. The solution usually involved an ill-conditioned $\overline{vv^T}$ covariance matrix and was therefore noise-sensitive. When averaging over a sufficiently large interval was used, the unknown acceleration a_{T_r} caused $\dot{\hat{r}}$ to be as much as 30% to 50% in error in 1 to 2 seconds.

The difficulty is that if the filter time-constants T_i are small, then the several vectors v_i are almost parallel resulting in an ill-conditioning problem and a poorly defined solution. On the other hand, if T_i are large enough to avoid ill-conditioning then the invalid assumption that $\ddot{r} \approx \ddot{a}_{T_r} = 0$ caused excessive error in the range-rate estimate.

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The difficulty common to all the methods is that whenever the averaging time must exceed $1/4$ to $1/2$ second, to enable the vectors which carry the information to be linearly independent, then it is essential to take into account the target acceleration along the LOS. On the other hand an averaging time of $1/2$ second or less is not sufficient as the LOS does not rotate sufficiently in that interval to permit determining a solution in the presence of noise, and also to correct the initial estimates. For these reasons, the various adaptive gradient-seeking methods of parameter estimation were abandoned.

5.2 Matrix-Inverse Methods

Several schemes were derived from the classical point of view of least mean square estimation. The general theory of this method can be explained quite briefly, as follows. Consider the linear homogeneous vector differential system

$$\dot{\underline{x}} = A(t)\underline{x} . \quad (5.18)$$

Assume the observed output of the system is the vector

$$\underline{y} = H(t) \underline{x} + \underline{v} \quad (5.19)$$

where $H(t)$ is known and \underline{v} represents additive random noise. It is desired to estimate \underline{x} knowing only $A(t)$, $\underline{y}(t)$ and the statistics of \underline{v} .

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Knowing $A(t)$, generate the state transition matrix, Φ , for the system so that the state at any time can be written as a function of the state at another time. Thus,

$$\underline{x}(t+\zeta) = \Phi(t+\zeta, t) \underline{x}(t). \quad (5.20)$$

Many estimates can be constructed. The one which is usually considered the most desirable, however, has the property that it is an "unbiased" estimate of \underline{x} and furthermore, it minimizes the variance of the expected error in the estimate. This estimate is found⁷ by computing

$$\hat{\underline{x}} = (T_n^T T_n)^{-1} T_n^T \underline{y}_{(n)} \quad (5.21)$$

where

$$\underline{y}_{(n)} = \begin{pmatrix} y(t_n) \\ \vdots \\ y(t_{n-1}) \\ \vdots \\ y_1(t_1) \end{pmatrix}$$

(5.22)

$$T_n \triangleq \begin{pmatrix} H(t_{n-L})\Phi(t_{n-L}, t_n) \\ \vdots \\ H(t_{n-1})\Phi(t_{n-1}, t_n) \\ \vdots \\ H(t_n) \end{pmatrix}.$$

(5.23)

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The matrix T_n is thus a "continuously updated observation matrix" and the vector $Y_{(n)}$ is a "series of output observations". The expression (5.21) is valid provided the noise v is independent from computing instant to instant and has zero mean. The construction of the matrix T_n is often a formidable task as it requires keeping in storage a large amount of past data $H(t_1)$ so that it can be updated by the state transition matrix $\Phi(t_1, t_j)$ which is at times also difficult to obtain.

Apply this theory to, typically, the reduced dimensionality problem defined by (5.16) and (5.17); assume that \dot{r} is constant, thus x_2 is constant after convergence. But $x_1 = r$ and is not constant. Now we have, at some specific instant, and with only one value of $i = 1$,

$$v_1(t_1)x_1 + v_2(t_1)x_2 + y_1 = e_5. \quad (5.24)$$

At the next instant we have

$$v_1(t_2)x_1 + v_2(t_2)x_2 + y_2 = e_5. \quad (5.25)$$

But while the value of x_2 is ideally the same at these two instants, the value of x_1 changes continuously, for $x_1 = r$, and r is the integral of \dot{r} . We may modify (5.24) so that x_1 is the same for the two instants. If Δt

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is the time between samples, we may rewrite (5.24) as

$$v_1(t_1)(x_1(t_2) + x_2 \Delta t) + v_2(t_1)x_2 + y_1 = e_5,$$

which may be rearranged as

$$v_1(x_1)x_1(t_2) + (v_2(t_1) + v_1(t_1)\Delta t)x_2 + y_1 = e_5.$$

(5.26)

Now Eqns. (5.25) and (5.26) represent two measurements referred to the same instant, and may be solved jointly. More generally, a larger sequence of such instants may be gathered in the more general form of (5.23).

This method exhibited several modes of failure.

Whenever any two of:

the missile velocity vector

the target velocity vector

the LOS

become colinear, or $\dot{\sigma} = 0$, the matrix $(T_n^T T_n)$ became ill-conditioned and indeterminate, yielding inaccurate and noise-sensitive solutions. In geometries when this mode of failure did not occur, this algorithm has a different mode of failure. If the duration of the stored memory exceeds 1/2 second, the neglected target acceleration parallel to the LOS, a_{T_r} , causes the estimate of \ddot{r} to be 30% to 50% in error within 1 to 2 seconds, as the assumption that $\ddot{r} = 0$ is too incorrect. On the other hand, a shorter duration memory results in high noise sensitivity. But these algorithms work

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well (Range-Rate errors of 1%) if $a_{T_r} = 0$.

In any case the computational complexity and memory storage requirements of this algorithm are excessive. An alternate was therefore examined. If

$$\hat{r} = \hat{r}_0 + \int \hat{r} dt \quad (5.27)$$

and $x_1 = \hat{r}_0, x_2 = \hat{r}, \text{ etc.}$,

then a much simpler algorithm results. At a number of successive instants we have

$$\begin{pmatrix} v_1(1) & v_2(1) \\ v_1(2) & v_2(2) + v_1 \Delta t \\ \vdots & \vdots \\ \vdots & \vdots \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ \vdots \end{pmatrix} \quad (5.28)$$

and both x_1 and x_2 may be assumed constant. If the nth row of the left of (5.28) is

$$v_1(n) \quad v_2(n) + \Delta t v_1(n-1),$$

then the matrix $(T_n^T T_n)$ may be recursively formed as

$$(T_n^T T_n) = (T_{n-1}^T T_{n-1}) + v_n v_n^T. \quad (5.29)$$

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Although sufficiently simple to be feasible for an air-air missile, this approach to range estimation also failed for the same reasons:

- (a) Ill-conditioned matrix for some geometries,
- (b) High noise-sensitivity for short averaging times,
- (c) Large errors in range-rate estimates for longer averaging times due to the invalid assumption that $a_{T_r} = 0$.

When failure-mode (a) did not occur, and $a_{T_r} = 0$ was valid, the algorithm yields estimate errors of the order of 1%.

No attempt at estimating a_{T_r} , target acceleration parallel to the LOS, by linear methods was successful. Any algorithm which neglected $a_{T_r} \neq 0$ when it was significant operated unsuccessfully; either excessive noise sensitivity became a problem, or the neglected acceleration caused excessive errors in the estimation of Range-Rate, \hat{r} .

In summary, except for encounter geometries which yield ill-conditioned matrices, the matrix-inverse algorithms work well with long-filtering times which eliminate noise effects if the assumption that $a_{T_r} = 0$ is valid. If that

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assumption is invalid, the matrix-inverse schemes fail due to the bias in range-rate estimate caused by target acceleration, or due to noise.

5.3 Observability

The failure of these various adaptive and matrix-inverse parameter identification methods, whether continuous or discrete, is fundamentally due to the existence of target acceleration in the radial direction, parallel to the line of flight. That acceleration component cannot be estimated by linear techniques because it is "unobservable" in the mathematical sense. This section relates the concept of "observability" to this application and problem.

The state of a linear system with constant coefficients in the dynamics and constant coefficients in the output relation can be estimated from knowledge of the output provided the system is mathematically observable. For this discussion, we view the quantities of range, range-rate, etc., as states which are to be determined and consider the signals of LOS-rate and missile acceleration as time-varying parameters. In state-variable notation a plant may be described as

$$\text{Plant Dynamics: } \dot{x} = Ax + Bu$$

$$\text{Output: } y = Hx + v$$

(5.30)

where v is zero-mean Gaussian white noise, and x is an N -component vector.

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The observability of the system is specified by a relationship between the A matrix, specifying the dynamics, and the H matrix, relating the state to the output. If the order of the system is N, then the matrix

$$O_N = [H^T ; A^T H^T ; (A^T)^2 H^T ; \dots ; (A^T)^{N-1} H^T] \quad (5.31)$$

must have full rank for the system to be observable. Let us now apply this to one of the possible formulations of the problem. For the system definition, use the acceleration-component equations from Section 2

accelerations

parallel to the $\ddot{r} - r \dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0$
LOS (5.32)

Perpendicular to $r \ddot{\sigma} + 2 \dot{r} \dot{\sigma} - a_{T_\sigma} + a_{M_\sigma} = 0.$
the LOS (5.33)

In order to cast this into the standard form of (5.30), define state variables

$$\begin{aligned} x_1 &= \hat{r} \\ x_2 &= \hat{\dot{r}} \\ x_3 &= \hat{a}_{T_r} \\ x_4 &= \hat{a}_{T_\sigma} \end{aligned}$$



(5.34)

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i.e. the variables x are the estimates of the unknown parameters. The last two lines of (5.34) express the fact that the two components of target acceleration must be estimated and are assumed to be unknown constants.

The state variable expression of the relationships among the several variables may be found from (5.32) and (5.34) as

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \sigma^2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} + \begin{pmatrix} 0 \\ -a_{T_r} \\ 0 \\ 0 \end{pmatrix} . \quad (5.35)$$

The first row of (5.35) expresses the differential equation relating range and range-rate estimates. The second row consists of (5.34) while the third and fourth rows express the assumption that the target's unknown accelerations a_{T_r} and a_{T_σ} are constant. From (5.35), the matrix A is

$$A_n = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \sigma^2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (5.36)$$

and $N=4$.

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Equation (5.33) yields the output relationship

$$\begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma} & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \hat{a}_{M_\sigma};$$
(5.37)

comparison of the estimate, \hat{a}_{M_σ} , with the measured acceleration a_{M_σ} yields an error whose minimization will presumably yield optimal estimates of the range, x_1 , and range-rate, x_2 . From (5.37),

$$H = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma} & 0 & 1 \end{pmatrix}.$$

(5.38)

Combining (5.36) with (5.38) in the format of (5.35) yields the observability matrix

$$O_4 = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 & -2\dot{\sigma}^5 \\ 2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 \dot{\sigma} \\ 0 & -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 1 & 0 & 0 & 0 \end{pmatrix}. \quad (5.39)$$

The fourth column of (5.39) is exactly equal to $\dot{\sigma}^2$ times the second column, and the rank is less than the order. This system is unobservable; experiment confirmed this result.

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In realistic combat trajectories it is generally erroneous to assume that a_{T_r} , target acceleration in the range-direction, is zero. If, however, that assumption is valid for some situation, then we can simplify (5.35) by omitting the third row and column and similarly simplify H , so that if $a_{T_r} \equiv 0$, a priori, then

$$A_{31} = \begin{pmatrix} 0 & 1 & 0 \\ \dot{\sigma}^2 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad H_{31} = (-\ddot{\sigma} \quad -2\dot{\sigma} \quad 1)$$

$$\text{and } O_{31} = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\ddot{\sigma} \dot{\sigma}^2 \\ -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 1 & 0 & 0 \end{pmatrix}. \quad (5.40)$$

In this case rank equals order and the system is observable if $\ddot{\sigma} \neq 0$ and $\dot{\sigma} \neq 0$. This result was experimentally confirmed.

Returning to (5.35), assume that the target acceleration perpendicular to the LOS, a_{T_σ} , is identically zero, i.e., $x_4 = \hat{a}_{T_\sigma} \equiv 0$, a priori, but the other component, $x_3 = \hat{a}_{T_r}$, is a non-zero constant. Then, making the appropriate changes in A and H , we have

$$A_{32} = \begin{pmatrix} 0 & 1 & 0 \\ \dot{\sigma}^2 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad H_{32} = (-\ddot{\sigma} \quad -2\dot{\sigma} \quad 0)$$

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and

$$O_{32} = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 \ddot{\sigma} \\ -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 0 & -2\dot{\sigma} & 0 \end{pmatrix} . \quad (5.41)$$

In this case the third column equals $\dot{\sigma}^2$ times the first; therefore the system is unobservable.

The result may now be stated: If the target has significant acceleration parallel to the line of sight, ($a_{T_r} \neq 0$), it is not possible to estimate range and range-rate; the system is unobservable. If the target acceleration a_{T_r} is known to be zero, a priori; then the system is observable, and it may be reduced to a problem in the three states, $x_1 = \hat{r}$, $x_2 = \hat{\dot{r}}$ and $x_4 = \hat{a}_{T_\sigma}$. In this case, Equation (5.32) can be exactly instrumented. If $x_4 = \hat{a}_{T_\sigma} = \text{constant}$ is a reasonable assumption, it is possible to reduce the problem from three states to two by differentiating the output expression (5.37) and eliminating $x_4 = \hat{a}_{T_\sigma}$, as in (5.16).

It is, however, an important restriction that the theorems on observability do not apply perfectly to systems whose coefficients are time-varying. In our case the system dynamics are constant, while the observation matrix is not. Intuitively, it seemed possible that if

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the coefficients of the output equation vary sufficiently in the observation interval, then a result might be obtained which is of value. Many variations of the above development, using different models of the system dynamics and output equations were therefore examined to pursue this question. The results were disappointing whenever $a_{T_r} \neq 0$, or δ was small. The system is unobservable, despite the uncertainty of the theory on this question, whenever $a_{T_r} \neq 0$.

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5.4 Other Linear Systems

It is advantageous to unify the results of the above discussions on kinematic linear parameter identification systems in order to form a basis for considering other possible linear systems. We therefore re-present the problem from a geometrical viewpoint and then consider possible extensions beyond the scope of this contract.

Consider the equation of acceleration components perpendicular to the LOS, from the kinematics,

$$r \ddot{\sigma} + 2 \dot{r} \dot{\sigma} - a_{T\sigma} + a_{m\sigma} = 0. \quad (5.41)$$

Assume that the range acceleration, \ddot{r} , is zero, and may therefore be neglected. Assume further that $a_{T\sigma}$ is quasi-constant, i.e., cannot change significantly in less than 1/2 second. Then differentiation of (5.41) with a high pass filter such as $\frac{T_1}{T_1 s+1}$, where T_1 is of the order of 1/2 second or less, reduces (5.41) to

$$r v_1 + \dot{r} v_2 + v_o = 0 \quad (5.42)$$

$$\text{where } v_1 = \left(\frac{T_1 s}{T_1 s+1} \right) \ddot{\sigma}; \quad v_2 = \left(\frac{2 T_1 s \dot{\sigma}}{T_1 s+1} + \frac{T_1 \ddot{\sigma}}{(T_1 s+1)^2} \right); \quad v_o = \left(\frac{T_1 s}{T_1 s+1} \right) a_{m\sigma}.$$

If in (5.42) we replace r and \dot{r} by the estimates \hat{r} and $\hat{\dot{r}}$, (5.42) may be expressed as $\hat{r} v_1 + \hat{\dot{r}} v_2 + v_o = e_6$; then the solution for \hat{r} and $\hat{\dot{r}}$ lies on a locus such that $e_6=0$. In this

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case (5.43) forms a straight line, as shown in the sketch below for some particular instant.

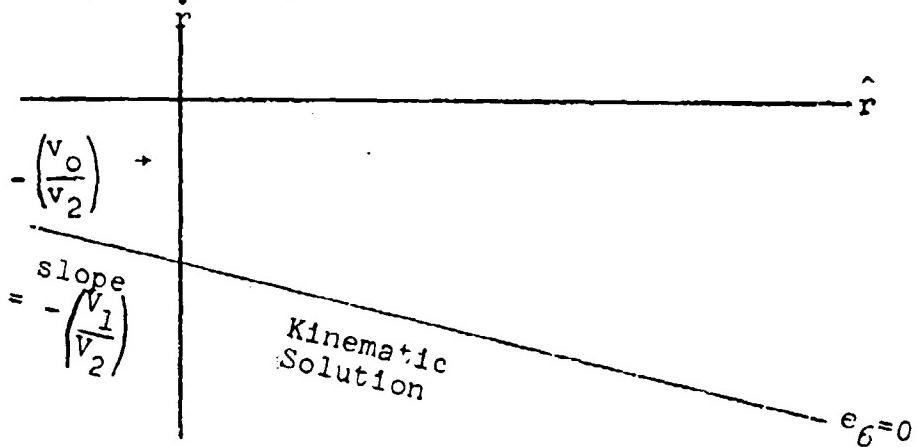


Fig. 5.1
Geometrical View of Equation Error.

The entire problem of estimation is based on the necessity of finding another line in the $(\hat{r}, \hat{\dot{r}})$ space:

- (a) The multiple-error ^{4,6} adaptive process, by using a variety of values of T_1 generates a number of other lines which are, more or less, linearly independent. This approach may be categorized as achieving distribution in the T_1 -lag space at one instant of true time.
- (b) The various single-error adaptive nets and the Kalman net take advantage of the fact that, at some other instant than that shown in Fig. 5.1, the line of zero error in the $(\hat{r}, \hat{\dot{r}})$ space is

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differently oriented, if $\sigma \neq 0$, and is therefore linearly independent. These approaches thus use the idea of distribution in true time, t , rather than in the T_1 space.

- (c) The matrix inverse schemes gather all lines over the computing interval, thus achieving distribution in time, and then find the solution.

A physically different approach to finding a second linearly independent line in the $(\hat{r}, \dot{\hat{r}})$ space is obviously preferable if possible. Stadimetric ranging provides such an approach. Seekers which enable measurement of some function of the target size, or area, such as mosaic IR, TV, area, or correlation, have this capability. In a single plane we have the geometrical relationship

$$r\theta = D$$

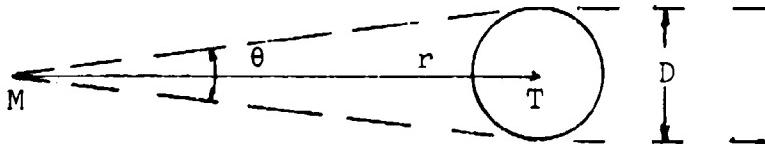
(5.44)

where r Range

θ Angle Subtended by Target, assumed a small angle

D Target Size

as shown in the sketch below.



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Assume that D is constant; this assumption is fair if D is the diameter of the least circle which contains the target as viewed from the seeker in both the maneuver and cross maneuver planes. From (5.44), by differentiating,

$$r\dot{\theta} + \dot{r}\theta = 0$$

and

$$\hat{r}\dot{\theta} + \hat{r}\theta = e_7. \quad (5.45)$$

The choices of \hat{r} and \hat{r} for which $e_7 = 0$ lie on a straight line which passes through the origin of the (\hat{r}, \hat{r}) space at every instant. Combining this with the kinematic ranging sketch, Fig. 5.1, yields the sketch below.

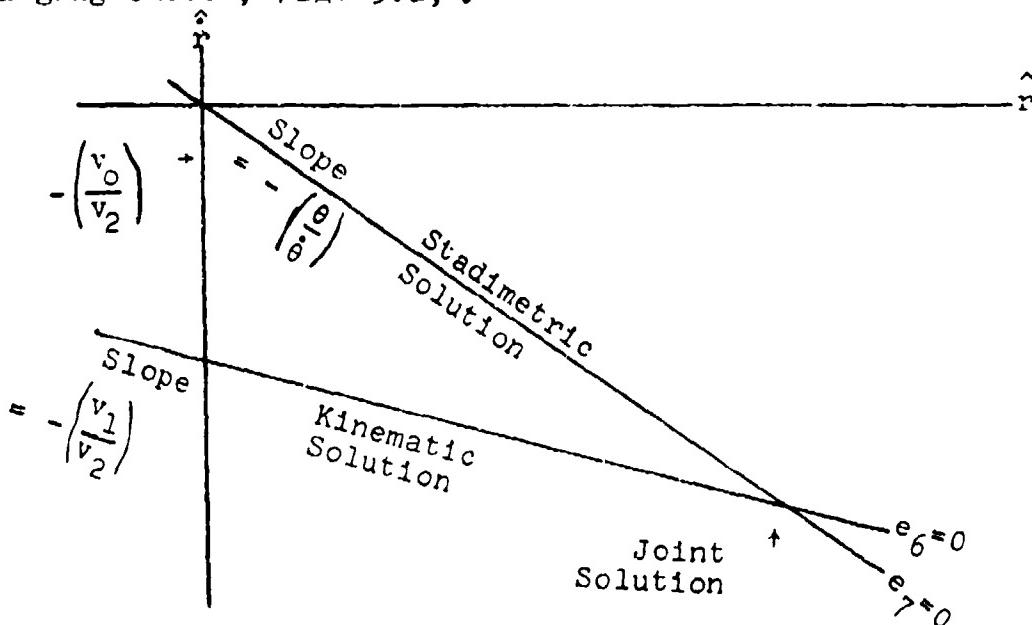


Fig. 5.2
Combining Kinematic and Stadiometric Ranging.

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If we solve (5.44) and (5.45) simultaneously; we get one equation for \hat{r} and one for $\hat{\dot{r}}$. These are independent and their joint solution may therefore be determined. It is usually better to solve the two equations in a decoupled format as the solution can always be accomplished more rapidly, more simply and more accurately. A Least Magnitude algorithm may be used. They are analytically valid in such cases and are attractive for they estimate a moving parameter with smaller lag than quadratic algorithms, and thereby decrease the effect of target accelerations.

However, this argument rests on the rather uncertain base of the assumptions. One assumption is that the target cross-track acceleration (a_{T_r}) is quasi-constant, i.e., changes negligibly within two time-constants of the high-pass filter $\frac{T_1^s}{T_1^s + 1}$, in (5.42). The other assumption is that $\ddot{r} \approx 0$, or at least the unknown portion (a_{T_r}) thereof. In fact, in any maneuver of the target, a_{T_σ} and a_{T_r} change continuously and can change rapidly. This scheme is therefore less powerful than appears at first.

However, stadiometric data can be valuable in reducing the difficulty of range estimation. Equation (5.41) states one relationship between three of the unknowns in the kinematic ranging problem. Stadiometric data provides another relationship, so that the effective total number of unknowns may be reduced by one. The possibility therefore exists that linear estimation of range and range rate could be accomplished with acceptably small error, due to the unobservability of a_{T_r} , by combining kinematic and stadiometric methods.

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It should be observed that stadiometric ranging has an advantage over kinematic ranging. In stadiometry, the subtended angle θ is positive-definite, and its rate $\dot{\theta}$ is zero if and only if $\dot{r} = 0$; this almost never occurs. On the other hand, in kinematic ranging, the objective of a proportional navigation homing system is to keep $\dot{\sigma} \approx 0$ at all times; consequently an ill-conditioned covariance matrix must result at least occasionally.

This observation leads to describing the possible weakness in the idea of combining kinematic and stadiometric ranging principles. In both schemes, express \hat{r} as a function of \hat{r} , and assume $\ddot{r} \approx 0$. Then, using (5.42) and (5.45)

$$\text{Kinematic: } \hat{r} = \frac{v_0}{v_2} - \frac{v_1}{v_2} \hat{r} \quad (5.46)$$

$$\text{Stadiometric: } \hat{r} = -(\dot{\theta}/\theta) \hat{r}, \quad (5.47)$$

under the simplifying assumption that $a_{T_0} = 0$.

As previously observed for the stadiometric method, in (5.47) θ is always positive, and $\dot{\theta}$ is almost always positive. Neglecting the rare and anomalous case of $\dot{\theta} \leq 0$, implying increasing range, $\dot{\theta}/\theta$ is positive and the solution for \hat{r} as a function of \hat{r} passes through the origin with a time-varying negative slope which is a quotient of non-zero finite quantities. In the kinematic approach (5.46), the

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coefficient of \dot{r} will normally have a negative value but is a quotient in which the numerator and denominator rapidly approach small values and ultimately zero, in favorable geometries, and start and remain at small values in unfavorable geometries. The slope of (5.46) and the joint solution of (5.46) and (5.47), therefore are increasingly sensitive to instrument and computation noise as the maneuver becomes small. In geometric terms, the two lines in the $(\hat{r}, \dot{\hat{r}})$ space of Figure 5.2 become parallel as the maneuver becomes small.

In consequence, it is evident that the stadiometric/linear-kinematic method outlined above could be improved by use of the nonlinear kinematic method described in Section 4.

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6. TECHNOLOGY ASSESSMENT

It appears that linear methods of kinematic ranging can work effectively only for favorable combat geometries and if the sensor noise levels are quite low. This implies high accuracy sensors, and frequent ineffectivity.

The nonlinear filter described in Section 4 estimates the target velocity-vector components and therefore can be used to deduce the target velocity and aspect angle. The filter also estimates the target's acceleration components, the turn-rate, and its derivative. It therefore estimates the entire specification of the target dynamics, and can be used to estimate the target future trajectory, and time to go. This may be useful not only to the air-air missile terminal-control guidance problem but also to a variety of terminal fire control systems in aircraft or helicopters instead of missiles. This nonlinear filter can also be used to eliminate impossible target accelerations from data provided by other tracking systems such as radar or laser rangers.

Many of the newer seekers of TV or IR types provide some indication of the target size or shape. Target size data can be used as a partial basis for a ranging system, as outlined in Section 5. In addition, information on the target shape or its aspect-angle can be used to provide clues enabling nonlinear filtering. For example, if the target is viewed from a head-on or tail-chase aspect it is clearly

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difficult for the target to accelerate parallel to the Line of Sight; it can accelerate across the line of sight with relative ease.

Linear stadiometric ranging cannot by itself estimate range and range-rate, but only their ratio. However, combining linear stadiometry and kinematic schemes has the effect of reducing the number of unknown variables to be determined simultaneously. The parameter identification problem may in fact be reduced to a problem in 2-space, without using the poor assumptions that \ddot{r} , a_{T_r} , and a_{T_0} are quasi-constant.

In this situation, two or (preferably) more independent measurement instants enable an unique solution. The problem of updating the hyperplane of Figs. 5.1 or 5.2 to another instant is then reduced. It is not eliminated, therefore the solution is not rigorously observable; however, the combination may be useful.

In a review of the present technology and an estimate of the trends and potentials, the interaction of cost with systems technology is perhaps most important in the air-air missile problem. These points stand out:

- a) Seekers of all kinds are very expensive; a small radar seeker is very costly in weight, space and dollars. A TV seeker may be less expensive in each sense, but is not cheap. The various sophisticated IR seekers are cheaper but are still quite costly compared to the simplest IR seekers.
- (b) Sensors such as rate gyros are an order of magnitude less costly than simple seekers.

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- c) The introduction of medium or large scale integrated digital and analog chips has at once improved the reliability of the computation process and reduced the cost by orders of magnitude.

Taken together, these elements suggest that cost/effectivity considerations lead to improving missile system performance by combining a wide range of the inexpensive sensors with a relatively sophisticated computation capability. This may enable use of a relatively unsophisticated seeker of relatively low cost.

The nonlinear range/range rate estimator shown in Fig. 4.1 requires a considerable computation capability, and requires input signals from a pair of accelerometers. It also requires the missile airspeed components which may be obtained from relatively inexpensive sensors or may be estimated from accelerometer and rate-gyro data. But the least sophisticated seeker is sufficient. Our approach was to maximize the information which could be extracted from an inexpensive seeker by combining several inexpensive sensors with a relatively sophisticated yet inexpensive computer which will soon be available.

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APPENDICES

APPENDIX A

The Nonlinear Estimator; Derivation and Instrumentation

It is possible, due to the particular form of the equations and constraints of the Mathematical Model of the system, to develop a nonlinear range and range-rate identifier. This type of identifier is discussed in this section.

A.1 Analysis

The key elements of the Mathematical Model are quoted from Section 3:

Velocity component equations parallel to the Line-of-Sight:

$$\begin{aligned} \dot{r} - V_T \cos(\gamma_T - \sigma) + V_M \cos(\gamma_M - \sigma) &= 0, \\ \text{or } \dot{r} - V_{T_r} + V_{M_r} &= 0. \end{aligned} \tag{A.1}$$

Velocity component equations perpendicular to the LOS

$$\begin{aligned} r \dot{\sigma} - V_T \sin(\gamma_T - \sigma) + V_M \sin(\gamma_M - \sigma) &= 0, \\ \text{or } r \dot{\sigma} - V_{T_\sigma} + V_{M_\sigma} &= 0. \end{aligned} \tag{A.2}$$

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We also demonstrated that the acceleration component equations parallel and perpendicular to the LOS are, respectively:

$$\ddot{r} - r\dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0 \quad (A.3)$$

and $r\ddot{\sigma} + 2\dot{r}\dot{\sigma} - a_{T_\sigma} + a_{M_\sigma} = 0. \quad (A.4)$

The target and missile velocity and acceleration components parallel and perpendicular to the LOS are:

Velocities:

$$\text{Parallel: } v_{T_r} = v_T \cos \xi_T$$

$$v_{M_r} = v_M \cos \xi_M$$

$$\text{Perpendicular: } v_{T_\sigma} = v_T \sin \xi_T$$

$$v_{M_\sigma} = v_M \sin \xi_M.$$

(A.5)

Accelerations:

$$\text{Parallel: } a_{T_r} = \dot{v}_T \cos \xi_T - v_T \dot{\gamma}_T \sin \xi_T$$

$$a_{M_r} = \dot{v}_M \cos \xi_M - v_M \dot{\gamma}_M \sin \xi_T$$

$$\text{Perpendicular: } a_{T_\sigma} = \dot{v}_T \sin \xi_T + v_T \dot{\gamma}_T \cos \xi_T \quad (A.6)$$

$$a_{M_\sigma} = \dot{v}_M \sin \xi_T + v_M \dot{\gamma}_M \cos \xi_T$$

where

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$$\begin{aligned} \text{Angles: } \xi_T &= \gamma_T - \sigma \\ \xi_M &= \gamma_M - \sigma. \end{aligned} \quad (A.7)$$

The principle of this approach is simply to solve A.3 for \ddot{r} as

$$\ddot{r} = r\dot{\sigma}^2 + a_{M_r} - a_{T_r}. \quad (A.8)$$

Integration of \ddot{r} will yield \dot{r} and r if the terms on the right of (A.8) are available.

The term $r\dot{\sigma}^2$ can be computed easily while a_{M_r} , the missile acceleration parallel to the LOS, can be measured directly. The problem therefore is now to estimate a_{T_r} in some way. It is assumed that initial values of \dot{r} and r are provided.

It was shown that the target acceleration in the range direction, a_{T_r} , can be determined from available data. The procedure is first to calculate V_T , the target airspeed, by use of (A.1) and (A.2) as

$$\begin{aligned} (\dot{r} + V_M \cos \xi_M)^2 + (r\dot{\sigma} + V_M \sin \xi_M)^2 &= \\ V_T^2 \cos^2 \xi_T + V_T^2 \sin^2 \xi_T &= V_{T_r}^2 + V_{T_\sigma}^2 = V_T^2. \end{aligned} \quad (A.9)$$

It was stated in Section (4.1) that this expression may be differentiated to yield $V_{T_r} a_{T_r} + V_{T_\sigma} a_{T_\sigma} = V_T \dot{V}_T$; This is demonstrated below. Therefore, let us differentiate (A.9) with respect to time, yielding

$$\begin{aligned} 2\{(\dot{r} + V_M \cos \xi_M)[\ddot{r} + (\dot{V}_M \cos \xi_M - V_M \dot{\gamma}_M \sin \xi_M) + V_M \dot{\sigma} \sin \xi_M] \\ + (r\dot{\sigma} + V_M \sin \xi_M)[r\ddot{\sigma} + \dot{r}\dot{\sigma} + (\dot{V}_M \sin \xi_M + V_M \dot{\gamma}_M \cos \xi_M) - \\ V_M \dot{\sigma} \cos \xi_M]\} = 2 V_T \dot{V}_T. \end{aligned}$$

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Substitute from (A.5) the simplifying notation for the acceleration components of the missile, a_{M_r} and a_{M_σ} , parallel and perpendicular to the LOS, respectively; these accelerations are gathered in parenthesis within the same brackets in the expression above [()].

This yields

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} + a_{M_r} + V_M \dot{\sigma} \sin \xi_M) \\
 & + (r\dot{\sigma} + V_M \sin \xi_M)(r\ddot{\sigma} + \dot{r}\dot{\sigma} + a_{M_\sigma} - V_M \dot{\sigma} \cos \xi_M) = \\
 & V_T \dot{V}_T. \tag{A.10}
 \end{aligned}$$

Now solve (A.2) for $V_M \sin \xi_M$, multiply by $\dot{\sigma}$ and replace the term $(V_M \dot{\sigma} \sin \xi_M)$ on the first line of (A.10) by the term thus formed. Similarly, solve (A.1) for $V_M \cos \xi_M$, multiply by $\dot{\sigma}$ and eliminate the term $(V_M \dot{\sigma} \cos \xi_M)$ from the second line of (A.10). These substitutions yield

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} - r\dot{\sigma}^2 + a_{M_r} + \dot{\sigma} V_T \sin \xi_T) + \\
 & (r\dot{\sigma} + V_M \sin \xi_M)(r\ddot{\sigma} + 2\dot{r}\dot{\sigma} + a_{M_\sigma} - \dot{\sigma} V_T \cos \xi_T) = \\
 & V_T \dot{V}_T.
 \end{aligned}$$

Keeping the right side of this expression, gather the coefficients of V_T on the left; this reduces to

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} - r\dot{\sigma}^2 + a_{M_r}) + (r\dot{\sigma} + V_M \sin \xi_M) \\
 & (r\ddot{\sigma} + 2\dot{r}\dot{\sigma} + a_{M_\sigma}) + \dot{\sigma} \{ (\dot{r} + V_M \cos \xi_M)(V_T \sin \xi_T) - \\
 & -(r\dot{\sigma} + V_M \sin \xi_M)(V_T \cos \xi_T) \} = V_T \dot{V}_T. \tag{A.11}
 \end{aligned}$$

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The term in curly braces { } in (A.11) is identically zero. This follows from (A.1), $\dot{r} + V_M \cos \xi_M = V_T \cos \xi_T$, while from (A.2), $r\ddot{\sigma} + V_M \sin \xi_M = V_T \sin \xi_T$, so that the brace has the value $\{V_T \cos \xi_T (V_T \sin \xi_T) - V_T \sin \xi_T (V_T \cos \xi_T)\} = 0$.

As a consequence, (A.11) takes the simpler form

$$\begin{aligned} (\dot{r} + V_M \cos \xi_M)(\ddot{r} - r\ddot{\sigma}^2 + a_{M_r}) + (r\ddot{\sigma} + V_M \sin \xi_M) \\ (r\ddot{\sigma} + 2\dot{r}\ddot{\sigma} + a_{M_r}) = V_T \dot{V}_T. \end{aligned} \quad (A.12)$$

By use of (A.1) through (A.6), this may be expressed in the intuitively satisfying form $V_{T_r} a_{T_r} + V_{T_\sigma} a_{T_\sigma} = V_T \dot{V}_T$, (A.13) which is the form used in the discussion in Section 4, where we use the simplifying notation, from (A.5),

$$V_{T_r} = V_T \cos \xi_T$$

$$V_{T_\sigma} = V_T \sin \xi_T$$

to express the target velocity components parallel and perpendicular to the LOS.

The exact solution for a_{T_r} is thus

$$a_{T_r} = \frac{V_T \dot{V}_T - V_{T_\sigma} a_{T_\sigma}}{V_{T_r}}. \quad (A.14)$$

and

$$\ddot{r} = r\ddot{\sigma}^2 - a_{M_r} + \frac{V_T \dot{V}_T - V_{T_\sigma} a_{T_\sigma}}{V_{T_r}}, \quad (A.15)$$

while $\frac{a_{T_\sigma}}{V_{T_r}} = \dot{\gamma}_T$ if $\dot{V}_T = 0$.

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Usually \dot{V}_T is small and can be neglected, and all other terms on the right of (A.15) can be estimated.

The mechanization of this algorithm is discussed in Section (A.2), following.

A.2 Mechanization of the Signals for the Ideal Algorithm

The range acceleration is given by (A.15); it may be integrated to yield \dot{r} and r . The term $r\dot{\sigma}^2$ can then be formed, while the missile acceleration component, a_{M_r} , can be directly sensed.

The mechanization of (A.15) requires that missile airspeed V_M , the missile acceleration components a_{M_r} and a_{M_σ} , and the angle ξ_M be available as signals or be estimated. It will now be shown that this can be accomplished.

(a) Acceleration Components

The accelerometer components, a_{M_r} , and a_{M_σ} , are a special case; two easy solutions are available:

(1) Mount the accelerometers on the seeker; then one yields a_{M_r} and the other yields a_{M_σ} directly.

(2) Mount the accelerometers on the missile body; then their signals are a_{M_x} , and a_{M_y} , fore-aft and cross-body, respectively; and resolution of the signals from the accelerometer through

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the "look-angle," λ , yields

$$\begin{pmatrix} a_{M_r} \\ a_{M_\sigma} \end{pmatrix} = \begin{pmatrix} \cos \lambda & -\sin \lambda \\ \sin \lambda & \cos \lambda \end{pmatrix} \begin{pmatrix} a_{M_x} \\ a_{M_y} \end{pmatrix}. \quad (A.16)$$

As the "look-angle," λ , between the missile centerline and the LOS can easily be made physically available in a seeker gimbal, the resolution of the acceleration components is easily accomplished.

(b) Velocity Estimation

If the missile body pitch rate, q , or equivalent, is an available signal, and further, if the cross-body acceleration of the missile, a_{M_y} , is also available as a signal, it is relatively easy to estimate missile velocity, V_M .

The pertinent equations of motion of the missile are,

$$\dot{\alpha} = q - \frac{a_{M_y}}{V_M} \quad (A.17)$$

$$a_{M_y} = Na + G\delta$$

where α angle of attack

a_{M_y} cross-body acceleration

δ control displacement

q body pitch rate

V_M airspeed

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N, G cross-body accelerations per radian, due to angle of attack and control displacements, respectively

Assuming quasi-constant conditions, the transfer function defining acceleration is

$$a_{M_y} = \frac{N q + G s \delta}{s + N/V_M} . \quad (A.18)$$

The acceleration due to control displacement may usually be neglected so that

$$\begin{aligned} a_{M_y} &\approx \frac{N q}{s + N/V_M} = V_M \left(\frac{N/V_M}{s + N/V_M} \right) q \\ &= V_M \frac{q}{Ts + 1} \end{aligned} \quad (A.19)$$

where $T = V_M/N$. Then V_M may be estimated by the algorithms

$$\hat{V}_M = k a_{M_y} (a_{M_y} - \hat{V}_M q) \quad (A.20)$$

$$\text{or} \quad \hat{V}_M = k (|a_{M_y}| - \hat{V}_M |q|) \quad (A.21)$$

where $k > 0$ has the appropriate value.

Somewhat superior algorithms which will lag less are achieved by biasing the algorithms given by the missile longitudinal acceleration component a_{M_x} .

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Algorithm (A.21) is obviously simple to instrument, requiring only one multiplication.

(c) Estimation of the Angle ξ_M

The angle ξ_M is defined as $\xi_M = \gamma_M - \sigma$.

But as $\gamma_M = \theta_M - \alpha$, where θ_M is the centerline attitude, then $\xi_M = \theta_M - \alpha - \sigma$, and as the angle from missile centerline to the seeker LOS is $\lambda = \sigma - \theta_M$

$$\text{we have } \xi_M = -\lambda - \alpha \quad (\text{A.22})$$

where α is the angle of attack between the missile centerline and the velocity vector. But this can easily be estimated as

$$\hat{\alpha} \approx \frac{q}{Ts+1} \quad (\text{A.23})$$

where T was defined above.

The missile velocity components v_{M_r} and v_{M_σ} can then be computed as $v_{M_r} = v_M \cos \xi_M$ and $v_{M_\sigma} = v_M \sin \xi_M$.

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APPENDIX B

Error Analysis Coefficients

The numerical data presented in this appendix represent the time varying coefficients of the error equation. As discussed in Chapter 4, the error equation is of the form

$$\ddot{x} + a_1 \dot{x} + a_2 x = \sum_{i=1}^7 b_i N_i,$$

and the data here represent the coefficients a_1 and b_i tabulated for every 0.25 seconds. There are six tables, representing a selection of six choices of initial geometry configuration. They are ordered, and correspond respectively to Figures 4.3 through 4.8 inclusive; however, each graph is labelled to show the initial geometry. These data permit more general stochastic analyses of the effects on the estimates due to errors in the several signals which are used in the algorithm. The coefficients a_1 and a_2 are:

$$a_1 = 2\dot{\sigma} \tan \xi_T - \dot{\gamma}_T \tan \xi_T, \text{ and}$$

$$a_2 = -\dot{\sigma}^2 + \dot{\gamma}_T \dot{\sigma} + \ddot{\sigma} \tan \xi_T.$$

The coefficients b_i and error sources N_i are:

$$b_1 = -1 \quad N_1 \quad a_{M_r}, \text{ accel} \parallel \text{LOS error}$$

$$b_2 = \sec \xi_T \quad N_2 \quad \dot{V}_T, \text{ target airspeed change}$$

$$b_3 = -\dot{\gamma}_T \sec \xi_T \sin (\gamma_M - \gamma_T) \quad N_3 \quad \hat{V}_M, \text{ missile airspeed error}$$

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$b_4 = -r\dot{\gamma}_T - 2\dot{r} \tan \xi_T + 2r\ddot{\alpha}$	$N_4 \quad \dot{\sigma}$, LOS rate error
$b_5 = -v_M \dot{\gamma}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$	$N_5 \quad \hat{\xi}_M$, error of estimating $\hat{\alpha}$
$b_6 = -r \tan \xi_T$	$N_6 \quad \ddot{\alpha}$ LOS acceleration error
$b_7 = -\tan \xi_T$	$N_7 \quad a_{M_\sigma}$, accel. \perp LOS, error

All angles are in radian measure unless specifically stated otherwise.

The data of this appendix are presented in a conventional "exponential format" for digital output data. Thus the tabulated item $0.422E\ 01$ is interpreted as $(0.422)10^{+1} = 4.22$, while $-0.244E-01$ is interpreted as $(-0.244)10^{-1} = -0.0244$.

TIME	a_1	a_2	a_3	a_4	a_5	a_6	a_7
0. J	0.422E+01	C.451E+00	-0.100E+01	0.100E+02	-0.163E+01	0.449E+05	0.454E+05
0.250E+00	0.232E+01	-C.330E+01	-C.100E+01	0.572E+01	-0.104E+01	0.261E+05	0.275E+05
0.500E+00	0.150E+01	-0.285E+01	C.100E+01	0.407E+01	-0.714E+00	0.194E+05	0.442E+05
0.750E+00	0.105E+01	-C.258E+01	-0.100E+01	0.322E+01	-0.531E+00	0.140E+05	0.536E+05
0.100E+01	0.704E+01	C.128E+00	-C.100E+01	0.270E+01	-0.412E+00	0.112E+05	0.391E+05
0.125E+01	0.552E+01	C.0.130E+00	-0.100E+01	0.236E+01	-0.329E+00	0.914E+04	0.595E+04
0.150E+01	0.458E+01	C.0.455E+01	-G.100E+01	0.211E+01	-0.266E+00	0.765E+04	0.553E+04
0.175E+01	0.369E+01	C.0.652E+01	-C.100E+01	0.193E+01	-0.223E+00	0.651E+04	0.583E+04
0.200E+01	0.304E+01	C.0.501E+01	-0.100E+01	0.178E+01	-0.189E+00	0.563E+04	0.565E+04
0.225E+01	0.255E+01	C.0.358E+01	-C.100E+01	0.167E+01	-0.162E+00	0.493E+04	0.553E+04
0.250E+01	0.213E+01	C.0.251E+01	-0.100E+01	0.157E+01	-0.140E+00	0.436E+04	0.537E+04
0.275E+01	0.189E+01	C.0.177E+01	-0.100E+01	0.149E+01	-0.123E+00	0.393E+04	0.522E+04
0.300E+01	0.166E+01	C.0.111E+01	-0.100E+01	0.143E+01	-0.108E+00	0.350E+04	0.507E+04
0.325E+01	0.147E+01	C.0.654E+02	-0.100E+01	0.137E+01	-0.965E+01	0.316E+04	0.454E+04
0.350E+01	0.131E+01	C.0.333E+02	-C.100E+01	0.132E+01	-0.863E+01	0.286E+04	0.461E+04
0.375E+01	0.119E+01	C.0.104E+02	-G.100E+01	0.127E+01	-0.776E+01	0.260E+04	0.459E+04
0.400E+01	0.107E+01	C.0.557E+03	-C.100E+01	0.123E+01	-0.699E+01	0.236E+04	0.459E+04
0.425E+01	0.966E+01	C.0.166E+02	-C.100E+01	0.120E+01	-0.630E+01	0.215E+04	0.444E+04
0.450E+01	0.874E+01	C.0.241E+02	-C.100E+01	0.117E+01	-0.567E+01	0.194E+04	0.441E+04
0.475E+01	0.790E+01	C.0.252E+02	-0.100E+01	0.114E+01	-0.510E+01	0.175E+04	0.433E+04
0.500E+01	0.711E+01	C.0.328E+02	-C.100E+01	0.112E+01	-0.458E+01	0.158E+04	0.421E+04
0.525E+01	0.637E+01	C.0.354E+02	-C.100E+01	0.110E+01	-0.409E+01	0.141E+04	0.420E+04
0.550E+01	0.566E+01	C.0.373E+02	-C.100E+01	0.108E+01	-0.363E+01	0.125E+04	0.414E+04
0.575E+01	0.499E+01	C.0.391E+02	-C.100E+01	0.106E+01	-0.319E+01	0.109E+04	0.409E+04
0.600E+01	0.434E+01	C.0.403E+02	-0.100E+01	0.105E+01	-0.278E+01	0.939E+03	0.405E+03
0.625E+01	0.370E+01	C.0.415E+02	-0.100E+01	0.103E+01	-0.238E+01	0.793E+03	0.402E+03
0.650E+01	0.308E+01	C.0.426E+02	-C.100E+01	0.102E+01	-0.200E+01	0.651E+03	0.395E+03
0.675E+01	0.246E+01	C.0.439E+02	-0.100E+01	0.102E+01	-0.163E+01	0.511E+03	0.396E+03
0.700E+01	0.185E+01	C.0.455E+02	-C.100E+01	0.101E+01	-0.127E+01	0.373E+03	0.394E+03
0.725E+01	0.244E+01	C.0.180E+01	-0.100E+01	0.100E+01	-0.939E+02	0.244E+03	0.392E+03

TABLE B-1

Error Equation Coefficients a_1 and b_1 as functions of the time
 for the trajectory of Fig. 4.3 with initial conditions $\Psi=90^\circ$,
 $\phi = 0^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$\gamma_{T\sigma}$ A1 A2 E1 E2 63 84 85 86 87

0. J	0. 240E-01	C0. 5C1E	00	-0. 1C0E C1	0. 100E 02	0. 0	0. 430E 05	0. 0	-0. 559E 01
0. 27E-00	0. 17E-01	C1 - 0. 357E	CC - C. 10E	01	0. 771E 01	0. 0	0. 329E 05	0. 0	-0. 764E 01
0. 55J-00	0. 126E	C1 - C. 3t3E	CC - C. 10E	C1	0. 538E 01	0. 0	0. 269E 05	0. 0	-0. 572E 01
0. 750E	0. 124E	CC - C. 4C4E	CC - C. 10E	01	0. 557E 01	0. 0	0. 228E 05	0. 0	-0. 468E 01
0. 100E	0. 120E	CC - 0. 653E	CC - 0. 10E	01	0. 505E 01	0. 0	0. 199E 05	0. 0	-0. 359E 01
0. 125E	0. 125E	CC - 0. 3C8E	CC - 0. 10E	01	0. 470E 01	0. 0	0. 178E 05	0. 0	-0. 349E 01
0. 150E	0. 135E	CC - C. 185E	CC - C. 10E	01	0. 446E 01	0. 0	0. 163E 05	0. 0	-0. 311E 01
0. 175E	0. 175E	CC - 0. 144E	CC - C. 10E	01	0. 430E 01	0. 0	0. 152E 05	0. 0	-0. 280E 01
0. 200E	0. 189E	CC - 0. 112E	CC - 0. 10E	01	0. 416E 01	0. 0	0. 144E 05	0. 0	-0. 254E 01
0. 225E	0. 225E	CC - C. 136E	CC - C. 870E-01	-0. 1C0E	C1 0. 409E 01	0. 0	0. 138E 05	0. 0	-0. 231E 01
0. 250E	0. 250E	CC - 0. 666E-01	-0. 1C0E	C1	0. 404E 01	0. 0	0. 134E 05	0. 0	-0. 211E 01
0. 275E	0. 275E	CC - 0. 656E-01	-0. 1C0E	C1	0. 400E 01	0. 0	0. 131E 05	0. 0	-0. 193E 01
0. 300E	0. 300E	CC - 0. 435E-01	-0. 365E-01	-0. 1C0E	C1 0. 397E 01	0. 0	0. 129E 05	0. 0	-0. 175E 01
0. 325E	0. 325E	CC - 0. 276E-01	-0. 263E-01	-0. 1C0E	C1 0. 395E 01	0. 0	0. 128E 05	0. 0	-0. 158E 01
0. 350E	0. 350E	CC - 0. 164E-01	-0. 164E-01	-0. 1C0E	C1 0. 394E 01	0. 0	0. 127E 05	0. 0	-0. 142E 01
0. 375E	0. 375E	CC - 0. 891E-01	-0. 116E-01	-0. 100E	01 0. 394E 01	0. 0	0. 127E 05	0. 0	-0. 126E 01
0. 400E	0. 400E	CC - 0. 417E-02	-0. 689E-02	-0. 1C0E	01 0. 393E 01	0. 0	0. 126E 05	0. 0	-0. 111E 01
0. 425E	0. 425E	CC - 0. 133E-02	-0. 374E-02	-0. 1C0E	01 0. 393E 01	0. 0	0. 126E 05	0. 0	-0. 943E 01
0. 450E	0. 450E	CC - 0. 375E-03	-0. 176E-02	-0. 1C0E	01 0. 393E 01	0. 0	0. 126E 05	0. 0	-0. 785E 01
0. 475E	0. 475E	CC - 0. 155E-02	-0. 113E-02	-0. 100E	01 0. 393E 01	0. 0	0. 126E 05	0. 0	-0. 628E 01
0. 500E	0. 500E	CC - 0. 336E-02	-0. 712E-02	-0. 1C0E	01 0. 394E 01	0. 0	0. 126E 05	0. 0	-0. 47CE 01
0. 525E	0. 525E	CC - 0. 730E-02	-0. 454E-02	-0. 100E	01 0. 394E 01	0. 0	0. 126E 05	0. 0	-0. 312E 01
0. 550E	0. 550E	CC - 0. 202E-01	-0. 202E-01	-0. 1C0E	01 0. 394E 01	0. 0	0. 126E 05	0. 0	-0. 154E 01

TABLE B-2

Error Equation Coefficients a_1 and b_1 as functions of the time
for the trajectory of Fig. 4.4 with initial conditions $\psi = 90^\circ$,
 $\phi = 0^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$a_1 = 2\dot{\sigma} \tan \xi_T - \dot{y}_T \tan \xi_T$	$b_1 = -1$	$N_1 a_M, \text{accel. } \perp \text{LOS error}$
$a_2 = -\dot{\sigma}^2 + \dot{y}_T \dot{\sigma} + \ddot{\sigma} \tan \xi_T$	$b_2 = \sec \xi_T$	$N_2 \dot{v}_T, \text{target airspeed change}$
	$b_3 = -\dot{y}_T \sec \xi_T \sin (\gamma_M - \gamma_T)$	$N_3 \dot{v}_M, \text{missile airspeed error}$
	$b_4 = -r\dot{\gamma}_T - 2\dot{r} \tan \xi_T + 2\dot{r}\dot{\sigma}$	$N_4 \dot{\sigma}, \text{LOS rate error}$
	$b_5 = -V_M \dot{\gamma}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$	$N_5 \dot{\xi}_M, \text{error of estimating } \dot{\xi}$
	$b_6 = -r \tan \xi_T$	$N_6 \dot{\sigma} \text{ LOS acceleration error}$
	$b_7 = -\tan \xi_T$	$N_7 a_{\gamma_T}, \text{accel. } \perp \text{LOS error}$

TIME A1 A2 B1 B2 B3 B4 B5 B6 B7

0.0	0.579E 00	0.579E 00	-0.541E 00	-0.100E 01	0.100E 02	0.193E 01	0.412E 05	-0.454E 01	C0 -0.1CCE 06
0.250E 00	0.531E 00	-0.549E 00	-0.100E 01	0.118E 02	0.216E 01	0.490E 05	-0.147E 03	-0.112E 06	-0.118E 02
0.500E 00	0.351E 00	-0.850E 00	-0.100E 01	0.151E 02	0.274E 01	0.626E 05	-0.581E 03	-0.136E 06	-0.150E 02
0.750E 00	-0.112E 00	-0.156E 01	-0.100E 01	0.224E 02	0.403E 01	0.932E 05	-0.145E 04	-0.150E 06	-0.224E 02
0.100E 01	-0.230E 01	-0.335E 01	-0.100E 01	0.539E 02	0.958E 01	0.224E 06	-0.473E 04	-0.430E 06	-0.539E 02
0.125E 01	0.620E 01	0.464E 01	-0.100E 01	-0.675E 02	-0.154E 02	-0.364E 06	0.898E 04	0.653E 06	0.875E 02
0.150E 01	0.214E 01	0.100E 01	-0.224E 02	-0.392E 01	-0.933E 05	0.248E 04	0.155E 06	0.223E 02	
0.175E 01	0.144E 01	0.471E 00	-0.100E 01	-0.124E 02	-0.216E 01	-0.520E 05	0.140E 04	0.753E 05	0.123E 02
0.200E 01	0.111E 01	0.271E 00	-0.100E 01	-0.841E 01	-0.147E 01	-0.356E 05	0.933E 03	0.453E 05	0.835E 01
0.225E 01	0.921E 00	0.173E 00	-0.100E 01	-0.629E 01	-0.111E 01	-0.270E 05	0.659E 03	0.334E 05	0.621E 01
0.250E 01	0.787E 00	0.117E 00	-0.100E 01	-0.499E 01	-0.583E 00	-0.216E 05	0.474E 03	0.237E 05	0.489E 01
0.275E 01	0.687E 00	0.832E 00	-0.100E 01	-0.411E 01	-0.734E 00	-0.180E 05	0.339E 03	0.172E 05	0.359E 01
0.300E 01	0.608E 00	0.613E 00	-0.100E 01	-0.349E 01	-0.628E 00	-0.154E 05	0.234E 03	0.126E 05	0.335E 01
0.325E 01	0.544E 00	0.465E 00	-0.100E 01	-0.303E 01	-0.548E 00	-0.135E 05	0.149E 03	0.918E 04	0.286E 01
0.350E 01	0.492E 00	0.364E 00	-0.100E 01	-0.267E 01	-0.486E 00	-0.119E 05	0.779E 02	0.655E 04	0.248E 01
0.375E 01	0.447E 00	0.294E 00	-0.100E 01	-0.239E 01	-0.436E 00	-0.106E 05	0.170E 02	0.448E 04	0.217E 01
0.400E 01	0.409E 00	0.252E 00	-0.100E 01	-0.216E 01	-0.394E 00	-0.0933E 04	-0.362E 02	0.281E 04	0.152E 01
0.425E 01	0.378E 00	0.271E 00	-0.100E 01	-0.198E 01	-0.359E 00	-0.0858E 04	-0.834E 02	0.147E 04	0.170E 01
0.450E 01	0.367E 00	0.189E 00	-0.100E 01	-0.182E 01	-0.327E 00	-0.0773E 04	-0.126E 03	0.361E 03	0.152E 01

TABLE B-3

Error Equation Coefficients a_1 and b_1 as functions of the time
for the trajectory of Fig. 4.5 with initial conditions $\psi = 90^\circ$,
 $\phi = 80^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$$a_1 = 2\dot{\phi} \tan \xi_T - \dot{y}_T \tan \xi_T$$

$$a_2 = -\dot{\phi}^2 + \dot{y}_T \ddot{\phi} + \ddot{\phi} \tan \xi_T$$

$$b_1 = -1$$

$$b_2 = \sec \xi_T$$

$$N_1 \quad a_M, \text{ accel. } \parallel \text{LOS error}$$

$$N_2 \quad \dot{V}_M, \text{ target airspeed change}$$

$$N_3 \quad \hat{V}_M, \text{ missile airspeed error}$$

$$N_4 \quad \dot{\phi}, \text{ LOS rate error}$$

$$N_5 \quad \hat{\epsilon}_M, \text{ error of estimating } \hat{\epsilon}$$

$$N_6 \quad \ddot{\phi} \text{ LOS acceleration error}$$

$$N_7 \quad a_{M_\sigma}, \text{ accel. } \perp \text{LOS, error}$$

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The matrix T_n is thus a "continuously updated observation matrix" and the vector $Y_{(n)}$ is a "series of output observations". The expression (5.21) is valid provided the noise y is independent from computing instant to instant and has zero mean. The construction of the matrix T_n is often a formidable task as it requires keeping in storage a large amount of past data $H(t_i)$ so that it can be updated by the state transition matrix $\Phi(t_i, t_j)$ which is at times also difficult to obtain.

Apply this theory to, typically, the reduced dimensionality problem defined by (5.16) and (5.17); assume that \dot{r} is constant, thus x_2 is constant after convergence. But $x_1 = r$ and is not constant. Now we have, at some specific instant, and with only one value of $i = 1$,

$$v_1(t_1)x_1 + v_2(t_1)x_2 + y_1 = e_5. \quad (5.24)$$

At the next instant we have

$$v_1(t_2)x_1 + v_2(t_2)x_2 + y_2 = e_5. \quad (5.25)$$

But while the value of x_2 is ideally the same at these two instants, the value of x_1 changes continuously, for $x_1 = r$, and r is the integral of \dot{r} . We may modify (5.24) so that x_1 is the same for the two instants. If Δt

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is the time between samples, we may rewrite (5.24) as

$$v_1(t_1)(x_1(t_2) + x_2 \Delta t) + v_2(t_1)x_2 + y_1 = e_5,$$

which may be rearranged as

$$v_1(x_1)x_1(t_2) + (v_2(t_1) + v_1(t_1)\Delta t)x_2 + y_1 = e_5. \quad (5.26)$$

Now Eqns. (5.25) and (5.26) represent two measurements referred to the same instant, and may be solved jointly. More generally, a larger sequence of such instants may be gathered in the more general form of (5.23).

This method exhibited several modes of failure.

Whenever any two of:

the missile velocity vector

the target velocity vector

the LOS

become colinear, or $\dot{\sigma} = 0$, the matrix $(T_n^T T_n)$ became ill-conditioned or indeterminate, yielding inaccurate and noise-sensitive solutions. In geometries when this mode of failure does not occur, this algorithm has a different mode of failure. If the duration of the stored memory exceeds 1/2 second, the neglected target acceleration parallel to the LOS, a_{T_r} , causes the estimate of \dot{r} to be 30% to 50% in error within 1 to 2 seconds, as the assumption that $\ddot{r} = 0$ is too incorrect. On the other hand, a shorter duration memory results in high noise sensitivity. But these algorithms work

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well (Range-Rate errors of 1%) if $a_{T_r} = 0$.

In any case the computational complexity and memory storage requirements of this algorithm are excessive. An alternate was therefore examined. If

$$\hat{r} = \hat{r}_o + \int \hat{\dot{r}} dt \quad (5.27)$$

and $x_1 = \hat{r}_o, x_2 = \hat{r}, \text{ etc.}$,

then a much simpler algorithm results. At a number of successive instants we have

$$\begin{pmatrix} v_1(1) & v_2(1) \\ v_1(2) & v_2(2) + v_1 \Delta t \\ \vdots & \vdots \\ \vdots & \vdots \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ \vdots \end{pmatrix} \quad (5.28)$$

and both x_1 and x_2 may be assumed constant. If the n th row of the left of (5.28) is

$$v_1(n) \quad v_2(n) + \Delta t v_1(n-1) ,$$

then the matrix $(T_n^T T_n)$ may be recursively formed as

$$(T_n^T T_n) = (T_{n-1}^T T_{n-1}) + v_n v_n^T . \quad (5.29)$$

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Although sufficiently simple to be feasible for an air-air missile, this approach to range estimation also failed for the same reasons:

- (a) Ill-conditioned matrix for some geometries,
- (b) High noise-sensitivity for short averaging times,
- (c) Large errors in range-rate estimates for longer averaging times due to the invalid assumption that $a_{T_r} = 0$.

When failure-mode (a) did not occur, and $a_{T_r} = 0$ was valid, the algorithm yields estimate errors of the order of 1%.

No attempt at estimating a_{T_r} , target acceleration parallel to the LOS, by linear methods was successful. Any algorithm which neglected $a_{T_r} \neq 0$ when it was significant operated unsuccessfully; either excessive noise sensitivity became a problem, or the neglected acceleration caused excessive errors in the estimation of Range-Rate, \hat{r} .

In summary, except for encounter geometries which yield ill-conditioned matrices, the matrix-inverse algorithms work well with long-filtering times which eliminate noise effects if the assumption that $a_{T_r} = 0$ is valid. If that

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assumption is invalid, the matrix-inverse schemes fail due to the bias in range-rate estimate caused by target acceleration, or due to noise.

5.3 Observability

The failure of these various adaptive and matrix-inverse parameter identification methods, whether continuous or discrete, is fundamentally due to the existence of target acceleration in the radial direction, parallel to the line of flight. That acceleration component cannot be estimated by linear techniques because it is "unobservable" in the mathematical sense. This section relates the concept of "observability" to this application and problem.

The state of a linear system with constant coefficients in the dynamics and constant coefficients in the output relation can be estimated from knowledge of the output provided the system is mathematically observable. For this discussion, we view the quantities of range, range-rate, etc., as states which are to be determined and consider the signals of LOS-rate and missile acceleration as time-varying parameters. In state-variable notation a plant may be described as

$$\text{Plant Dynamics: } \dot{x} = Ax + Bu$$

$$\text{Output: } y = Hx + v$$

(5.30)

where v is zero-mean Gaussian white noise, and x is an N -component vector.

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The observability of the system is specified by a relationship between the A matrix, specifying the dynamics, and the H matrix, relating the state to the output. If the order of the system is N, then the matrix

$$O_N = [H^T : A^T H^T : (A^T)^2 H^T : \dots : (A^T)^{N-1} H^T] \quad (5.31)$$

must have full rank for the system to be observable. Let us now apply this to one of the possible formulations of the problem. For the system definition, use the acceleration-component equations from Section 2

accelerations

parallel to the $\ddot{r} - r \dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0$
LOS (5.32)

Perpendicular to $r \ddot{\sigma} + 2 \dot{r} \dot{\sigma} - a_{T_\sigma} + a_{M_\sigma} = 0.$
the LOS (5.33)

In order to cast this into the standard form of (5.30), define state variables

$$\begin{aligned} x_1 &= \hat{r} \\ x_2 &= \hat{\dot{r}} \\ x_3 &= \hat{a}_{T_r} \\ x_4 &= \hat{a}_{T_\sigma} \end{aligned} \quad \left. \right\} \quad (5.34)$$

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i.e. the variables x are the estimates of the unknown parameters. The last two lines of (5.34) express the fact that the two components of target acceleration must be estimated and are assumed to be unknown constants.

The state variable expression of the relationships among the several variables may be found from (5.32) and (5.34) as

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \sigma^2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} + \begin{pmatrix} 0 \\ -a_{T_r} \\ 0 \\ 0 \end{pmatrix} . \quad (5.35)$$

The first row of (5.35) expresses the differential equation relating range and range-rate estimates. The second row consists of (5.34) while the third and fourth rows express the assumption that the target's unknown accelerations a_{T_r} and a_{T_o} are constant. From (5.35), the matrix A is

$$A_n = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \sigma^2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (5.36)$$

and $N=4$.

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Equation (5.33) yields the output relationship

$$\begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma} & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \hat{a}_{M_\sigma}; \quad (5.37)$$

comparison of the estimate, \hat{a}_{M_σ} , with the measured acceleration a_{M_σ} yields an error whose minimization will presumably yield optimal estimates of the range, x_1 , and range-rate, x_2 . From (5.37),

$$H = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma} & 0 & 1 \end{pmatrix}. \quad (5.38)$$

Combining (5.36) with (5.38) in the format of (5.35) yields the observability matrix

$$O_4 = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 & -2\dot{\sigma}^5 \\ 2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 \ddot{\sigma} \\ 0 & -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 1 & 0 & 0 & 0 \end{pmatrix}. \quad (5.39)$$

The fourth column of (5.39) is exactly equal to $\dot{\sigma}^2$ times the second column, and the rank is less than the order. This system is unobservable; experiment confirmed this result.

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In realistic combat trajectories it is generally erroneous to assume that a_{T_r} , target acceleration in the range-direction, is zero. If, however, that assumption is valid for some situation, then we can simplify (5.35) by omitting the third row and column and similarly simplify H , so that if $a_{T_r} \equiv 0$, a priori, then

$$A_{31} = \begin{pmatrix} 0 & 1 & 0 \\ \ddot{\sigma}^2 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad H_{31} = (-\ddot{\sigma} \quad -2\dot{\sigma} \quad 1)$$

$$\text{and } O_{31} = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\ddot{\sigma} \dot{\sigma}^2 \\ -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 1 & 0 & 0 \end{pmatrix}. \quad (5.40)$$

In this case rank equals order and the system is observable if $\ddot{\sigma} \neq 0$ and $\dot{\sigma} \neq 0$. This result was experimentally confirmed.

Returning to (5.35), assume that the target acceleration perpendicular to the LOS, a_{T_σ} , is identically zero, i.e., $x_4 = \hat{a}_{T_\sigma} \equiv 0$, a priori, but the other component, $x_3 = \hat{a}_{T_r}$, is a non-zero constant. Then, making the appropriate changes in A and H , we have

$$A_{32} = \begin{pmatrix} 0 & 1 & 0 \\ \ddot{\sigma}^2 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad H_{32} = (-\ddot{\sigma} \quad -2\dot{\sigma} \quad 0)$$

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and

$$O_{32} = \begin{pmatrix} -\ddot{\sigma} & -2\dot{\sigma}^3 & -\dot{\sigma}^2 \ddot{\sigma} \\ -2\dot{\sigma} & -\ddot{\sigma} & -2\dot{\sigma}^3 \\ 0 & -2\dot{\sigma} & 0 \end{pmatrix} . \quad (5.41)$$

In this case the third column equals $\dot{\sigma}^2$ times the first; therefore the system is unobservable.

The result may now be stated: If the target has significant acceleration parallel to the line of sight, ($a_{T_r} \neq 0$), it is not possible to estimate range and range-rate; the system is unobservable. If the target acceleration a_{T_r} is known to be zero, a priori; then the system is observable, and it may be reduced to a problem in the three states, $x_1 = \hat{r}$, $x_2 = \hat{\dot{r}}$ and $x_4 = \hat{a}_{T_\sigma}$. In this case, Equation (5.32) can be exactly instrumented. If $x_4 = \hat{a}_{T_\sigma} = \text{constant}$ is a reasonable assumption, it is possible to reduce the problem from three states to two by differentiating the output expression (5.37) and eliminating $x_4 = \hat{a}_{T_\sigma}$, as in (5.16).

It is, however, an important restriction that the theorems on observability do not apply perfectly to systems whose coefficients are time-varying. In our case the system dynamics are constant, while the observation matrix is not. Intuitively, it seemed possible that if

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the coefficients of the output equation vary sufficiently in the observation interval, then a result might be obtained which is of value. Many variations of the above development, using different models of the system dynamics and output equations were therefore examined to pursue this question. The results were disappointing whenever $a_{T_r} \neq 0$, or δ was small. The system is unobservable, despite the uncertainty of the theory on this question, whenever $a_{T_r} \neq 0$.

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5.4 Other Linear Systems

It is advantageous to unify the results of the above discussions on kinematic linear parameter identification systems in order to form a basis for considering other possible linear systems. We therefore re-present the problem from a geometrical viewpoint and then consider possible extensions beyond the scope of this contract.

Consider the equation of acceleration components perpendicular to the LOS, from the kinematics,

$$r\ddot{\phi} + 2\dot{r}\dot{\phi} - a_{T_1 \phi} + a_{m \phi} = 0. \quad (5.41)$$

Assume that the range acceleration, \ddot{r} , is zero, and may therefore be neglected. Assume further that $a_{T_1 \phi}$ is quasi-constant, i.e., cannot change significantly in less than 1/2 second. Then differentiation of (5.41) with a high pass filter such as $\frac{T_1}{T_1 s+1}$, where T_1 is of the order of 1/2 second or less, reduces (5.41) to

$$rv_1 + \dot{r}v_2 + v_0 = 0 \quad (5.42)$$

$$\text{where } v_1 = \left(\frac{T_1 s}{T_1 s+1} \right) \ddot{\phi}, \quad v_2 = \left(\frac{2T_1 s \dot{\phi}}{T_1 s+1} + \frac{T_1 \ddot{\phi}}{(T_1 s+1)^2} \right), \quad v_0 = \left(\frac{T_1 s}{T_1 s+1} \right) a_{m \phi}.$$

If in (5.42) we replace r and \dot{r} by the estimates \hat{r} and $\hat{\dot{r}}$, (5.42) may be expressed as $\hat{r}v_1 + \hat{\dot{r}}v_2 + v_0 = e_6$; then the solution for \hat{r} and $\hat{\dot{r}}$ lies on a locus such that $e_6=0$. In this

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case (5.43) forms a straight line, as shown in the sketch below for some particular instant.

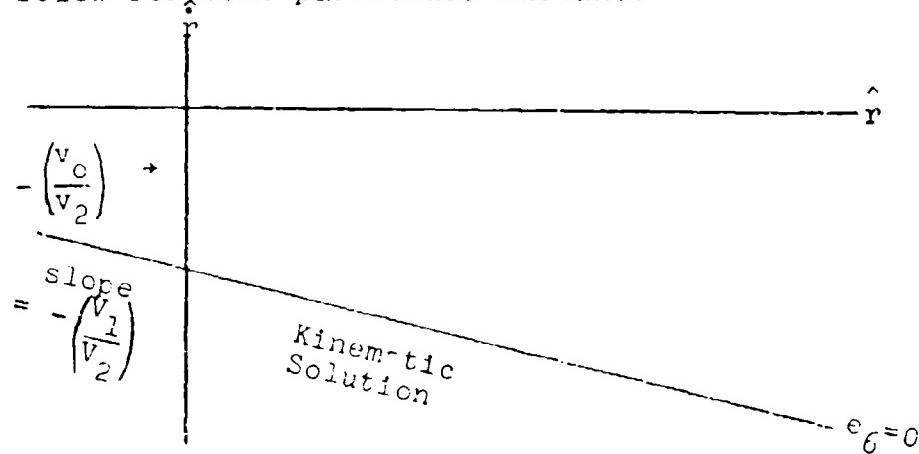


Fig. 5.1
Geometrical View of Equation Error.

The entire problem of estimation is based on the necessity of finding another line in the (\hat{r}, \hat{r}) space:

- (a) The multiple-error adaptive process, by using a variety of values of T_1 generates a number of other lines which are, more or less, linearly independent. This approach may be categorized as achieving distribution in the T_1 -lag space at one instant of true time.^{4,6}
- (b) The various single-error adaptive nets and the Kalman net take advantage of the fact that, at some other instant than that shown in Fig. 5.1, the line of zero error in the (\hat{r}, \hat{r}) space is

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differently oriented, if $\sigma \neq 0$, and is therefore linearly independent. These approaches thus use the idea of distribution in true time, t , rather than in the T_1 space.

- (c) The matrix inverse schemes gather all lines over the computing interval, thus achieving distribution in time, and then find the solution.

A physically different approach to finding a second linearly independent line in the $(\hat{r}, \dot{\hat{r}})$ space is obviously preferable if possible. Stadiometric ranging provides such an approach. Seekers which enable measurement of some function of the target size, or area, such as mosaic IR, TV, area, or correlation, have this capability. In a single plane we have the geometrical relationship

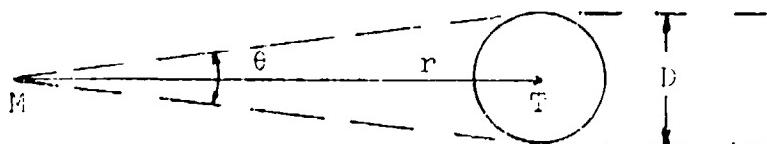
$$r\theta = D \quad (5.44)$$

where r Range

θ Angle Subtended by Target, assumed a small angle

D Target Size

as shown in the sketch below.



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Assume that D is constant; this assumption is fair if D is the diameter of the least circle which contains the target as viewed from the seeker in both the maneuver and cross maneuver planes. From (5.44), by differentiating,

$$r\dot{\theta} + \dot{r}\theta = 0$$

and

$$\hat{r}\dot{\theta} + \hat{r}\theta = e_7. \quad (5.45)$$

The choices of \hat{r} and \hat{r} for which $e_7 = 0$ lie on a straight line which passes through the origin of the (\hat{r}, \hat{r}) space at every instant. Combining this with the kinematic ranging sketch, Fig. 5.1, yields the sketch below.

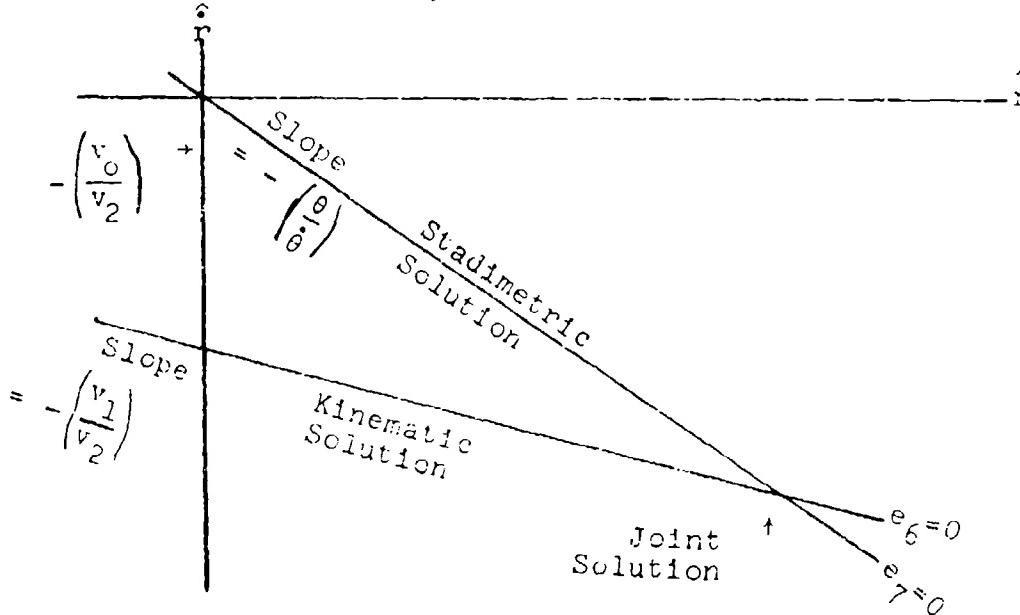


Fig. 5.2
Combining Kinematic and Stadiometric Ranging.

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If we solve (5.44) and (5.45) simultaneously, we get one equation for \hat{r} and one for $\dot{\hat{r}}$. These are independent and their joint solution may therefore be determined. It is usually better to solve the two equations in a decoupled format as the solution can always be accomplished more rapidly, more simply and more accurately. A Least Magnitude algorithm may be used. They are analytically valid in such cases and are attractive for they estimate a moving parameter with smaller lag than quadratic algorithms, and thereby decrease the effect of target accelerations.

However, this argument rests on the rather uncertain base of the assumptions. One assumption is that the target cross-track acceleration (a_{T_g}) is quasi-constant, i.e., changes negligibly within two time-constants of the high-pass filter $\frac{T_1 s}{T_1 s + 1}$, in (5.42). The other assumption is that $\ddot{r} \approx 0$, or at least the unknown portion (a_{T_r}) thereof. In fact, in any maneuver of the target, a_{T_g} and a_{T_r} change continuously and can change rapidly. This scheme is therefore less powerful than appears at first.

However, stadiometric data can be valuable in reducing the difficulty of range estimation. Equation (5.41) states one relationship between three of the unknowns in the kinematic ranging problem. Stadiometric data provides another relationship, so that the effective total number of unknowns may be reduced by one. The possibility therefore exists that linear estimation of range and range rate could be accomplished with acceptably small error, due to the unobservability of a_{T_r} , by combining kinematic and stadiometric methods.

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It should be observed that stadiometric ranging has an advantage over kinematic ranging. In stadiometry, the subtended angle θ is positive-definite, and its rate $\dot{\theta}$ is zero if and only if $\dot{r} = 0$; this almost never occurs. On the other hand, in kinematic ranging, the objective of a proportional navigation homing system is to keep $\dot{\theta} \approx 0$ at all times; consequently an ill-conditioned covariance matrix must result at least occasionally.

This observation leads to describing the possible weakness in the idea of combining kinematic and stadiometric ranging principles. In both schemes, express \hat{r} as a function of \hat{r} , and assume $\ddot{r} \approx 0$. Then, using (5.42) and (5.45)

$$\text{Kinematic: } \hat{r} = \frac{v_c}{v^2} - \frac{v_l}{v^2} \hat{r} \quad (5.46)$$

$$\text{Stadiometric: } \hat{r} = -(\dot{\theta}/\theta) \hat{r}, \quad (5.47)$$

under the simplifying assumption that $a_{T_\sigma} = 0$.

As previously observed for the stadiometric method, in (5.47) θ is always positive, and $\dot{\theta}$ is almost always positive. Neglecting the rare and anomalous case of $\dot{\theta} \leq 0$, implying increasing range, $\dot{\theta}/\theta$ is positive and the solution for \hat{r} as a function of \hat{r} passes through the origin with a time-varying negative slope which is a quotient of non-zero finite quantities. In the kinematic approach (5.46), the

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coefficient of \dot{r} will normally have a negative value but is a quotient in which the numerator and denominator rapidly approach small values and ultimately zero, in favorable geometries, and start and remain at small values in unfavorable geometries. The slope of (5.46) and the joint solution of (5.46) and (5.47), therefore are increasingly sensitive to instrument and computation noise as the maneuver becomes small. In geometric terms, the two lines in the $(\hat{r}, \dot{\hat{r}})$ space of Figure 5.2 become parallel as the maneuver becomes small.

In consequence, it is evident that the stadiometric/linear-kinematic method outlined above could be improved by use of the nonlinear kinematic method described in Section 4.

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6. TECHNOLOGY ASSESSMENT

It appears that linear methods of kinematic ranging can work effectively only for favorable combat geometries and if the sensor noise levels are quite low. This implies high accuracy sensors, and frequent ineffectivity.

The nonlinear filter described in Section 4 estimates the target velocity-vector components and therefore can be used to deduce the target velocity and aspect angle. The filter also estimates the target's acceleration components, the turn-rate, and its derivative. It therefore estimates the entire specification of the target dynamics, and can be used to estimate the target future trajectory, and time to go. This may be useful not only to the air-air missile terminal-control guidance problem but also to a variety of terminal fire control systems in aircraft or helicopters instead of missiles. This nonlinear filter can also be used to eliminate impossible target accelerations from data provided by other tracking systems such as radar or laser rangers.

Many of the newer seekers of TV or IR types provide some indication of the target size or shape. Target size data can be used as a partial basis for a ranging system, as outlined in Section 5. In addition, information on the target shape or its aspect-angle can be used to provide clues enabling nonlinear filtering. For example, if the target is viewed from a head-on or tail-chase aspect it is clearly

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difficult for the target to accelerate parallel to the Line of Sight; it can accelerate across the line of sight with relative ease.

Linear stadiometric ranging cannot by itself estimate range and range-rate, but only their ratio. However, combining linear stadiometry and kinematic schemes has the effect of reducing the number of unknown variables to be determined simultaneously. The parameter identification problem may in fact be reduced to a problem in 2-space, without using the poor assumptions that \ddot{r} , a_{T_r} , and a_{T_σ} are quasi-constant.

In this situation, two or (preferably) more independent measurement instants enable an unique solution. The problem of updating the hyperplane of Figs. 5.1 or 5.2 to another instant is then reduced. It is not eliminated, therefore the solution is not rigorously observable; however, the combination may be useful.

In a review of the present technology and an estimate of the trends and potentials, the interaction of cost with systems technology is perhaps most important in the air-air missile problem. These points stand out:

- a) Seekers of all kinds are very expensive; a small radar seeker is very costly in weight, space and dollars. A TV seeker may be less expensive in each sense, but is not cheap. The various sophisticated IR seekers are cheaper but are still quite costly compared to the simplest IR seekers.
- (b) Sensors such as rate gyros are an order of magnitude less costly than simple seekers.

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- c) The introduction of medium or large scale integrated digital and analog chips has at once improved the reliability of the computation process and reduced the cost by orders of magnitude.

Taken together, these elements suggest that cost/effectivity considerations lead to improving missile system performance by combining a wide range of the inexpensive sensors with a relatively sophisticated computation capability. This may enable use of a relatively unsophisticated seeker of relatively low cost.

The nonlinear range/range rate estimator shown in Fig. 4.1 requires a considerable computation capability, and requires input signals from a pair of accelerometers. It also requires the missile airspeed components which may be obtained from relatively inexpensive sensors or may be estimated from accelerometer and rate-gyro data. But the least sophisticated seeker is sufficient. Our approach was to maximize the information which could be extracted from an inexpensive seeker by combining several inexpensive sensors with a relatively sophisticated yet inexpensive computer which will soon be available.

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APPENDICES

APPENDIX A

The Nonlinear Estimator; Derivation and Instrumentation

It is possible, due to the particular form of the equations and constraints of the Mathematical Model of the system, to develop a nonlinear range and range-rate identifier. This type of identifier is discussed in this section.

A.1 Analysis

The key elements of the Mathematical Model are quoted from Section 3:

Velocity component equations parallel to the Line-of-Sight:

$$\begin{aligned} \dot{r} - V_T \cos (\gamma_T - \sigma) + V_M \cos (\gamma_M - \sigma) &= 0, \\ \text{or } \dot{r} - V_{T_r} + V_{M_r} &= 0. \end{aligned} \tag{A.1}$$

Velocity component equations perpendicular to the LOS

$$\begin{aligned} r \dot{\sigma} - V_T \sin (\gamma_T - \sigma) + V_M \sin (\gamma_M - \sigma) &= 0, \\ \text{or } r \dot{\sigma} - V_{T_\sigma} + V_{M_\sigma} &= 0. \end{aligned} \tag{A.2}$$

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We also demonstrated that the acceleration component equations parallel and perpendicular to the LOS are, respectively:

$$\ddot{r} - r\dot{\sigma}^2 - a_{T_r} + a_{M_r} = 0 \quad (A.3)$$

and $\ddot{r\sigma} + 2\dot{r}\dot{\sigma} - a_{T_\sigma} + a_{M_\sigma} = 0.$ (A.4)

The target and missile velocity and acceleration components parallel and perpendicular to the LOS are:

Velocities:

Parallel: $v_{T_r} = v_T \cos \xi_T$

$$v_{M_r} = v_M \cos \xi_M$$

Perpendicular: $v_{T_\sigma} = v_T \sin \xi_T$

$$v_{M_\sigma} = v_M \sin \xi_M.$$

(A.5)

Accelerations:

Parallel: $a_{T_r} = \dot{v}_T \cos \xi_T - v_T \dot{\gamma}_T \sin \xi_T$

$$a_{M_r} = \dot{v}_M \cos \xi_M - v_M \dot{\gamma}_M \sin \xi_T$$

Perpendicular: $a_{T_\sigma} = \dot{v}_T \sin \xi_T + v_T \dot{\gamma}_T \cos \xi_T \quad (A.6)$

$$a_{M_\sigma} = \dot{v}_M \sin \xi_M + v_M \dot{\gamma}_M \cos \xi_T$$

where

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$$\begin{aligned} \text{Angles: } \xi_T &= \gamma_T - \sigma \\ \xi_M &= \gamma_M - \sigma. \end{aligned} \quad (A.7)$$

The principle of this approach is simply to solve A.3 for \ddot{r} as

$$\ddot{r} = r\dot{\sigma}^2 + a_{T_r} - a_{M_r}. \quad (A.8)$$

Integration of \ddot{r} will yield \dot{r} and r if the terms on the right of (A.8) are available.

The term $r\dot{\sigma}^2$ can be computed easily while a_{T_r} , the missile acceleration parallel to the LOS, can be measured directly. The problem therefore is now to estimate a_{M_r} in some way. It is assumed that initial values of \hat{r} and \hat{r} are provided.

It was shown that the target acceleration in the range direction, a_{T_r} , can be determined from available data. The procedure is first to calculate V_T , the target airspeed, by use of (A.1) and (A.2) as

$$\begin{aligned} (\dot{r} + V_M \cos \xi_M)^2 + (r\dot{\sigma} + V_M \sin \xi_M)^2 &= \\ V_T^2 \cos^2 \xi_T + V_T^2 \sin^2 \xi_T &= V_{T_r}^2 + V_{T_\sigma}^2 = V_T^2. \end{aligned} \quad (A.9)$$

It was stated in Section (4.1) that this expression may be differentiated to yield $V_{T_r} a_{T_r} + V_{T_\sigma} a_{T_\sigma} = V_T \dot{V}_T$; This is demonstrated below. Therefore, let us differentiate (A.9) with respect to time, yielding

$$\begin{aligned} 2\{ &(\dot{r} + V_M \cos \xi_M)[\ddot{r} + (\dot{V}_M \cos \xi_M - V_M \dot{\gamma}_M \sin \xi_M) + V_M \dot{\sigma} \sin \xi_M] \\ &+ (r\dot{\sigma} + V_M \sin \xi_M)[r\ddot{\sigma} + \dot{r}\dot{\sigma} + (\dot{V}_M \sin \xi_M + V_M \dot{\gamma}_M \cos \xi_M) - \\ &V_M \dot{\sigma} \cos \xi_M]\} = 2 V_T \dot{V}_T. \end{aligned}$$

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Substitute from (A.5) the simplifying notation for the acceleration components of the missile, a_{M_r} and a_{M_c} , parallel and perpendicular to the LOS, respectively; these accelerations are gathered in parenthesis within the same brackets in the expression above [()].

This yields

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} + a_{M_r} + V_M \dot{\phi} \sin \xi_M) \\
 & + (r\dot{\phi} + V_M \sin \xi_M)(r\ddot{\phi} + \dot{r}\dot{\phi} + a_{M_c} - V_M \dot{\phi} \cos \xi_M) = \\
 & V_T \dot{V}_T.
 \end{aligned} \tag{A.10}$$

Now solve (A.2) for $V_M \sin \xi_M$, multiply by $\dot{\phi}$ and replace the term $(V_M \dot{\phi} \sin \xi_M)$ on the first line of (A.10) by the term thus formed. Similarly, solve (A.1) for $V_M \cos \xi_M$, multiply by $\dot{\phi}$ and eliminate the term $(V_M \dot{\phi} \cos \xi_M)$ from the second line of (A.10). These substitutions yield

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} - r\dot{\phi}^2 + a_{M_r} + \dot{\phi} V_T \sin \xi_T) + \\
 & (r\dot{\phi} + V_M \sin \xi_M)(r\ddot{\phi} + 2\dot{r}\dot{\phi} + a_{M_c} - \dot{\phi} V_T \cos \xi_T) = \\
 & V_T \dot{V}_T.
 \end{aligned}$$

Keeping the right side of this expression, gather the coefficients of V_T on the left; this reduces to

$$\begin{aligned}
 & (\dot{r} + V_M \cos \xi_M)(\ddot{r} - r\dot{\phi}^2 + a_{M_r}) + (r\dot{\phi} + V_M \sin \xi_M) \\
 & (r\ddot{\phi} + 2\dot{r}\dot{\phi} + a_{M_c}) + \dot{\phi} \{(\dot{r} + V_M \cos \xi_M)(V_T \sin \xi_T) - \\
 & -(r\dot{\phi} + V_M \sin \xi_M)(V_T \cos \xi_T)\} = V_T \dot{V}_T.
 \end{aligned} \tag{A.11}$$

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The term in curly braces {} in (A.11) is identically zero. This follows from (A.1), $\dot{r} + V_M \cos \xi_M = V_T \cos \xi_T$, while from (A.2), $\dot{r\sigma} + V_M \sin \xi_M = V_T \sin \xi_T$, so that the brace has the value $\{V_T \cos \xi_T (V_T \sin \xi_T) - V_T \sin \xi_T (V_T \cos \xi_T)\} = 0$.

As a consequence, (A.11) takes the simpler form

$$(\ddot{r} + V_M \cos \xi_M)(\ddot{r} - r\ddot{\sigma}^2 + a_{M_r}) + (r\ddot{\sigma} + V_M \sin \xi_M) \\ (r\ddot{\sigma} + 2\dot{r}\dot{\sigma} + a_{T_r}) = V_T \dot{V}_T. \quad (A.12)$$

By use of (A.1) through (A.6), this may be expressed in the intuitively satisfying form $V_{T_r} a_{T_r} + V_{T_\sigma} a_{T_\sigma} = V_T \dot{V}_T$, (A.13) which is the form used in the discussion in Section 4, where we use the simplifying notation, from (A.5),

$$V_{T_r} = V_T \cos \xi_T$$

$$V_{T_\sigma} = V_T \sin \xi_T$$

to express the target velocity components parallel and perpendicular to the LOS.

The exact solution for a_{T_r} is thus

$$a_{T_r} = \frac{V_T \dot{V}_T - V_{T_\sigma} a_{T_\sigma}}{V_{T_r}}. \quad (A.14)$$

and

$$\ddot{r} = r\ddot{\sigma}^2 - a_{L_r} + \frac{V_T \dot{V}_T - V_{T_\sigma} a_{T_\sigma}}{V_{T_r}}, \quad (A.15)$$

while $\frac{a_{T_\sigma}}{V_{T_r}} = \dot{V}_T$ if $\dot{V}_T \neq 0$.

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Usually \dot{V}_T is small and can be neglected, and all other terms on the right of (A.15) can be estimated.

The mechanization of this algorithm is discussed in Section (A.2), following.

A.2 Mechanization of the Signals for the Ideal Algorithm

The range acceleration is given by (A.15); it may be integrated to yield \dot{r} and r . The term $r\dot{\phi}^2$ can then be formed, while the missile acceleration component, a_{M_r} , can be directly sensed.

The mechanization of (A.15) requires that missile airspeed V_M , the missile acceleration components a_{M_r} and a_{M_ϕ} , and the angle ξ_M be available as signals or be estimated. It will now be shown that this can be accomplished.

(a) Acceleration Components

The accelerometer components, a_{M_r} , and a_{M_ϕ} , are a special case; two easy solutions are available:

(1) Mount the accelerometers on the seeker;

then one yields a_{M_r} and the other yields a_{M_ϕ} directly.

(2) Mount the accelerometers on the missile

body; then their signals are a_{M_x} , and a_{M_y} , fore-aft and cross-body, respectively; and resolution of the signals from the accelerometer through

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the "look-angle," λ , yields

$$\begin{pmatrix} a_{M_r} \\ a_{M_\sigma} \end{pmatrix} = \begin{pmatrix} \cos \lambda & -\sin \lambda \\ \sin \lambda & \cos \lambda \end{pmatrix} \begin{pmatrix} a_{M_x} \\ a_{M_y} \end{pmatrix}. \quad (A.16)$$

As the "look-angle," λ , between the missile centerline and the LOS can easily be made physically available in a seeker gimbal, the resolution of the acceleration components is easily accomplished.

(b) Velocity Estimation

If the missile body pitch rate, q , or equivalent, is an available signal, and further, if the cross-body acceleration of the missile, a_{M_y} , is also available as a signal, it is relatively easy to estimate missile velocity, V_M .

The pertinent equations of motion of the missile are,

$$\dot{\alpha} = q - \frac{a_{M_y}}{V_M} \quad (A.17)$$
$$a_{M_y} = Na + G\delta$$

where α angle of attack

a_{M_y} cross-body acceleration

δ control displacement

q body pitch rate

V_M airspeed

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N, G cross-body accelerations per radian, due to angle of attack and control displacements, respectively

Assuming quasi-constant conditions, the transfer function defining acceleration is

$$a_{\dot{h}_y} = \frac{N q + G \delta}{s + N/V_E} . \quad (A.18)$$

The acceleration due to control displacement may usually be neglected so that

$$\begin{aligned} a_{\dot{h}_y} &\approx \frac{N q}{s + N/V_E} = V_E \left(\frac{N/V_E}{s + N/V_E} \right) q \\ &= V_E \frac{q}{T_E + 1} \end{aligned} \quad (A.19)$$

where $T = V_E/N$. Then V_E may be estimated by the algorithm

$$\hat{V}_E = k |a_{\dot{h}_y}| (a_{\dot{h}_y} - \hat{V}_E q) \quad (A.20)$$

or $\hat{V}_E = k (|a_{\dot{h}_y}| - \hat{V}_E |q|)$ (A.21)

where $k > 0$ has the appropriate value.

Somewhat superior algorithms which will lag less are achieved by biasing the algorithm given by the missile longitudinal acceleration component $a_{\dot{h}_x}$.

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Algorithm (A.21) is obviously simple to instrument, requiring only one multiplication.

(c) Estimation of the Angle ξ_M

The angle ξ_M is defined as $\xi_M = \gamma_M - \sigma$.

But as $\gamma_M = \theta_M - \alpha$, where θ_M is the centerline attitude, then $\xi_M = \theta_M - \alpha - \sigma$, and as the angle from missile centerline to the seeker LOS is $\lambda = \sigma - \theta_M$

$$\text{we have } \xi_M = -\lambda - \alpha \quad (\text{A.22})$$

where α is the angle of attack between the missile centerline and the velocity vector. But this can easily be estimated as

$$\hat{\alpha} \approx \frac{q}{T_{s+1}} \quad (\text{A.23})$$

where T was defined above.

The missile velocity components v_{M_r} and v_{M_σ} can then be computed as $v_{M_r} = v_M \cos \xi_M$ and $v_{M_\sigma} = v_M \sin \xi_M$.

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APPENDIX B

Error Analysis Coefficients

The numerical data presented in this appendix represent the time varying coefficients of the error equation. As discussed in Chapter 4, the error equation is of the form

$$\ddot{x} + a_1 \dot{x} + a_2 x = \sum_{i=1}^7 b_i N_i,$$

and the data here represent the coefficients a_1 and b_i tabulated for every 0.25 seconds. There are six tables, representing a selection of six choices of initial geometry configuration. They are ordered, and correspond respectively to Figures 4.3 through 4.8 inclusive; however, each graph is labelled to show the initial geometry. These data permit more general stochastic analyses of the effects on the estimates due to errors in the several signals which are used in the algorithm. The coefficients a_1 and a_2 are:

$$a_1 = 2\ddot{\sigma} \tan \xi_T - \dot{\gamma}_T \tan \xi_T, \text{ and}$$

$$a_2 = -\ddot{\sigma}^2 + \dot{\gamma}_T \dot{\sigma} + \ddot{\sigma} \tan \xi_T.$$

The coefficients b_i and error sources N_i are:

$$b_1 = -1 \quad N_1 \quad a_{M_r}, \text{ accel/LOS error}$$

$$b_2 = \sec \xi_T \quad N_2 \quad \dot{V}_T, \text{ target airspeed change}$$

$$b_3 = -\dot{\gamma}_T \sec \xi_T \sin (\gamma_M - \gamma_T) \quad N_3 \quad \hat{V}_M, \text{ missile airspeed error}$$

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$b_4 = -r\dot{\gamma}_T - 2\dot{r} \tan \xi_T + 2r\ddot{\sigma}$	$N_4 \dot{\sigma}$, LOS rate error
$b_5 = -v_M \dot{\gamma}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$	$N_5 \hat{\xi}_M$, error of estimating $\hat{\alpha}$
$b_6 = -r \tan \xi_T$	$N_6 \ddot{\sigma}$ LOS acceleration error
$b_7 = -\tan \xi_T$	$N_7 a_{M_O}$, accel. \perp LOS, error

All angles are in radian measure unless specifically stated otherwise.

The data of this appendix are presented in a conventional "exponential format" for digital output data. Thus the tabulated item 0.422E 01 is interpreted as $(0.422)10^{+1} = 4.22$, while -0.244E-01 is interpreted as $(-0.244)10^{-1} = -0.0244$.

0.422E-01	C1	C.451E CC	-C.1COE C1	0.100E 02	-0.143E 01	0.445E 05	0.454E 06
0.232E 01	C1	-C.33CE CC	-C.1COE C1	0.572E 01	-0.104E 01	0.261E 06	0.275E 05
0.150E 01	C1	-C.265E CC	-C.1COE C1	0.407E 01	-0.714E 00	0.194E 05	0.442E 05
0.105E 01	C1	-C.255E CC	-C.1COE C1	0.322E 01	-0.531E 00	0.140E 05	0.536E 05
0.100E 01	C1	-C.1ACE CC	-C.1COE C1	0.270E 01	-0.412E 00	0.112E 05	0.236E 05
0.125E 01	C1	-C.252E CC	-C.1COE C1	0.236E 01	-0.329E 00	0.914E 04	0.555E 03
0.120E 01	C1	-C.420E CC	-C.1COE C1	0.211E 01	-0.245E 00	0.765E 04	0.559E 03
0.175E 01	C1	-C.369E CC	-C.1COE C1	0.193E 01	-0.223E 00	0.651E 04	0.583E 03
0.200E 01	C1	-C.304E CC	-C.1COE C1	0.178E 01	-0.189E 00	0.563E 04	0.563E 03
0.225E 01	C1	-C.255E CC	-C.1COE C1	0.167E 01	-0.162E 00	0.493E 04	0.535E 03
0.250E 01	C1	-C.213E CC	-C.1COE C1	0.157E 01	-0.140E 00	0.438E 04	0.537E 03
0.275E 01	C1	-C.179E CC	-C.1COE C1	0.149E 01	-0.123E 00	0.392E 04	0.522E 03
0.300E 01	C1	-C.160E CC	-C.1COE C1	0.143E 01	-0.108E 00	0.350E 04	0.507E 03
0.325E 01	C1	-C.147E CC	-C.1COE C1	0.137E 01	-0.955E-01	0.316E 04	0.493E 03
0.350E 01	C1	-C.131E CC	-C.333E-02	0.132E 01	-0.963E-01	0.286E 04	0.491E 03
0.375E 01	C1	-C.119E CC	-C.1COE C1	0.127E 01	-0.776E-01	0.260E 04	0.456E 03
0.400E 01	C1	-C.107E CC	-C.1COE C1	0.123E 01	-0.699E-01	0.236E 04	0.459E 03
0.425E 01	C1	-C.956E-01	-C.1COE C1	0.120E 01	-0.633E-01	0.215E 04	0.444E 03
0.450E 01	C1	-C.874E-01	-C.1COE C1	0.117E 01	-0.567E-01	0.194E 04	0.441E 03
0.475E 01	C1	-C.790E-01	-C.1COE C1	0.114E 01	-0.510E-01	0.175E 04	0.433E 03
0.500E 01	C1	-C.711E-01	-C.1COE C1	0.112E 01	-0.458E-01	0.159E 04	0.427E 03
0.525E 01	C1	-C.637E-01	-C.1COE C1	0.110E 01	-0.409E-01	0.141E 04	0.414E 03
0.550E 01	C1	-C.566E-01	-C.1COE C1	0.109E 01	-0.363E-01	0.125E 04	0.414E 03
0.575E 01	C1	-C.499E-01	-C.1COE C1	0.106E 01	-0.319E-01	0.109E 04	0.409E 03
0.600E 01	C1	-C.434E-01	-C.1COE C1	0.105E 01	-0.279E-01	0.939E 03	0.405E 03
0.625E 01	C1	-C.370E-01	-C.1COE C1	0.103E 01	-0.238E-01	0.793E 03	0.402E 03
0.650E 01	C1	-C.308E-01	-C.1COE C1	0.102E 01	-0.200E-01	0.651E 03	0.399E 03
0.675E 01	C1	-C.246E-01	-C.1COE C1	0.102E 01	-0.163E-01	0.511E 03	0.396E 03
0.700E 01	C1	-C.185E-01	-C.1COE C1	0.101E 01	-0.127E-01	0.373E 03	0.394E 03
0.725E 01	C1	-C.244E-01	-C.1COE C1	0.100E 01	-0.939E-02	0.244E 03	0.392E 03

TABLE B-1

Error Equation Coefficients a_1 and b_1 as functions of the time
for the trajectory of FIG. 4.3 with initial conditions $\Psi = 90^\circ$,
 $\dot{\Psi} = 0^\circ$, $x_\tau = 10,000$, $y_\tau = 1,000$.

	A1	A2	E1	E2	E3	E4	E5	E6	E7
0.1	0.279E-01	0.51CE-01	-0.177E-01	-0.357E-01	0.3t2E-01	-0.100E-01	0.771E-01	0.0	0.430E-01
0.2	0.124E-01	-0.3t2E-01	-0.3t2E-01	0.100E-01	0.538E-01	0.0	0.269E-01	0.0	-0.729E-01
0.3	0.324E-01	0.404E-01	-0.100E-01	0.557E-01	0.0	0.228E-01	0.0	-0.468E-01	-0.544E-01
0.4	0.190E-01	0.603E-01	0.0	-0.100E-01	0.505E-01	0.0	0.199E-01	0.0	-0.359E-01
0.5	0.125E-01	0.484E-01	0.0	-0.238E-01	0.470E-01	0.0	0.178E-01	0.0	-0.349E-01
0.6	0.150E-01	0.355E-01	0.0	-0.185E-01	0.446E-01	0.0	0.163E-01	0.0	-0.311E-01
0.7	0.175E-01	0.260E-01	0.0	-0.144E-01	0.430E-01	0.0	0.152E-01	0.0	-0.280E-01
0.8	0.200E-01	0.186E-01	0.0	-0.112E-01	0.418E-01	0.0	0.144E-01	0.0	-0.254E-01
0.9	0.225E-01	0.136E-01	0.0	-0.870E-01	0.409E-01	0.0	0.138E-01	0.0	-0.231E-01
1.0	0.250E-01	0.956E-01	0.0	-0.666E-01	0.404E-01	0.0	0.134E-01	0.0	-0.211E-01
1.1	0.275E-01	0.653E-01	0.0	-0.515E-01	0.400E-01	0.0	0.131E-01	0.0	-0.193E-01
1.2	0.300E-01	0.435E-01	0.0	-0.365E-01	0.397E-01	0.0	0.129E-01	0.0	-0.175E-01
1.3	0.325E-01	0.276E-01	0.0	-0.263E-01	0.375E-01	0.0	0.128E-01	0.0	-0.158E-01
1.4	0.350E-01	0.164E-01	0.0	-0.160E-01	0.394E-01	0.0	0.127E-01	0.0	-0.142E-01
1.5	0.375E-01	0.891E-02	0.0	-0.116E-01	0.394E-01	0.0	0.127E-01	0.0	-0.126E-01
1.6	0.400E-01	0.417E-02	0.0	-0.805E-02	0.393E-01	0.0	0.126E-01	0.0	-0.110E-01
1.7	0.425E-01	0.133E-02	0.0	-0.374E-02	0.393E-01	0.0	0.126E-01	0.0	-0.942E-01
1.8	0.450E-01	0.375E-03	0.0	-0.176E-02	0.393E-01	0.0	0.126E-01	0.0	-0.780E-01
1.9	0.475E-01	0.155E-02	0.0	-0.113E-02	0.393E-01	0.0	0.126E-01	0.0	-0.628E-01
2.0	0.500E-01	0.336E-02	0.0	-0.172E-02	0.394E-01	0.0	0.126E-01	0.0	-0.471E-01
2.1	0.525E-01	0.730E-02	0.0	-0.454E-02	0.394E-01	0.0	0.126E-01	0.0	-0.381E-01
2.2	0.550E-01	-0.202E-01	0.0	-0.440E-01	0.394E-01	0.0	0.124E-01	0.0	-0.321E-01

TABLE B-2

Error Equation Coefficients a_1 and b_1 as functions of the time
for the trajectory of Fig. 4.4 with initial conditions $\psi = 90^\circ$,
 $\phi = 0^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$b_1 = -1$	$N_1 \hat{a}_{M_T}$, acceleration error
$b_2 = \sec \xi_T$	$N_2 \hat{v}_T$, target airspeed change
$b_3 = \dot{\gamma}_T \sec \xi_T \sin (\gamma_M - \gamma_T)$	$N_3 \hat{v}_M$, missile airspeed error
$b_4 = -r \dot{\gamma}_T - 2\ddot{r} \tan \xi_T + 2\dot{r}\dot{\xi}_T$	$N_4 \dot{\xi}_T$, LOS rate error
$b_5 = -v_{M_T} \sec \xi_T \cos (\gamma_M - \gamma_T)$	$N_5 \xi_T$, error of estimation of
$b_6 = -r \tan \xi_T$	N_6 LOS acceleration error
$b_7 = -\tan \xi_T$	$N_7 a_Y, \text{accel. } \pm \text{LOS error}$

TIME	A1	A2	B1	B2	B3	B4	B5	B6	B7
0.0	0.579E 00	0.591E 00	0.541E 00	-0.100E 01	0.100E 02	0.193E 01	0.412E 05	-0.454E 00	0.11CE 00
0.250E 00	0.591E 00	0.545E 00	-0.100E 01	0.118E 02	0.216E 01	0.490E 05	-0.147E 00	-0.112E 06	-0.118E 02
0.500E 00	0.351E 00	0.350E 00	CC -0.100E 01	0.151E 02	0.274E 01	0.626E 05	-0.581E 00	-0.136E 06	-0.150E 02
0.750E 00	-0.152E 00	0.156E 01	-0.100E 01	0.224E 02	0.403E 01	0.932E 05	-0.145E 00	-0.19CE 06	-0.224E 02
1.000E 01	-0.230E 01	-0.335E 01	CC -0.100E 01	0.539E 02	0.958E 01	0.224E 06	-0.473E 00	-0.43CE 06	-0.539E 02
1.250E 01	0.626E 01	0.464E 01	-0.100E 01	0.875E 02	-0.154E 02	-0.364E 06	0.898E 04	0.653E 06	0.875E 02
0.150E 01	0.214E 01	0.100E 01	-0.100E 01	-0.224E 02	-0.392E 01	-0.933E 05	0.248E 04	0.155E 06	0.223E 02
0.175E 01	0.144E 01	0.471E 01	-0.100E 01	-0.124E 02	-0.216E 01	-0.520E 05	0.140E 04	0.753E 05	0.123E 02
0.200E 01	0.111E 01	0.271E 00	-0.100E 01	-0.841E 01	-0.147E 01	-0.356E 05	0.933E 03	0.453E 05	0.835E 01
0.225E 01	0.921E 00	0.173E 00	-0.100E 01	-0.629E 01	-0.111E 01	-0.270E 05	0.653E 03	0.334E 05	0.621E 01
0.250E 01	0.787E 00	0.117E 00	-0.100E 01	-0.499E 01	-0.683E 00	-0.216E 05	0.474E 03	0.237E 05	0.489E 01
0.275E 01	0.687E 00	0.832E 00	-0.100E 01	-0.411E 01	-0.734E 00	-0.180E 05	0.339E 03	0.172E 05	0.359E 01
0.300E 01	0.608E 00	0.613E 00	-0.100E 01	-0.349E 01	-0.628E 00	-0.154E 05	0.234E 03	0.126E 05	0.235E 01
0.325E 01	0.544E 00	0.465E 00	-0.100E 01	-0.303E 01	-0.548E 00	-0.135E 05	0.149E 03	0.918E 04	0.286E 01
0.350E 01	0.492E 00	0.364E 00	-0.100E 01	-0.267E 01	-0.486E 00	-0.119E 05	0.779E 02	0.655E 04	0.248E 01
0.375E 01	0.447E 00	0.294E 00	-0.100E 01	-0.239E 01	-0.436E 00	-0.106E 05	0.170E 02	0.448E 04	0.217E 01
0.400E 01	0.409E 00	0.252E 00	-0.100E 01	-0.216E 01	-0.394E 00	-0.0953E 04	0.362E 02	0.281E 04	0.192E 01
0.425E 01	0.378E 00	0.271E 00	-0.100E 01	-0.198E 01	-0.359E 00	-0.0858E 04	0.834E 02	0.147E 04	0.170E 01
0.450E 01	0.367E 00	0.189E 00	-0.100E 01	-0.182E 01	-0.327E 00	-0.0773E 04	0.126E 03	0.361E 03	0.152E 01

TABLE B-3

Error Equation Coefficients a_1 and b_i as functions of the time
for the trajectory of Fig. 4.5 with initial conditions $\psi=90^\circ$,
 $\phi=80^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$$a_1 = 2\dot{\phi} \tan \xi_T - \dot{y}_T \tan \xi_T$$

$$b_1 = -1$$

N_1 $a_M r$, accel/LOS error

$$b_2 = \sec \xi_T$$

N_2 \dot{v}_T , target airspeed change

$$b_3 = -\dot{y}_T \sec \xi_T \sin (\gamma_M - \gamma_T)$$

N_3 \dot{v}_M , missile airspeed error

$$b_4 = -r \dot{\gamma}_T - 2\dot{r} \tan \xi_T + 2r\dot{\phi}$$

N_4 \dot{s} , LOS rate error

$$b_5 = -V_M \dot{\gamma}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$$

N_5 $\dot{\xi}_M$, error of estimating ξ_M

$$b_6 = -r \tan \xi_T$$

N_6 $\ddot{\theta}$, LOS acceleration error

$$b_7 = -\tan \xi_T$$

N_7 $a_{M\sigma}$, accel. L LOS, error

A1	A2	B1	B2	B3	B4	B5	B6	B7
0.0 250L 00	0.166E-01	6.132E-C3	-6.136E-01	0.100E 01	0.0 0	-0.133E	04	0.11CC E 00
0.0 250L 00	0.854E-C2	0.242E-C2	-C.100E 01	0.100E 01	0.632E-02	-J.163E 04	-0.392E	C3 0.542E 03
0.0 250L 00	0.254E-C2	C.3C6E-C2	-C.100E 01	0.113E-01	J.147E 04	-0.391E	C3 0.147E 03	0.155E-C1
0.0 750L 00	-0.376E-C2	C.353E-C2	-C.100E 01	0.159E-01	0.130E 04	-0.390E	C3 -0.234E	03 -0.260E-01
0.0 100L 01	-0.915E-C2	0.357E-C2	-C.100E 01	0.100E 01	0.203E-01	-0.105E	C3 -0.673E-C1	-0.673E-C1
0.0 125L 01	-0.157E-C1	0.4C5E-C2	-C.100E 01	0.101E 01	0.245E-01	-0.999E	C3 -0.937E	03 -0.100E 00
0.0 170L 01	-0.216E-C1	0.424E-C2	-C.100E 01	0.101E 01	0.436E-01	-0.850E	C3 -0.391E	03 -0.125E
0.0 175L 01	-0.274E-C1	C.431E-C2	-C.100E 01	0.102E 01	0.265E-01	-0.702E	C3 -0.392E	03 -0.152E
0.0 200L 01	-0.333E-C1	0.433E-C2	-C.100E 01	0.103E 01	0.347E-01	-0.554E	C3 -0.354E	03 -0.236E
0.0 225L 01	-0.394E-C1	0.436E-C2	-C.100E 01	0.104E 01	0.405E-01	-0.403E	C3 -0.397E	03 -0.277E
0.0 250L 01	-0.456E-C1	C.424E-C2	-C.100E 01	0.105E 01	0.450E-01	-0.250E	C3 -0.400E	03 -0.322E
0.0 275L 01	-0.519E-C1	0.415E-C2	-C.100E 01	0.107E 01	0.494E-01	-0.936E	C3 -0.435E	03 -0.367E
0.0 300E 01	-0.566E-C1	0.403E-C2	-C.100E 01	0.109E 01	0.539E-01	-0.682E	C3 -0.407E	03 -0.414E
0.0 325E 01	-0.655E-C1	0.384E-C2	-C.100E 01	0.110E 01	0.578E-01	-0.236E	C3 -0.412E	03 -0.462E
0.0 350E 01	-0.726E-C1	0.375E-C2	-C.100E 01	0.112E 01	0.635E-01	-0.411E	C3 -0.414E	03 -0.512E
0.0 375E 01	-0.805E-C1	0.355E-C2	-C.100E 01	0.115E 01	0.692E-01	-0.595E	C3 -0.424E	03 -0.561E
0.0 400E 01	-0.855E-C1	0.334E-C2	-C.100E 01	0.118E 01	0.750E-01	-0.788E	C3 -0.431E	03 -0.620E
0.0 425E 01	-0.973E-C1	0.310E-C2	-C.100E 01	0.121E 01	0.812E-01	-0.993E	C3 -0.439E	03 -0.674E
0.0 450E 01	-0.107E CC	0.264E-C2	-C.100E 01	0.124E 01	0.871E-01	-0.121E	C3 -0.448E	03 -0.732E
0.0 475E 01	-0.117E CC	0.254E-C2	-C.100E 01	0.128E 01	0.925E-01	-0.145E	C3 -0.458E	03 -0.804E
0.0 500E 01	-0.127E CC	0.219E-C2	-C.100E 01	0.133E 01	0.104E 00	-0.170E	C3 -0.470E	03 -0.874E
0.0 525E 01	-0.136E CC	0.18CE-C2	-C.100E 01	0.138E 01	0.132E-01	-0.133E	C3 -0.492E	03 -0.949E
0.0 550E 01	-0.152E CC	0.134E-C2	-C.100E 01	0.144E 01	0.141E-01	-0.227E	C3 -0.456E	03 -0.103E
0.0 575E 01	-0.167E CC	0.820E-03	-C.100E 01	0.150E 01	0.134E 00	-0.260E	C3 -0.512E	03 -0.342E
0.0 600E 01	-0.183E CC	0.203E-C3	-C.100E 01	0.158E 01	0.147E 00	-0.296E	C3 -0.530E	03 -0.412E
0.0 625E 01	-0.201E CC	-0.546E-03	-C.100E 01	0.166E 01	0.161E 00	-0.336E	C3 -0.550E	03 -0.133E
0.0 650E 01	-0.221E CC	-0.137E-C2	-C.100E 01	0.176E 01	0.178E 00	-0.382E	C3 -0.573E	03 -0.252E
0.0 675E 01	-0.245E CC	-0.245E-C2	-C.100E 01	0.188E 01	0.197E 00	-0.434E	C3 -0.600E	03 -0.263E
0.0 700E 01	-0.273E CC	-0.395E-C2	-C.100E 01	0.201E 01	0.220E 00	-0.494E	C3 -0.620E	03 -0.226E
0.0 725E 01	-0.305E CC	-0.572E-C2	-C.100E 01	0.218E 01	0.247E 00	-0.564E	C3 -0.66E	03 -0.177E
0.0 750E 01	-0.346E CC	-0.973E-C2	-C.100E 01	0.237E 01	0.280E 00	-0.647E	C3 -0.705E	03 -0.117E
0.0 775E 01	-0.386E CC	0.4C7E-C1	-C.100E 01	0.262E 01	0.320E 00	-0.748E	C3 -0.760E	03 -0.381E

TABLE B-4

Error Equation Coefficients a_1 and b_1 as functions of the time
for the trajectory of FIG. 4.6 with initial conditions $\psi = 0^0$,
 $\phi = 80^0$, $x_T = 16,000$; $y_T = 1,000$.

TIME	A1	A2	B1	B2	B3	B4	B5	B6	B7			
0.0	0.120E-01	0.652E-C2	-0.100E	01	-0.101E	01	-0.424E-04	-0.184E	04	-0.393E	C3	
0.250E	00	0.816E-02	0.742E-02	-0.100E	01	-0.100E	01	0.336E-02	-0.161E	04	-0.392E	C3
0.500E	00	0.317E-C2	0.402E-02	-0.100E	01	-0.100E	01	0.626E-02	-0.140E	04	-0.391E	C3
0.750E	00	-0.353E-02	0.657E-03	-0.100E	01	-0.100E	01	0.111E-01	-0.114E	04	-0.391E	C3
0.100E	01	-0.120E-01	-0.193E-C2	-0.100E	01	-0.100E	01	0.179E-01	-0.841E	03	-0.390E	C3
0.125E	01	-0.221E-01	-0.444E-C2	-0.100E	01	-0.101E	01	0.265E-01	-0.496E	03	-0.390E	C3
0.150E	01	-0.340E-C1	-0.660E-02	-0.100E	01	-0.101E	01	0.368E-01	-0.110E	03	-0.388E	C3
0.175E	01	-0.475E-C1	-0.832E-C2	-0.100E	01	-0.102E	01	0.489E-01	0.316E	03	-0.386E	C3
0.200E	01	-0.626E-C1	-0.551E-C2	-0.100E	01	-0.104E	01	0.625E-01	0.778E	03	-0.382E	C3
0.225E	01	-0.730E-01	-0.558E-C2	-0.100E	01	-0.105E	01	0.776E-01	0.127E	04	-0.376E	C3
0.250E	01	-0.964E-01	-0.943E-C2	-0.100E	01	-0.107E	01	0.939E-01	0.180E	04	-0.363E	C3
0.275E	01	-0.114E-01	-0.723E-02	-0.100E	01	-0.110E	01	0.111E	00	0.234E	-0.357E	C3
0.300E	01	-0.131E-01	-0.127E-02	-0.100E	01	-0.113E	01	0.129E	00	0.290E	-0.342E	C3

TABLE P-5

Error Equation Coefficients a_1 and b_1 as functions of the time for the trajectory of Fig. 4.7 with initial conditions $\psi = 180^\circ$, $\Phi = 80^\circ$, $x_T = 10,000$, $y_T = 1,000$.

$b_1 = -1$	$N_1 \dot{a}_M$, acceler. LOS error
$b_2 = \sec \xi_T$	$N_2 \dot{V}_T$, target airspeed change
$b_3 = -\dot{Y}_T \sec \xi_T \sin (\gamma_M - \gamma_T)$	$N_3 \dot{V}_M$, missile airspeed error
$b_4 = -r\dot{Y}_T - r^2 \tan \xi_T + 2r\dot{\sigma}$	$N_4 \dot{\sigma}$, LOS rate error
$b_5 = -V_M \dot{Y}_T \sec \xi_T \cos (\gamma_M - \gamma_T)$	$N_5 \hat{\xi}_M$, error of estimating
$b_6 = -r \tan \xi_T$	$N_6 \hat{\sigma}$, LOS acceleration error
$b_7 = -\tan \xi_T$	$N_7 \hat{a}_M$, accel. \perp LOS, error

TIME	A1	A2	B1	B2	B3	B4	B5	B6	B7
0.0	-0.737E-03	-0.622E-04	-0.100E	C1	-0.100E	0.1	-0.979E	0.0	0.5C2E-01
0.250E	0.0	-0.807E-03	0.160E	-0.100E	0.1	-0.100E	0.1	-0.307E	0.0
0.500E	0.0	-0.785E-03	C1	0.154E-03	-0.100E	0.1	-0.100E	0.1	0.541E-01
0.750E	0.0	-0.759E-03	0.148E-03	-0.100E	C1	-0.100E	0.1	-0.720E	0.2
1.000E	0.1	-0.710E-03	0.143E-03	-0.100E	C1	-0.100E	0.1	-0.111E	0.3
1.250E	0.1	-0.697E-03	0.138E-03	-0.100E	C1	-0.100E	0.1	-0.147E	0.3
1.500E	0.1	-0.661E-03	0.133E-03	-0.100E	C1	-0.100E	0.1	-0.181E	0.3
1.750E	0.1	-0.623E-03	0.129E-03	-C1	0.100E	0.1	-0.213E	0.3	0.6C5E-01
2.000E	0.1	-0.584E-03	0.124E-03	-C1	0.100E	0.1	-0.242E	0.3	0.618E-01
2.250E	0.1	-0.542E-03	0.119E-03	-C1	0.100E	0.1	-0.269E	0.3	0.630E-01
2.500E	0.1	-0.500E-03	0.115E-03	-C1	0.100E	0.1	-0.293E	0.3	0.641E-01
2.750E	0.1	-0.457E-03	0.110E-03	-C1	0.100E	0.1	-0.316E	0.3	0.651E-01
3.000E	0.1	-0.414E-03	0.106E-03	-C1	0.100E	0.1	-0.336E	0.3	0.660E-01
3.250E	0.1	-0.371E-03	0.101E-03	-C1	0.100E	0.1	-0.354E	0.3	0.669E-01
3.500E	0.1	-0.328E-03	0.967E-03	-C1	0.100E	0.1	-0.371E	0.3	0.574E-01
3.750E	0.1	-0.286E-03	0.920E-03	-C1	0.100E	0.1	-0.385E	0.3	0.682E-01
4.000E	0.1	-0.246E-03	0.872E-03	-C1	0.100E	0.1	-0.398E	0.3	0.587E
4.250E	0.1	-0.205E-03	C1	0.821E-03	-C1	0.100E	0.1	-0.409E	0.3
4.500E	0.1	-0.167E-03	0.769E-03	-C1	0.100E	0.1	-0.419E	0.3	0.697E-01
4.750E	0.1	-0.131E-03	0.712E-03	-C1	0.100E	0.1	-0.426E	0.3	0.412E
5.000E	0.1	-0.970E-03	0.651E-03	-C1	0.100E	0.1	-0.432E	0.3	0.356E
5.250E	0.1	-0.662E-03	0.586E-03	-C1	0.100E	0.1	-0.437E	0.3	0.345E
5.500E	0.1	-0.343E-03	0.512E-03	-C1	0.100E	0.1	-0.440E	0.3	0.250E
5.750E	0.1	-0.136E-03	C1	0.441E-03	-C1	0.100E	0.1	-0.443E	0.3
6.000E	0.1	0.107E-03	0.477E-03	-C1	0.100E	0.1	-0.445E	0.3	0.139E
6.250E	0.1	0.734E-03	0.362E-03	-C1	0.100E	0.1	-0.445E	0.3	0.275E

TABLE B-6

Error Equation Coefficients a_1 and b_1 as functions of the time
 for the trajectory of Fig. 4.8 with initial conditions $\psi = 180^\circ$,
 $\phi = 0^\circ$, $x_T = 20,000$, $y_T = 1,000$.

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13. ABSTRACT Methods are explored for estimating Range and Range-Rate from the geometry and kinematics of the air-air homing-missile combat situation rather than from direct measurements. The methods reported herein use the various signals available from the missile autopilot, plus the inertial rotation-rate of the Line of Sight provided by the seeker.		
It is shown that acceleration of the target in the radial direction, parallel to the Line of Sight, precludes successful estimation by the classical techniques such as adaptive parameter identification, Kalman filter estimation, or the various minimum-variance estimation methods. A nonlinear estimator is described which estimates the target acceleration and velocity components and can yield accurate range and range-rate estimates if correctly initialized at launch. This estimator is able to take advantage of the many inequalities which constrain the maneuvering of an air-air target. Errors and performance of this estimator are demonstrated by computer simulations.		

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